

**Thai Balanced Fund : TBF**

*Interim Report*

*(August 1, 2023 – January 31, 2024)*

# Content

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## Message from the Company

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To Unitholders

Thai Balanced Fund prudently diversified asset classes to equity and fixed income securities including deposits and bonds. As of January 31, 2023, the fund allocated 31.0% of its total NAV to Treasury Bills, Bank of Thailand bonds and Government Bond, 12.5% to corporate bonds, 0.0% of its NAV to banking instruments and 56.5% to equity. The portfolio duration including equity exposure was 4.03 years.

Compared to January 31, 2022, the fund increased corporate fixed income securities from 11.9% to 12.5% and added equity allocation up to 34.6% from 31.1%. However, the fund decreased investment in government securities to 31.1% from 34.6% and banking instruments decreased from 1.8% to 0.0%. The fund shortened its duration from 4.14 years to 4.0 years.

As we have managed Thai Balanced Fund for a period of half year on January 31, 2024 we would like to inform the net value to unit holder, The fund has a net asset value 343,988,611.35 Baht in asset value or its earning per unit is at 8.5534 Baht.

In this connection, we would like to express our sincere thanks to the trust of all Unitholders has been extended to us for your investment Thai Balanced Fund. Should you have any further question or need more information, You can monitor or follow the announcement the net asset value of the fund in the company website.

UOB Asset Management (Thailand) Co., Ltd.



(Mr. Vana Bulbon)  
Chief Executive Officer

**List of Board of Directors And Management Team**  
**UOB Asset Management (Thailand) Co., Ltd.**

**Board of Directors**

- |                              |                            |
|------------------------------|----------------------------|
| 1. Mr. Lee Wai Fai           | Chairman Board of Director |
| 2. Mr. Thio Boon Kiat        | Director                   |
| 3. Mr. Sanchai Apisaksirikul | Director                   |
| 4. Ms. Aumporn Supjindavong  | Director                   |
| 5. Mr. Vana Bulbon           | Director and CEO           |

**Management Team**

- |                 |              |  |
|-----------------|--------------|--|
| 1. Mr. Vana     | Bulbon       | Chief Executive Officer                            |
| 2. Mrs. Sunaree | Piboonsakkul | Senior Director (Operation Division)               |
| 3. Mr. Jerdphan | Nithayayon   | Senior Director (Investment Division)              |
| 4. Ms. Rachada  | Tangharat    | Executive Director (Business Development Division) |

**Office Location**

UOB Asset Management (Thailand) Co., Ltd.  
23A, 25 Floor, Asia Centre Building, 173/27-30, 31-33  
South Sathon Road, Thungmahamek, Sathon,  
Bangkok 10120, Thailand  
Tel : +66 2786 2222  
Fax : +66 2786 2377

SSFO 24/005

February 7, 2024

**THE SUPERVISOR GRANT APPROVAL**

To : Unitholders  
Thai Balanced Fund

TMBThanachart Bank Public Company Limited, as the mutual fund supervisor of the Thai Balanced Fund, has performed our duties in such mutual fund project, administered and managed by UOB Asset Management (Thailand) Company Limited, from the period between August 1, 2023 to January 31, 2024

In our opinion, we are pleased to confirm that UOB Asset Management (Thailand) Company Limited, has managed the mutual fund strictly in accordance with the approved mutual fund project prospectus and the commitment made to the unitholders under The Securities and Exchange Act, BE 2535



MANEEVAN INGKAVITAN  
Fund Supervisory  
TMBThanachart Bank Public Co., Ltd.

## Thai Balanced Fund

### Name List of Fund Manager

For the period of August 1, 2023 to January 31, 2024

No.	Name List of Fund Manager	
1	Mr.Tanakorn	Dhamalongkrot
2	Ms.Pranee	Srimahalap
3	Mr.Sittisak	Nuttawut*
4	Ms.Nopharat	Pramualvallikul*
5	Mr.Jaruwat	Preepreamkul*
6	Ms.Chanisda	Viranuvatti
7	Ms.Chuensumol	Pornsakulsak
8	Ms.Benjabhorn	Lertsethasart*
9	Mr. Kiattichai	Song-In
10	Mr. Atitad	Saeyong

\* Fund manager and portfolio manager in derivatives ( if any ).

