

**United Global Income Strategic Bond Fund : UGIS**

*Interim Report*  
*(February 1, 2021 - July 31, 2021)*

# Content

---

	Page
Message from the Company	1
List of Board of Directors and Management Team	3
Supervisor Report	4
Name List of Fund Manager	5
Fund Performance	6
PIMCO GIS Income Fund (Class I) (Master Fund)	8
Fund Expenses	10
Fund Details of Investment, Borrowing and Obligations	11
Details of Instruments and the Ranking of Credit of respective Instruments in the Portfolio	12
Financial Statement	13
Portfolio Turnover Ratio (PTR)	14
Credit rating of the bank or financial institution	15
List of Soft Commission	16
List of Connected Person with transaction	17
Report on non-compliance of investment limit	18
Information on the exceeding of 1/3 Unit holding	19

---

---

## Message from the Company

---

---

To Unitholders

### Market Summary

Global economy recovers while Covid-19 pandemic remains as vaccination reveals good progress. Economic activities are still below normal level especially in service sector. Nonetheless, central banks and governments still maintained easing fiscal and monetary policies to combat such impacts which could support global economy and reduce headwind for risk assets. A rise in inflation led to expectation of FED tapering and a rise in bond yields although policy rates are likely to maintain at low level.

### Fund's Investment Strategy

The fund would invest in PIMCO GIS Income Fund (Class I) at the average of no less than 80% of its total net asset value. The master fund is a retail fund managed by PIMCO Global Advisors (Ireland) Limited and registered in Ireland. PIMCO GIS Income Fund (Class I) has investment objectives to generate incomes and unit price growths in long terms with diversified fixed income asset classes at least 2/3 of its total net asset value.

Asset Allocation as of July 31, 2021 is as follows

Sector	%/NAV
US Government Related	0.2
Securitized	40.2
Investment Grade Corporate	14.7
High Yield Corporate	17.3
Emerging Market	17.9
Others	9.7
Total	100.00

Portfolio Characteristics as of July 31, 2021 is as follows

Effective Duration	1.85 Years
Average Credit rating	A-
Yield to Maturity	3.28%

As we have managed United Global Income Strategic Bond Fund for a period of one year on July 31, 2021 we would like to inform the net value to unit holder, United Global Income Strategic Bond Fund – A has a net asset value 897,668,733.77 baht in asset value or its earning per unit is at 11.7256 baht United Global Income Strategic Bond – N has a net asset value 8,441,064,653.98 baht in asset value or its earning per unit is at 11.7259 baht and United Global Income Strategic Bond – SSF has a net asset value 6,253,376.46 baht in asset value or its earning per unit is at 11.7244 baht. (As of July 30, 2021)

In this connection, we would like to express our sincere thanks to the trust of all Unitholders has been extended to us for your investment United Global Income Strategic Bond Fund. Should you have any further question or need more information, You can monitor or follow the announcement the net asset value of the Fund or the company website.

UOB Asset Management (Thailand) Co., Ltd.



(Mr. Vana Bulbon)  
Chief Executive Officer

## **List of Board of Directors And Management Team**

### **UOB Asset Management (Thailand) Co., Ltd.**

#### **Board of Directors**

- |    |                           |                            |
|----|---------------------------|----------------------------|
| 1. | Mr. Lee Wai Fai           | Chairman Board of Director |
| 2. | Mr. Thio Boon Kiat        | Director                   |
| 3. | Mr. Sanchai Apisaksirikul | Director                   |
| 4. | Mrs. Kanlika Buspavanich  | Director                   |
| 5. | Mr. Vana Bulbon           | Director and CEO           |

#### **Management Team**

- |    |              |              |   |
|----|--------------|--------------|---|
| 1. | Mr. Vana     | Bulbon       | Chief Executive Officer                         |
| 2. | Mrs. Sunaree | Piboonsakkul | Senior Director (Operation Division)            |
| 3. | Mr. Jerdphan | Nithayayon   | Senior Director (Investment Division)           |
| 4. | Ms. Rachada  | Tangharat    | Senior Director (Business Development Division) |

#### **Office Location**

UOB Asset Management (Thailand) Co., Ltd.  
23A, 25 Floor, Asia Centre Building, 173/27-30, 32-33  
South Sathon Road, Thungmahamek, Sathon,  
Bangkok 10120, Thailand  
Tel : +66 2786 2222  
Fax : +66 2786 2377



