

**United Robotics & Artificial Intelligence ETF : UBOT**

*Interim Report*

*(July 1, 2023 - December 31, 2023)*

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## Message from the Company

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To Unitholders

### Market Summary

Global economy slowed down due to continuous rate hikes by major central banks and high level of inflation. Economic activities returned to normal level especially in the US and caused central banks and governments to remove supporting fiscal and monetary policies. The sluggish was mostly seen in manufacturing sector, but not in service sector which is Fed's target so that FED kept hiking rates. This hiking cycle is one of the fastest rate hikes in market history. However, real interest rate remains at low level. Geopolitical risks, high level of inflation and more than expected rate hikes will be negative factors to economic growth as well as global capital markets and will also cause market volatility.

### Fund's Investment Strategy

The fund invests into Global X Robotics & Artificial Intelligence ETF (Master Fund) at least 80% of NAV. The master fund seeks to invest in companies that potentially stand to benefit from increased adoption and utilization of robotics and artificial intelligence (AI), including those involved with industrial robotics and automation, non-industrial robots, and autonomous vehicles.

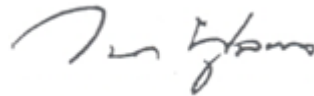
### Asset allocation as at December 31, 2023

Region	%/NAV
United States	51.33
Japan	27.66
Switzerland	11.10
Norway	2.58
Canada	1.68
Others	5.65

As we have managed United Robotics & Artificial Intelligence ETF for a period of half year on December 31, 2023, we would like to inform the net value to unit holder, The fund has a net asset value 51,356,251.41 Baht in asset value or its earning per unit is at 12.7778 Baht. (As of December 28, 2023)

In this connection, we would like to express our sincere thanks to the trust of all Unitholders has been extended to us for your investment management United Robotics & Artificial Intelligence ETF. Should you have any further question or need more information, You can monitor or follow the announcement the net asset value of the Fund in the company website.

UOB Asset Management (Thailand) Co., Ltd.

A handwritten signature in black ink, appearing to read 'Vana Bulbon', is centered on the page.

(Mr. Vana Bulbon)  
Chief Executive Officer

**List of Board of Directors And Management Team**  
**UOB Asset Management (Thailand) Co., Ltd.**

**Board of Directors**

- |    |                           |                            |
|----|---------------------------|----------------------------|
| 1. | Mr. Lee Wai Fai           | Chairman Board of Director |
| 2. | Mr. Thio Boon Kiat        | Director                   |
| 3. | Mr. Sanchai Apisaksirikul | Director                   |
| 4. | Ms. Aumporn Supjindavong  | Director                   |
| 5. | Mr. Vana Bulbon           | Director and CEO           |

**Management Team**

- |    |              |              |  |
|----|--------------|--------------|--|
| 1. | Mr. Vana     | Bulbon       | Chief Executive Officer                            |
| 2. | Mrs. Sunaree | Piboonsakkul | Senior Director (Operation Division)               |
| 3. | Mr. Jerdphan | Nithayayon   | Senior Director (Investment Division)              |
| 4. | Ms. Rachada  | Tangharat    | Executive Director (Business Development Division) |

**Office Location**

UOB Asset Management (Thailand) Co., Ltd.  
23A, 25 Floor, Asia Centre Building, 173/27-30, 31-33  
South Sathon Road, Thungmahamek, Sathon,  
Bangkok 10120, Thailand  
Tel : +66 2786 2222  
Fax : +66 2786 2377



ธนาคารกสิกรไทย  
开泰银行 KASIKORNBANK



Dear : The Unit holders of UNITED ROBOTICS & ARTIFICIAL INTELLIGENCE ETF

**Mutual Fund Supervisor Opinion**

We, The Kasikornbank Public Company Limited, as the Mutual Fund Supervisor of UNITED ROBOTICS & ARTIFICIAL INTELLIGENCE ETF by UOB Asset Management (Thailand) Company Limited from July 1, 2023 until December 31, 2023 consider that UOB Asset Management (Thailand) Company Limited has well performed and fully completed its duties pursuant to its project and Securities and Exchange Act B.C. 2535.