## Fund Performance

Registration Date Jul 18, 1997

Ending Date of Accounting Period Jan 31, 2024

	2557 2014	2558 2015	2559 2016	2560 2017	2561 2018	2562 2019	2563 2020	2564 2021	2565 2022	2566 2023
ผลตอบแทนของกองทุน (Fund Return)	4.09%	-12.13%	8.95%	13.61%	-4.85%	1.92%	-18.40%	10.70%	2.22%	-6.89%
ผลตอบแทนตัวชี้วัด (Benchmark Return)	12.37%	-4.02%	12.40%	10.19%	-3.34%	6.26%	-0.78%	7.38%	1.00%	-5.48%
ความผันผวนของผลดำเนินงาน (Fund Standard Deviation)	8.99%	9.91%	9.66%	4.70%	7.68%	6.48%	23.83%	7.74%	6.75%	5.88%
ความผันผวนของตัวชี้วัด (Benchmark Standard Deviation)	6.39%	6.79%	7.05%	3.25%	5.98%	4.60%	14.65%	5.89%	5.74%	5.93%

	ตั้งแต่ต้นปี (YTD) <sup>1)</sup>	3 เดือน (3 Months) <sup>1)</sup>	6 เดือน (6 Months) <sup>1)</sup>	1 ปี (1 Year) <sup>2)</sup>	3 ปี (3 Years) <sup>2)</sup>	5 ปี (5 Years) <sup>2)</sup>	10 ปี (10 Years) <sup>2)</sup>	ตั้งแต่จัดตั้ง (Since Inception) <sup>3)</sup>
ผลตอบแทนของกองทุน (Fund Return)	-2.21%	-0.41%	-4.92%	-8.83%	0.77%	-3.68%	-0.52%	6.39%
ผลตอบแทนตัวชี้วัด (Benchmark Return)	-1.57%	0.75%	-5.42%	-7.44%	0.09%	0.72%	3.30%	5.34%
ความผันผวนของผลดำเนินงาน (Fund Standard Deviation)	1.98%	2.99%	4.17%	6.19%	7.15%	12.62%	10.29%	13.92%
ความผันผวนของตัวชี้วัด (Benchmark Standard Deviation)	1.71%	2.96%	4.32%	6.16%	6.15%	9.08%	7.62%	12.96%

Remark : Performance of the portfolio with the return from “set aside” asset

	2557 2014	2558 2015	2559 2016	2560 2017	2561 2018	2562 2019	2563 2020	2564 2021	2565 2022	2566 2023
ผลตอบแทนของกองทุน (Fund Return)	4.09%	-12.13%	8.95%	13.61%	-4.85%	1.92%	-4.01%	10.70%	2.22%	-6.89%
ผลตอบแทนตัวชี้วัด (Benchmark Return)	12.37%	-4.02%	12.40%	10.19%	-3.34%	6.26%	-0.78%	7.38%	1.00%	-5.48%
ความผันผวนของผลดำเนินงาน (Fund Standard Deviation)	8.99%	9.91%	9.66%	4.70%	7.68%	6.48%	18.79%	7.74%	6.75%	5.88%
ความผันผวนของตัวชี้วัด (Benchmark Standard Deviation)	6.39%	6.79%	7.05%	3.25%	5.98%	4.60%	14.65%	5.89%	5.74%	5.93%

	ตั้งแต่ต้นปี (YTD) <sup>1)</sup>	3 เดือน (3 Months) <sup>1)</sup>	6 เดือน (6 Months) <sup>1)</sup>	1 ปี (1 Year) <sup>2)</sup>	3 ปี (3 Years) <sup>2)</sup>	5 ปี (5 Years) <sup>2)</sup>	10 ปี (10 Years) <sup>2)</sup>	ตั้งแต่จัดตั้ง (Since Inception) <sup>3)</sup>
ผลตอบแทนของกองทุน (Fund Return)	-2.21%	-0.41%	-4.92%	-8.83%	0.77%	-0.50%	1.10%	7.04%
ผลตอบแทนตัวชี้วัด (Benchmark Return)	-1.57%	0.75%	-5.42%	-7.44%	0.09%	0.72%	3.30%	N/A
ความผันผวนของผลดำเนินงาน (Fund Standard Deviation)	1.98%	2.99%	4.17%	6.19%	7.15%	11.13%	9.37%	13.65%
ความผันผวนของตัวชี้วัด (Benchmark Standard Deviation)	1.71%	2.96%	4.32%	6.16%	6.15%	9.08%	7.62%	N/A