**Dear : The Unit holders of United Global Income Strategic Bond Fund**

**Mutual Fund Supervisor Opinion**

**We, The Kasikornbank Public Company Limited, as the Mutual Fund Supervisor of United Global Income Strategic Bond Fund by UOB Asset Management (Thailand) Co., Ltd. from February 1, 2021 until July 31, 2021 consider that UOB Asset Management (Thailand) Co., Ltd. has well performed and fully completed its duties pursuant to its project and Securities and Exchange Act B.E. 2535.**

**Mutual Fund Supervisor**

**Aug 6, 2021**

**United Global Income Strategic Bond Fund**

**Name List of Fund Manager**

**For the period of February 1, 2021 To July 31, 2021**

No.	Name List of Fund Manager
1	Ms. Vannachan Ungthavorn*
2	Mr. Kittiphong Kangwankiattichai*
3	Mr. Thitirat Ratanasingha*
4	Ms. Sirianong Peyasantiwong
5	Mr. Nopphon Narasri

\* Fund Manager and portfolio manager in derivative.(if any)





United Global Income Strategic Bond Fund – SSF

	2554	2555	2556	2557	2558	2559	2560	2561	2562	2563
	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020
ผลตอบแทนของกองทุน (Fund Return)	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	0.70%
ผลตอบแทนตัวชี้วัด (Benchmark Return)	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	0.49%
ความผันผวนของผลดำเนินงาน (Fund Standard Deviation)	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	0.35%
ความผันผวนของตัวชี้วัด (Benchmark Standard Deviation)	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	0.56%

	ตั้งแต่ต้นปี (YTD) <sup>1)</sup>	3 เดือน (3 Months) <sup>1)</sup>	6 เดือน (6 Months) <sup>1)</sup>	1 ปี (1 Year) <sup>2)</sup>	3 ปี (3 Years) <sup>2)</sup>	5 ปี (5 Years) <sup>2)</sup>	10 ปี (10 Years) <sup>2)</sup>	ตั้งแต่จัดตั้ง (Since Inception) <sup>3)</sup>
ผลตอบแทนของกองทุน (Fund Return)	2.46%	1.47%	2.18%	N/A	N/A	N/A	N/A	3.18%
ผลตอบแทนตัวชี้วัด (Benchmark Return)	0.56%	2.71%	1.15%	N/A	N/A	N/A	N/A	1.05%
ความผันผวนของผลดำเนินงาน (Fund Standard Deviation)	1.61%	0.88%	1.56%	N/A	N/A	N/A	N/A	1.65%
ความผันผวนของตัวชี้วัด (Benchmark Standard Deviation)	2.48%	1.57%	2.37%	N/A	N/A	N/A	N/A	2.54%

Remark : 1. Return per period

2. Return per year

3. If Since inception < 1 Year Return per period, If Since inception  $\geq$  1 Year Return per year

- Benchmark : 90% of Bloomberg Barclays US Aggregate Total Return Value Unhedged USD index adjusted by cost of FX hedging and converted to THB and 10% of Bloomberg Barclays US Aggregate Total Return Value Unhedged USD index converted to THB.
- Performance measures used in this annual report comply with AIMC performance presentation standards.
- Past performance / performance comparison relating to a capital market product is not a guarantee of future results.

# ข้อมูลของ PIMCO GIS Income Fund (Class I) (Master Fund)

PIMCO

As of 31 July 2021

PIMCO Funds: Global Investors Series plc

## Income Fund

### INSTITUTIONAL CLASS ACCUMULATION AND INCOME SHARES

Morningstar Rating™ ★★★★★

#### FUND DESCRIPTION

The Income Fund is a portfolio that is actively managed and utilizes a broad range of fixed income securities that seek to produce an attractive level of income while maintaining a relatively low risk profile, with a secondary goal of capital appreciation.

#### INVESTOR BENEFITS

This fund seeks to meet the needs of investors who are targeting a competitive and consistent level of income without compromising long term capital appreciation. The fund seeks to generate a competitive monthly dividend while also maintaining a focus on the total return objective. The fund aims to achieve this by employing PIMCO's best income-generating ideas across global fixed income sectors with an explicit mandate on risk-factor diversification. The fund offers daily liquidity.