**Mutual Fund Supervisor**

January 8, 2024

K-Contact Center 02-8888888  
www.kasikornbank.com

บริการทุกระดับประทับใจ

ทะเบียนเลขที่ 0107538000315

## United Robotics & Artificial Intelligence ETF

### Name List of Fund Manager

For the period of July 1, 2023 to December 31, 2023

No.	Name List of Fund Manager
1	Ms. Vannachan Ungthavorn*
2	Mr. Thitirat Ratanasingha*
3	Mr. Tanapat Suriyodom
4	Mr. Guy Siriphanporn*
5	Ms. Pornsajee Worasuttipisit
6	Mr. Waroon Saptaweekul

\* Fund manager and portfolio manager in derivatives ( if any )





## United Robotics & Artificial Intelligence ETF

### Total Expenses as called from fund Table

From July 1, 2023 to December 31, 2023

Called expenses from fund (Fund's direct expense)	Amount Unit : Thousand	Percentage of Net Assets Value
Management fee	113.48	0.5350
Trustee fee	6.81	0.0321
Transaction fee	14.55	0.0346
Registrar fee	2.80	0.0107
Sale Promotion - IPO	-	-
Sale Promotion - After IPO	-	-
Auditing Fee	21.01	0.0990
Bank Charge	-	-
Other Expenses*	11.70	0.0551
<b>Total Expenses **</b>	<b>170.35</b>	<b>0.7665</b>

Remark \* Other expense which each items is less than 0.01% of NAV

\*\* Included VAT (if any) and Not included brokerage fee

## United Robotics & Artificial Intelligence ETF

### Brokerage Fee

From July 1, 2023 to December 31, 2023

	Broker Name	Brokerage Fee (Baht)	% of Total Brokerage Fee
1	UOB KAY HIAN PTE. LTD.	26,123.73	100.00
	Total	26,123.73	100.00

**United Robotics & Artificial Intelligence ETF**  
**Details of Investment ,Borrowing and Obligations**  
**As of December 31, 2023**

	Market Value	%NAV
<b>Domestic : Assets and Securities List</b>		
<b><u>Deposits</u></b>	<b><u>607,434.15</u></b>	<b><u>1.19</u></b>
KASIKORNBANK PUBLIC COMPANY LIMITED	571,675.75	1.12
KASIKORNBANK PUBLIC COMPANY LIMITED (USD)	35,758.40	0.07
<b><u>Others</u></b>	<b><u>3,426,964.09</u></b>	<b><u>6.72</u></b>
Other Assets	3,764,929.87	7.38
Other Liabilities	-337,965.78	-0.66
<b>USA : Assets and Securities List</b>		
<b><u>Common Stocks</u></b>	<b><u>45,495,026.01</u></b>	<b><u>89.15</u></b>
Listed Securities	45,495,026.01	89.15
Unit Trust	45,495,026.01	89.15
BOTZ.US	45,495,026.01	89.15
<b>Futures Contracts</b>		
<b><u>Forward Contracts</u></b>	<b><u>1,502,775.55</u></b>	<b><u>2.94</u></b>
Forward Contracts	1,502,775.55	2.94
<b>Net Asset Value</b>	<b>51,032,199.80</b>	<b>100.00</b>

Details of Instruments and the Ranking of Credit of respective Instruments in the Portfolio  
 United Robotics & Artificial Intelligence ETF  
 As of December 31, 2023

Detail of investment in the Futures contracts

<u>Type of Contract</u>	<u>Counter Party</u>	<u>Rating</u>	<u>Objective</u>	<u>Market Value</u>	<u>%NAV</u>	<u>Maturity Date</u>	<u>(net gain/loss)</u>
Currency Derivatives Contracts							
Forward Contracts	KIATNAKIN PHATRA BANK PUBLIC COMPANY LIMITED	A	Hedging	1,348,055.50	2.64	14/02/2024	1,348,055.50
	CIMB THAI BANK PUBLIC COMPANY LIMITED	AA	Hedging	154,720.05	0.30	14/02/2024	154,720.05

UOB Asset Management (Thailand) Co., Ltd.