Remark : Performance of the portfolio without the return from “set aside” asset

Remark : 1. Return per period

2. Return per year

3. If Since inception < 1 Year Return per period, If Since inception  $\geq$  1 Year Return per year

- Benchmark : The average weighted of 1 year fixed deposit rate 5 million Baht of individual quoted by BBL, KBANK, and SCB after Tax (25%) , Net Total Return of ThaiBMA Mark-to-Market Government Bond Index (25%) and SET TRI (50%)
- Performance measures used in this annual report comply with AIMC performance presentation standards.
- Past performance / performance comparison relating to a capital market product is not a guarantee of future results.



## Thai Balanced Fund

### Total Expenses as called from fund Table

From August 1, 2023 To January 31, 2024

Called expenses from fund (Fund's direct expense)	Amount Unit : Thousand	Percentage of Net Assets Value
Management fee	1,906.38	1.0700
Trustee fee	33.36	0.0187
Transaction fee	-	-
Registrar fee	228.77	0.1284
Advisory fee	-	-
Sale Promotion - IPO	-	-
Sale Promotion - After IPO	-	-
Auditing Fee	28.55	0.0081
Dividend Payment Expense	-	-
Other Expenses*	13.40	0.0038
<b>Total Expenses **</b>	<b>2,210.46</b>	<b>1.2290</b>

Remark \* Other expense which each items is less than 0.01% of NAV

\*\* Included VAT (if any) and Not included brokerage fee

## Thai Balanced Fund

### Brokerage Fee

From August 1, 2023 To January 31, 2024

	Broker Name	Brokerage Fee (Baht)	% of Total Brokerage Fee
1	INNOVESTX SECURITIES COMPANY LIMITED	42,906.68	16.57
2	BUALUANG SECURITIES PUBLIC COMPANY LIMITED	32,878.75	12.70
3	TISCO SECURITIES COMPANY LIMITED	24,867.43	9.60
4	KGI SECURITIES (THAILAND) PUBLIC COMPANY LIMITED	24,000.45	9.27
5	CGS INTERNATIONAL SECURITIES (THAILAND) COMPANY LIMITED	21,077.25	8.14
6	KRUNGSRI SECURITIES PUBLIC COMPANY LIMITED	20,692.06	7.99
7	THANACHART SECURITIES PUBLIC COMPANY LIMITED	15,480.44	5.98
8	KRUNGSRI CAPITAL SECURITIES PUBLIC COMPANY LIMITED	15,458.33	5.97
9	KASIKORN SECURITIES PUBLIC COMPANY LIMITED	14,208.61	5.49
10	YUANTA SECURITIES (THAILAND) COMPANY LIMITED	12,214.42	4.72
11	OTHER	35,131.13	13.57
	<b>Total</b>	<b>258,915.55</b>	<b>100.00</b>

**Thai Balanced Fund**  
**Details of Investment ,Borrowing and Obligations**  
**As of January 31, 2024**

	Market Value	%NAV
<b>Domestic : Assets and Securities List</b>		
<b><u>Common Stocks</u></b>	<b><u>194,482,138.10</u></b>	<b><u>56.54</u></b>
Listed Securities	194,482,138.10	56.54
Automotive	418,275.00	0.12
AH	418,275.00	0.12
Banking	21,324,130.00	6.20
BBL	6,542,400.00	1.90
KBANK	4,560,000.00	1.33
KTB	2,650,530.00	0.77
SCB	7,571,200.00	2.20
Building & Furnishing Materials	3,483,000.00	1.01
SCC	3,483,000.00	1.01
Commerce	24,249,780.00	7.05
BJC	604,350.00	0.18
CPALL	7,638,950.00	2.22
CPAXT	5,902,350.00	1.72
CRC	3,956,750.00	1.15
DOHOME	774,990.00	0.23
GLOBAL	2,131,040.00	0.62
HMPRO	3,241,350.00	0.94
Construction Services	2,568,070.00	0.75
CK	1,042,750.00	0.30
STEC	1,525,320.00	0.44
Electronic Components	11,495,600.00	3.34
DELTA	6,315,750.00	1.84
HANA	3,858,200.00	1.12
KCE	1,321,650.00	0.38
Energy & Utilities	37,149,415.00	10.80
BAFS	855,110.00	0.25
BCP	625,800.00	0.18
BGRIM	1,090,575.00	0.32
BSRC	2,005,070.00	0.58
GPSC	1,669,575.00	0.49
GULF	10,292,100.00	2.99
PTT	4,198,500.00	1.22
PTTEP	13,170,000.00	3.83
SPRC	1,452,360.00	0.42
TOP	1,790,325.00	0.52