#### THE FUND ADVANTAGE

This fund is designed for investors who seek steady income. It takes a broad-based approach to investing in income-generating bonds. The fund taps into multiple areas of the global bond market, and employs PIMCO's vast analytical capabilities and sector expertise to help temper the risks of high income investing. This approach seeks to provide consistent income over the long term.

#### RISK PROFILE

Unit value can go up as well as down and any capital invested in the fund may be at risk. The fund may invest in non-U.S. and non-eurozone securities which involve potentially higher risks including currency fluctuations and political or economic developments. These may be enhanced when investing in emerging markets. Funds that invest in high-yield, lower-rated securities, will generally involve greater volatility and risk to principal than investments in higher-rated securities. The fund may use derivatives for hedging or as part of its investment strategy which may involve certain costs and risks. Portfolios investing in derivatives could lose more than the principal amount invested. For more details on the fund's potential risks, please read the Prospectus and Key Investor Information Document.

#### KEY FACTS

	Accumulation	Income
Bloomberg Ticker	PIMNIA	PINCMII
ISIN	IE00887KCF77	IE00888DQ960
Sedol	B87KCF7	B8JDO96
CUSIP	G7113P361	G7112M427
Valoren	19921094	19762787
WKN	A1J7HG	A1J5ZC
Inception Date	30/11/2012	30/11/2012
Distribution	—	Monthly
Unified Management Fee	0.55% p.a.	0.55% p.a.
Fund Type	UCIS	
Portfolio Manager	Dan Ivascyn, Alfred T. Murata, Joshua Anderson	
Total Net Assets	72.9 (USD in Billions)	
Fund Base Currency	USD	
Share Class Currency	USD	

For questions regarding the PIMCO Funds: Global Investors Series plc, please call +65 6826 7589.

A company of Allianz

#### Performance (Net of Fees)

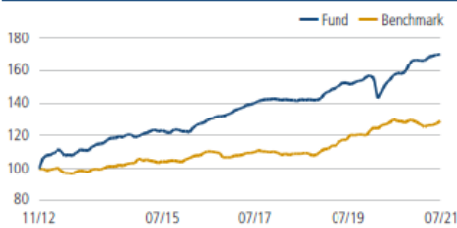


Chart shows performance from the first month-end, rebased to 100, for the oldest share class. Past Performance is not a guarantee or reliable indicator of future results. Source: PIMCO

#### Fund Statistics

Effective Duration (yrs)	1.85
Benchmark Duration (yrs)	6.57
Estimated Yield to Maturity (%) <sup>1</sup>	3.28
Annualised Distribution Yield <sup>2</sup>	3.63
Average Credit Quality	A-

Performance (Net of Fees)	1 Mo.	3 Mos.	6 Mos.	1 Yr.	3 Yrs.	5 Yrs.	SI
Institutional, Acc (%)	0.35	1.31	1.97	8.54	6.12	5.64	6.34
Institutional, Inc (%)	0.30	1.24	1.92	8.56	6.11	5.64	6.35
Benchmark (%)	1.12	2.16	0.21	-0.70	5.73	3.13	—

Past Performance is not a guarantee or reliable indicator of future results and no guarantee is being made that similar returns will be achieved in the future.

Calendar Year (Net of Fees)	2013	2014	2015	2016	2017	2018	2019	2020	YTD
Institutional, Acc (%)	4.70	7.28	2.93	8.30	7.36	0.21	9.15	6.53	2.34
Institutional, Inc (%)	4.79	7.20	2.94	8.37	7.36	0.21	9.11	6.52	2.31
Benchmark (%)	-2.02	5.97	0.55	2.65	3.54	0.01	8.72	7.51	-0.50

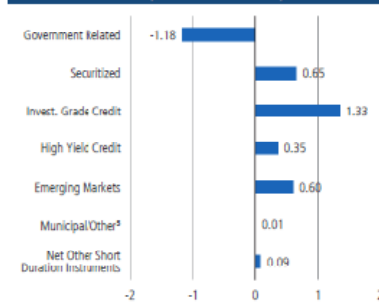
Past Performance is not a guarantee or reliable indicator of future results and no guarantee is being made that similar returns will be achieved in the future. The benchmark is the Bloomberg Barclays U.S. Aggregate Index. All periods longer than one year are annualised. SI is the performance since inception.