United Robotics & Artificial Intelligence ETF

Financial Statement

(Unaudited)

Statements of Income		Balance sheets	
From July 1, 2023 To December 31, 2023		As at December 31, 2023	
		<b>Assets</b>	
Investment Incomes		Investments at fairvalue (at cost : Baht 39,068,488.27 )	45,495,026.01
Dividend income	7,050.38	Cash at banks	607,226.58
Interest income	2,970.91	Accounts receivable	
Other income	0.00	From sales of investments	3,732,899.00
Total incomes	10,021.29	From dividend and interest	7,257.95
Expenses		Deferred expenses - net	0.00
Management fee	113,481.80	Other asset	1,530,771.93
Trustee fee	21,360.94	Total Assets	51,373,181.47
Registrar fee	2,804.58		
Set-up Fund Fee	0.00	<b>Liabilities</b>	
Investment advisory fee	0.00	Accounts payable From purchases of investments	0.00
Professional fee	21,009.22	Accrued expenses	45,671.49
Deferred expenses-written off	0.00	Other liabilities	295,310.18
Other expenses	38,269.25	Total Liabilities	340,981.67
Total expenses	196,925.79		0.00
Net income (loss) from investments	(186,904.50)	Net assets :	51,032,199.80
Gain (loss) on exchange rate	(2,495,691.77)		
Net gain (loss) on investments		<b>Net assets</b>	
Net realized gain (loss) on investments	2,662,952.55	Capital received from unitholders	40,191,546.72
Net unrealized gain (loss) on investments	(4,673,644.77)	Retained earnings	
Net unrealized gain (loss) on forward sold contract	3,303,014.84	Equalization account	(276,594.57)
Total net gain (loss) on investments	1,292,322.62	Retained earnings(deficit) from operations	11,117,247.65
Increase (Decrease) in asset from operations	(1,390,273.65)	Net assets value	51,032,199.80
Dividend payment during year	0.00	Net assets value per unit	12.6972
Increase (Decrease) in net asset from operations	(1,390,273.65)	Investment units sold at the end of the year (units)	4,019,154.6722

**Portfolio Turnover Ratio (PTR)**

**United Robotics & Artificial Intelligence ETF**

**For the period of July 1, 2023 to December 31, 2023**

37.26%
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**Credit rating of the bank or financial institution**

**United Robotics & Artificial Intelligence ETF**

**As of December 28, 2023**

Bank of deposit	Credit ratings by international institution	Credit ratings by domestic institution
-None-	-	-

### List of Soft Commission

No.	Brokerage	Soft Commission	Reason for receiving
-	-None-	-	-



**List of Connected Person with transactions**

**For the period of July 1, 2023 to December 31, 2023**

List of Connected Persons who had transactions with Fund
-None-

Remark :

The investors can verify the Connected Persons' transactions of fund directly at UOB Asset Management (Thailand) Co., Ltd. or through the website of the Company ([www.uobam.co.th](http://www.uobam.co.th)) or The Securities and Exchange Commission ([www.sec.or.th](http://www.sec.or.th))

**Report on non-compliance of investment limit**

**United Robotics & Artificial Intelligence ETF**

**For the period of July 1, 2023 to December 31, 2023**

Date	Fund Name	Ratio at the end of the day (%NAV)	Ratios of the project (%NAV)	cause	performance
-None-	-	-	-	-	-

**Information on the exceeding of 1/3 unit holding**

**United Robotics & Artificial Intelligence ETF**

**As of December 28, 2023**

United Robotics & Artificial Intelligence ETF Unitholders are more than 1 in 3 by any one person.  
A number of groups is proportional to the rate of 76.1005 percent.

Remark :

The investor can verify the information on the exceeding of 1/3 unit holding through the website of the company ([www.uobam.co.th](http://www.uobam.co.th))

ชำระค่าไปรษณีย์จากธนบัตร  
ใบอนุญาตนเลขที่ 3372540  
ปลา. 614777

**เหตุผลที่ต้องทำแบบสำรวจนี้คือ**

- 1. เจ้าหน้าที่ไม่ชัดเจน
- 2. ไม่มีเอกสารดำเนินงานล่าช้า
- 3. ไม่ยอมรับ
- 4. ไม่มีผู้รับผิดชอบล่าช้า
- 5. ไม่สามารถประเมินค่าขนาด
- 6. เกิด litigation
- 7. ย้ายไม่ทราบที่อยู่ใหม่
- 8. อื่นๆ.....

ลงชื่อ .....

UOB Asset Management (Thailand) Co., Ltd.

23A, 25 Floor, Asia Centre Building, 173/27-30, 31-33 South Sathon Road, Thungmahamek, Sathon,

Bangkok 10120, Thailand Tel : +66 2786 2222 Fax : +66 2786 2377 www.uobam.co.th