**Thai Balanced Fund**  
**Details of Investment ,Borrowing and Obligations**  
**As of January 31, 2024**

	Market Value	%NAV
<b>Domestic : Assets and Securities List</b>		
<b><u>Common Stocks</u></b>	<b><u>194,482,138.10</u></b>	<b><u>56.54</u></b>
Listed Securities	194,482,138.10	56.54
Fashion	271,600.00	0.08
SABINA	271,600.00	0.08
Finance & Securities	5,753,451.60	1.67
MTC	2,983,500.00	0.87
TIDLOR	2,769,951.60	0.81
Foods & Beverages	8,744,170.00	2.54
CPF	1,417,320.00	0.41
ICHI	1,357,200.00	0.39
OSP	2,980,640.00	0.87
RBF	938,530.00	0.27
TU	2,050,480.00	0.60
Health Care Services	19,340,854.50	5.62
BCH	2,940,260.00	0.85
BDMS	6,622,000.00	1.93
BH	4,152,000.00	1.21
CHG	2,827,392.00	0.82
EKH	1,240,912.50	0.36
PR9	1,247,850.00	0.36
SAFE	310,440.00	0.09
Information & Communication Technology	14,389,760.00	4.18
ADVANC	9,307,500.00	2.71
INTUCH	4,130,000.00	1.20
THCOM	952,260.00	0.28
Packaging	982,800.00	0.29
SCGP	982,800.00	0.29
Professional Services	845,600.00	0.25
SISB	845,600.00	0.25
Property Development	17,683,662.00	5.14
AMATA	1,953,210.00	0.57
AP	3,163,990.00	0.92
CPN	7,025,375.00	2.04
LH	1,101,600.00	0.32
SC	547,028.00	0.16
SIRI	1,035,157.00	0.30
SPALI	921,960.00	0.27
WHA	1,935,342.00	0.56

**Thai Balanced Fund**  
**Details of Investment ,Borrowing and Obligations**  
**As of January 31, 2024**

	Market Value	%NAV
<b>Domestic : Assets and Securities List</b>		
<b><u>Common Stocks</u></b>	<b><u>194,482,138.10</u></b>	<b><u>56.54</u></b>
Listed Securities	194,482,138.10	56.54
Tourism & Leisure	4,978,850.00	1.45
CENTEL	500,250.00	0.15
ERW	1,456,050.00	0.42
MINT	3,022,550.00	0.88
Transportation	20,803,120.00	6.05
AAV	1,754,910.00	0.51
AOT	14,154,775.00	4.11
BA	1,115,730.00	0.32
BEM	2,868,705.00	0.83
BTS	909,000.00	0.26
<b><u>Government Bond</u></b>	<b><u>106,895,616.85</u></b>	<b><u>31.08</u></b>
The Maturity less than 1 year	29,834,261.40	8.67
LB24DB	29,834,261.40	8.67
The Maturity 1-3 year	33,001,744.26	9.59
GGLB262A	5,044,148.30	1.47
LB26DA	27,957,595.96	8.13
The Maturity 3-5 year	3,063,102.15	0.89
LB273A	1,007,864.47	0.29
LB28DA	2,055,237.68	0.60
The Maturity 5-7 year	17,958,764.34	5.22
LB293A	1,010,467.29	0.29
LB296A	16,948,297.05	4.93
The Maturity 7-10 year	5,309,645.70	1.54
LB336A	5,309,645.70	1.54
The Maturity exceeding 10 year	17,728,099.00	5.15
ESGLB35DA	17,728,099.00	5.15

Thai Balanced Fund  
Details of Investment ,Borrowing and Obligations  
As of January 31, 2024