#### Top 10 Holdings (%MV)\*

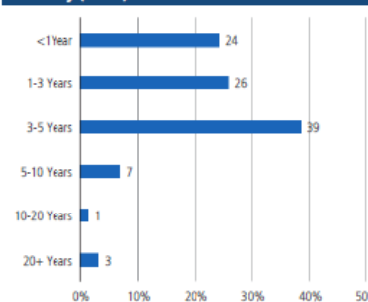
FNMA TBA 2.5% MAY 30YR	5.2
FNMA TBA 3.0% MAY 30YR	4.3
FNMA TBA 3.5% MAY 30YR	3.3
FNMA TRA 3.0% JUN 30YR	3.1
FNMA TBA 3.5% APR 30YR	2.9
FNMA TBA 2.0% JUN 30YR	2.9
BNP PARIBAS ISSUANCE BV SR SEC **ABS**	2.8
U S TREASURY NOTE	1.6
U S TREASURY INFLATE PROT BD	1.5
FNMA TBA 2.5% JUN 30YR	1.4

\*Top 10 holdings as of 31/03/2021, excluding derivatives.

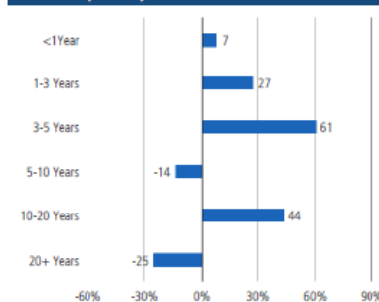
#### Sector Allocation (Duration in Years)



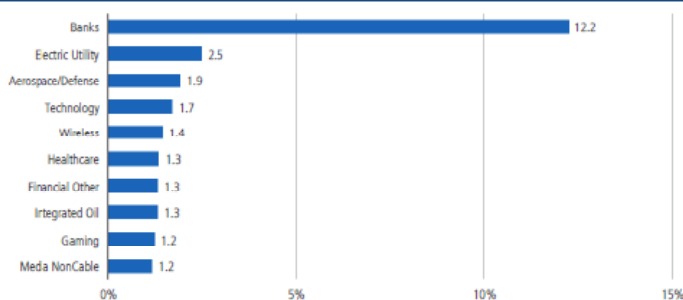
#### Maturity (%MV)



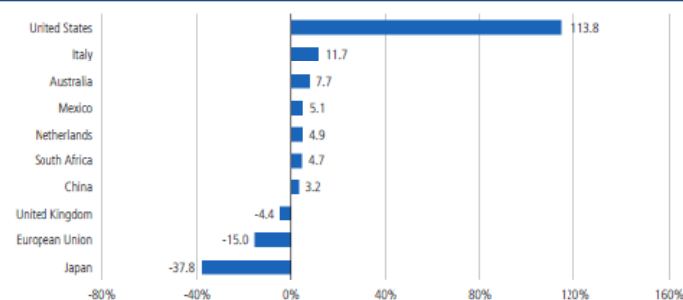
#### Duration (%DWE)



### Top 10 Industries (%MV)



### Top 10 Countries by Contribution to Total Duration (% DWE)



Countries are categorized by currency of settlement. EMU-member countries reflect the country of exposure for EUR-denominated securities. Europe represents European Union instruments that cannot be separated by specific country.

Source: PIMCO, index provider for benchmark data.

<sup>1</sup> Yields reported gross of fees, the deduction of which will reduce the yield. <sup>2</sup> Annualised Distribution Yield is as of last month ending 31/07/2021. <sup>3</sup> Where permitted by the investment guidelines stated in the portfolios offering documents, "other" may include exposure to convertibles, preferred, common stock, equity-related securities, and Yankee bonds. Negative allocations may result from derivative positions and unsettled trades and does not imply the fund is short cash, is levered, or that the derivatives are not fully cash backed. Duration is the sensitivity of a fixed income security to a change in interest rates. The longer the duration of a fixed income security, the higher its sensitivity to interest rates. Duration Weighted Exposure (DWE%) is the percentage weight of each sector's contribution to the overall duration of the fund. Morningstar ratings are shown for funds with 4 or 5 star ratings only. Other share classes ratings are either lower or unavailable. A rating is not a recommendation to buy, sell or hold a fund. Copyright © 2021 Morningstar Ltd. All Rights Reserved. The information contained herein: (1) is proprietary to Morningstar and/or its content providers; (2) may not be copied or distributed; and (3) is not warranted to be accurate, complete or timely. Neither Morningstar nor its content providers are responsible for any damages or losses arising from any use of this information. Past performance is no guarantee of future results. Investment involves risk including possible loss of the principal amount invested. Past performance is not a guarantee or a reliable indicator of future results and no guarantee is being made that similar returns will be achieved in the future. The value of shares of the Fund and the income accruing to them, if any, may fall or rise.