	Market Value	%NAV
<b>Domestic : Assets and Securities List</b>		
<b><u>Debenture</u></b>	<b><u>42,892,299.32</u></b>	<b><u>12.47</u></b>
Rate AAA	1,001,691.64	0.29
PTTC255A	1,001,691.64	0.29
Rate AA-	7,143,733.80	2.08
CRC26NA	1,015,576.37	0.30
ML243A	5,117,177.55	1.49
KTC265A	1,010,979.88	0.29
Rate A+	10,117,647.29	2.94
CPALL316A	3,051,276.93	0.89
CPAXT253A	2,024,712.86	0.59
LOTUSS244A	5,041,657.50	1.47
Rate A	18,577,825.41	5.40
BJC293A	15,547,331.70	4.52
FPT25NA	3,030,493.71	0.88
Rate A-	2,013,111.94	0.59
CENTEL266A	2,013,111.94	0.59
Non-Rated	4,038,289.24	1.17
ASK24NA	4,038,289.24	1.17
<b><u>Deposits</u></b>	<b><u>5,216,497.46</u></b>	<b><u>1.52</u></b>
UNITED OVERSEAS BANK (THAI) PUBLIC COMPANY LIMITED	4,982,031.09	1.45
TMBTHANACHART BANK PUBLIC COMPANY LIMITED	234,466.37	0.07
<b><u>Others</u></b>	<b><u>-5,497,940.38</u></b>	<b><u>-1.60</u></b>
Other Assets	2,265,055.33	0.66
Other Liabilities	-7,762,995.71	-2.26
<b>Net Asset Value</b>	<b>343,988,611.35</b>	<b>100.00</b>

#### A description of the instrument rating by credit rating institutions

- AAA The highest rating, indicates risk investment having smallest degree of credit risk. The company has extremely strong capacity to pay interest and repay principal on time and is unlikely to be affected by adverse changes in business, economic or other external conditions
- AA The rating indicates a debt instruments with a very low degree of credit risk.
- A rating indicates a debt instruments with low credit risk.
- BBB The rating indicates a debt instruments with moderate credit risk.
- BB The rating indicates a debt instruments with high credit risk.
- B The rating indicates a debt instruments with very high credit risk.
- C The rating indicates a debt instruments with highest risk of default. The company's performance to repay/not repay principle and to pay/not pay interest on time is significantly depend upon the favorable business, economic or other external conditions to meet its obligations.
- D The rating for a debt instruments for which payment is in default

**Summary Report of Invested Money**

**Thai Balanced Fund**

**As at January 31, 2024**

**- Details of Investment in the Debt Instrument , issued by the Thai Entities or offered in Thailand**

<b>Category of Securities</b>	<b>Market Vale</b>	<b>%NAV</b>
(A) Government Bond	106,895,616.85	31.08
(B) Securities issued, certified, accepted of avaled , endorsed or guaranteed by a bank established by specific law , commercial bank , finance company	0.00	0.00
(C) Securities whose its issuer,acceptor,aval giver,endorser or guarantor is the company receiving the credit rating at the investment grade level	42,892,299.32	12.47
(D)* Securities whose its issuer,acceptor,aval giver,endorser or guarantor is the company receiving the credit rating at the lower than the investment grade level or without credit rating	0.00	0.00

Remark \* The market value and % NAV under item (D) above is inclusive of the intrusment receiving the credit rating at the level of investment Grade

-The Upper Limit of the category (D) in which the Management Company is likely to invest 15.00 %NAV



**Details of Instruments and the Ranking of Credit of respective Instruments in the Portfolio**  
**Thai Balanced Fund**  
**As of January 31, 2024**

Type	Securities	Issuer	Guarantor/Acceptor/ Endorser	Maturity Date	Rating	Face Value	Market Value
<b>Government Bond</b>							
ESGLB35DA		MINISTRY OF FINANCE		17/12/2035	-	20,000,000.00	17,728,099.00
GGLB262A		BANK FOR AGRICULTURE AND AGRICULTURAL COOPERATIVES	MINISTRY OF FINANCE	24/02/2026	-	5,000,000.00	5,044,148.30
LB24DB		MINISTRY OF FINANCE		17/12/2024	-	30,000,000.00	29,834,261.40
LB26DA		MINISTRY OF FINANCE		17/12/2026	-	28,000,000.00	27,957,595.96
LB273A		MINISTRY OF FINANCE		17/03/2027	-	1,000,000.00	1,007,864.47
LB28DA		MINISTRY OF FINANCE		17/12/2028	-	2,000,000.00	2,055,237.68
LB293A		MINISTRY OF FINANCE		17/03/2029	-	1,000,000.00	1,010,467.29
LD296A		MINISTRY OF FINANCE		22/06/2029	-	15,000,000.00	16,940,297.05
LB336A		MINISTRY OF FINANCE		17/06/2033	-	5,000,000.00	5,309,645.70
<b>Debenture</b>							
ASK24NA		Asia Sermkij Leasing Public Company Limited		18/11/2024	-	4,000,000.00	4,038,289.24
BJC293A		BERLI JUCKER PUBLIC COMPANY LIMITED		24/03/2029	A	15,000,000.00	15,547,331.70
CENTEL266A		CENTRAL PLAZA HOTEL PUBLIC COMPANY LIMITED		22/06/2026	A-	2,000,000.00	2,013,111.94
CPALL316A		CPALL PUBLIC COMPANY LIMITED		16/06/2031	A+	3,000,000.00	3,051,276.93
CPAXT253A		CPAXTRA PUBLIC COMPANY LIMITED		13/03/2025	A+	2,000,000.00	2,024,712.86
CRC26NA		Central Retail Corporation Public Company Limited		16/11/2026	AA-	1,000,000.00	1,015,576.37
FPT25NA		FRASERS PROPERTY (THAILAND) PUBLIC COMPANY LIMITED		04/11/2025	A	3,000,000.00	3,030,493.71
ML243A		INDORAMA VENTURES PUBLIC COMPANY LIMITED		14/03/2024	AA-	5,000,000.00	5,117,177.55
KTC265A		KRUNGTHAI CARD PUBLIC COMPANY LIMITED		29/05/2026	AA-	1,000,000.00	1,010,979.88
LOTUSS244A		EK-CHAI DISTRIBUTION SYSTEM COMPANY LIMITED		20/04/2024	A+	5,000,000.00	5,041,657.50
PTTC255A		PTT PUBLIC COMPANY LIMITED		10/05/2025	AAA	1,000,000.00	1,001,691.64
						<b>Total</b>	<b>149,787,916.17</b>

UOB Asset Management (Thailand) Co., Ltd.

Thai Balanced Fund

Financial Statement

(Unaudited)

<b>Statements of Income</b>		<b>Balance sheets</b>	
<b>From August 1, 2023 To January 31, 2024</b>		<b>As at January 31, 2024</b>	
		<b>Assets</b>	
Investment Incomes		Investments at fair value (at cost : Baht 354,333,799.55 )	343,447,312.76
Dividend income	1,338,431.79	Cash at banks	5,211,708.83
Interest income	1,988,673.45	Accounts receivable	
Other income	0.00	From sales of investments	2,202,395.90
Total incomes	3,327,105.24	From dividend and interest	892,546.14
Expenses		Deferred expenses - net	0.00
Management fee	1,906,377.57	Other asset	0.00
Trustee fee	33,361.58	Total Assets	351,753,963.63
Transaction fee	0.00		
Registrar fee	228,765.26	<b>Liabilities</b>	
Investment advisory fee	0.00	Accounts payable From purchases of investments	3,864,249.50
Professional fee	28,550.86	Accrued expenses	411,810.43
Deferred expenses-written off	0.00	Other liabilities	3,489,292.35
Other expenses	475,429.45	Total Liabilities	7,765,352.28
Total expenses	2,672,484.72		
Net income from investments	654,620.52	Net assets :	343,988,611.35
		<b>Net assets</b>	
Net gain (loss) on investments		Capital received from unitholders	402,164,409.56
Net realized gain (loss) on investments	(5,387,920.38)	Retained earnings	
Net unrealized gain (loss) on investments	(13,064,612.63)	Equalization account	133,668,959.17
Total net realized and unrealized gain (loss) on investments	(18,452,533.01)	Retained earnings (deficit) from operations	(191,844,757.38)
Increase (Decrease) in asset from operations	(17,797,912.49)	Net assets value	343,988,611.35
Dividend payment during year	0.00	Net assets value per unit	8.5534
Increase (Decrease) in net asset from operations	(17,797,912.49)	Investment units sold at the end of the year (units)	40,216,440.9563