For investors in Philippines: This material is to be used for one-on-one presentations to qualified buyer and not for public distribution. The Shares being offered or sold herein have not been registered with the Securities and Exchange Commission under the Securities Regulation Code of the Philippines. Any future offer or sale thereof is subject to registration requirements under the Code unless such offer or sale qualifies as an exempt transaction.

For investors in Malaysia: This material is to be used for one-on-one presentations to accredited or institutional investors and not for public distribution. No action has been, or will be, taken to comply with Malaysian laws for making available, offering for subscription or purchase, or issuing any invitation to subscribe for or purchase or sale of the shares in Malaysia or to persons in Malaysia as the shares are not intended by the issuer to be made available, or made the subject of any offer or invitation to subscribe or purchase, in Malaysia. Neither this document nor any document or other material in connection with the shares should be distributed, caused to be distributed or circulated in Malaysia. No person should make available or make any invitation or offer or invitation to sell or purchase the shares in Malaysia unless such person takes the necessary action to comply with Malaysian laws.

For investors in Thailand: This material is to be used for one-on-one presentations to institutional investors and not for public distribution. This material has not been approved by the Securities and Exchange Commission which takes no responsibility for its contents. No offer to the public to purchase the Shares will be made in Thailand and this material is intended to be read by the addressee only and must not be passed to, issued to, or shown to the public generally.

PIMCO Asia Pte Ltd is regulated by the Monetary Authority of Singapore as a holder of a capital markets services licence and an exempt financial adviser. The asset management services and investment products are not available to persons where provision of such services and products is unauthorised.

This information is not for use within any country or with respect to any person(s) where such use could constitute a violation of the applicable law. PIMCO Funds: Global Investors Series plc is an umbrella type open-ended investment company with variable capital and with segregated liability between Funds incorporated with limited liability under the laws of Ireland with registered number 276928. Unified management fee is a single fixed Management Fee out of which the fees of the Investment Advisers, the Administrator and Custodian shall be paid, and certain other expenses including the fees of Paying Agents (and other local representatives) in jurisdictions where the Funds are registered. PIMCO is a trademark of Allianz Asset Management of America L.P. in the United States and throughout the world. Copyright 2021, PIMCO.

### ABOUT THE BENCHMARK

Bloomberg Barclays U.S. Aggregate Index represents securities that are SEC-registered, taxable, and dollar denominated. The index covers the U.S. investment grade fixed rate bond market, with index components for government and corporate securities, mortgage pass-through securities, and asset-backed securities. These major sectors are subdivided into more specific indices that are calculated and reported on a regular basis.

Unless otherwise stated in the prospectus or in the relevant key investor information document, the Fund is not managed against a particular benchmark or index, and any reference to a particular benchmark or index in this factsheet is made solely for risk or performance comparison purposes.

### MANAGER

PIMCO Global Advisors (Ireland) Limited

### INVESTMENT ADVISOR

Pacific Investment Management Company LLC

### ABOUT PIMCO

PIMCO is one of the world's premier fixed income investment managers. Since our founding in 1971 in Newport Beach, California, we have continued to bring innovation and expertise to our partnership with clients seeking the best investment solutions. Today our professionals work in 17 offices across the globe, united by a single purpose: creating opportunities for investors in every environment.