**Portfolio Turnover Ratio (PTR)**

**Thai Balanced Fund**

**For the period of August 1, 2023 to January 31, 2024**

32.12%
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**Credit rating of the bank or financial institution**

**Thai Balanced Fund**

**As of January 31, 2024**

Bank of deposit	Credit ratings by international institution	Credit ratings by domestic institution
United Overseas Bank (Thai) Pcl.	Baa1 (Moody)	AAA (Fitch)

### List of Soft Commission

No.	Brokerage	Soft Commission	Reason for receiving
1	BUALUANG SECURITIES PUBLIC COMPANY LIMITED	News / Researching	To help with the investment decisions
2	INNOVESTX SECURITIES COMPANY LIMITED		
3	UOB KAYHIAN SECURITIES (THAILAND) PUBLIC COMPANY LIMITED		
4	KASIKORN SECURITIES PUBLIC COMPANY LIMITED		
5	KGI SECURITIES (THAILAND) PUBLIC COMPANY LIMITED		
6	KRUNGSRI CAPITAL SECURITIES PUBLIC COMPANY LIMITED		
7	YUANTA SECURITIES (THAILAND) COMPANY LIMITED		
8	THANACHART SECURITIES PUBLIC COMPANY LIMITED		
9	TISCO SECURITIES COMPANY LIMITED		
10	KIATNAKIN PHATRA SECURITIES PUBLIC COMPANY LIMITED		
11	UBS SECURITIES (THAILAND) COMPANY LIMITED		
12	MAYBANK SECURITIES (THAILAND) PUBLIC COMPANY LIMITED		
13	DBS VICKERS SECURITIES (THAILAND) COMPANY LIMITED		
14	KRUNGSRI SECURITIES PUBLIC COMPANY LIMITED		
15	CGS-CIMB SECURITIES (THAILAND) COMPANY LIMITED		

### **List of Connected Person with transactions**

**For the period of August 1, 2023 to January 31, 2024**

List of Connected Persons who had transactions with Fund
- None -

Remark :

The investors can verify the Connected Persons' transactions of fund directly at UOB Asset Management (Thailand) Co., Ltd. or through the website of the Company ([www.uobam.co.th](http://www.uobam.co.th)) or The Securities and Exchange Commission ([www.sec.or.th](http://www.sec.or.th))

**Report on non-compliance of investment limit**

**Thai Balanced Fund**

**For the period of August 1, 2023 to January 31, 2024**

Date	Fund Name	Ratio at the end of the day (%NAV)	Ratios of the project (%NAV)	cause	performance
-None-	-	-	-	-	-

**Information on the exceeding of 1/3 unit holding**

**Thai Balanced Fund**

**As of January 31, 2024**

Thai Balanced Fund Unitholders are more than 1 in 3 by any one person.

A number of groups is proportional to the rate of 34.1261 percent.

Remark :

The investor can verify the information on the exceeding of 1/3 unit holding through the website of the company ([www.uobam.co.th](http://www.uobam.co.th))



ชำระค่าใบรับเงินฝากเงินแล้ว  
ใบอนุญัตติเอกสารที่ 33/2540  
ปกณ. ยานนาวา

เหตุผลที่ต้องส่งข้อมูลผู้รับเงินฝาก	
<input type="checkbox"/>	1. สำเนาใบเตือน
<input type="checkbox"/>	2. ไม่มีเอกสารที่ทางหน่วยงานส่งมาให้
<input type="checkbox"/>	3. ไม่ยอมรับ
<input type="checkbox"/>	4. ไม่มีผู้รับตามสำเนา
<input type="checkbox"/>	5. ไม่มารับภายในกำหนด
<input type="checkbox"/>	6. เลิกกิจการ
<input type="checkbox"/>	7. ย้ายไปทราบที่อื่นใหม่
<input type="checkbox"/>	8. อื่นๆ .....
ลงชื่อ .....	

UOB Asset Management (Thailand) Co.,Ltd.

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[www.uobam.co.th](http://www.uobam.co.th)