### Singapore

PIMCO Asia Pte Ltd  
8 Marina View  
#30-01 Asia Square Tower 1  
Singapore 018960  
Registration No. 199804652K

[pimco.com](http://pimco.com)

## United Global Income Strategic Bond Fund

### Total Expenses as called from fund Table

From February 1, 2021 To July 31, 2021

Called expenses from fund (Fund's direct expense)	Amount Unit : Thousand	Percentage of Net Assets Value
Management fee	36,264.15	0.8025
Trustee fee	1,450.57	0.0321
Transaction fee	-	-
Registrar fee	7,252.83	0.1605
Advisory fee	-	-
Sale Promotion - IPO	-	-
Sale Promotion - After IPO	-	-
Auditing Fee	38.19	0.0004
Other Expenses*	41.30	0.0005
<b>Total Expenses **</b>	<b>45,047.04</b>	<b>0.9960</b>

Remark \* Other expense which each items is less than 0.01% of NAV

\*\* Included VAT (if any) and Not included brokerage fee

United Global Income Strategic Bond Fund  
 Details of Investment ,Borrowing and Obligations  
 As of July 31, 2021

	Market Value	%NAV
<b>Domestic : Assets and Securities List</b>		
<b><u>Deposits</u></b>	<b><u>111,799,514.28</u></b>	<b><u>1.20</u></b>
KASIKORNBANK PUBLIC COMPANY LIMITED	111,785,853.65	1.20
KASIKORNBANK PUBLIC COMPANY LIMITED (USD)	13,660.63	0.00
<b><u>Others</u></b>	<b><u>-39,043,033.64</u></b>	<b><u>-0.42</u></b>
Other Assets	27,986,440.15	0.30
Other Liabilities	-67,029,473.79	-0.72
<b>IRELAND : Assets and Securities List</b>		
<b><u>Common Stocks</u></b>	<b><u>9,856,911,071.07</u></b>	<b><u>105.48</u></b>
Non Listed Securities	9,856,911,071.07	105.48
Unit Trust	9,856,911,071.07	105.48
PIMINA	9,856,911,071.07	105.48
<b>Futures Contracts</b>		
<b><u>Forward Contracts</u></b>	<b><u>-584,935,505.21</u></b>	<b><u>-6.26</u></b>
Forward Contracts	-584,935,505.21	-6.26
<b>Net Asset Value</b>	<b>9,344,732,046.50</b>	<b>100.00</b>

**Details of Instruments and the Ranking of Credit of respective Instruments in the Portfolio**

United Global Income Strategic Bond Fund

As of July 31, 2021

**Detail of investment in the Futures contracts**

<u>Type of Contract</u>	<u>Counter Party</u>	<u>Rating</u>	<u>Objective</u>	<u>Market Value</u>	<u>%NAV</u>	<u>Maturity Date</u>	<u>(net gain/loss)</u>
Currency Derivatives Contracts							
Forward Contracts	THE SIAM COMMERCIAL BANK PUBLIC COMPANY LIMITED	AA+	Hedging	-184,473,954.71	-1.97	20/08/2021	-184,473,954.71
	THE SIAM COMMERCIAL BANK PUBLIC COMPANY LIMITED	AA+	Hedging	-156,016,892.00	-1.67	10/09/2021	-156,016,892.00
	THE SIAM COMMERCIAL BANK PUBLIC COMPANY LIMITED	AA+	Hedging	-145,898,799.00	-1.56	15/10/2021	-145,898,799.00
	THE SIAM COMMERCIAL BANK PUBLIC COMPANY LIMITED	AA+	Hedging	-88,295,942.00	-0.94	12/11/2021	-88,295,942.00
	BANK OF AYUDHYA PUBLIC COMPANY LIMITED	AAA	Hedging	-4,059,405.00	-0.04	12/11/2021	-4,059,405.00
	BANK OF AYUDHYA PUBLIC COMPANY LIMITED	AAA	Hedging	4,578,588.00	0.05	20/08/2021	4,578,588.00
	BANK OF AYUDHYA PUBLIC COMPANY LIMITED	AAA	Hedging	-4,115,841.60	-0.04	12/11/2021	-4,115,841.60
	KIATNAKIN PHATRA BANK PUBLIC COMPANY LIMITED	A	Hedging	-5,867,767.50	-0.06	12/11/2021	-5,867,767.50
	KASIKORNBANK PUBLIC COMPANY LIMITED	AA+	Hedging	-785,491.40	-0.01	12/11/2021	-785,491.40

UOB Asset Management (Thailand) Co., Ltd.

United Global Income Strategic Bond Fund

Financial Statement

(Unaudited)

Statements of Income		Balance sheets	
From February 1, 2021 To July 31, 2021		As at July 31, 2021	
		<b>Assets</b>	
Investment Incomes		Investments at fairvalue (at cost : Baht 8,396,360,213.76 )	9,856,911,071.07
Dividend income	0.00	Cash at banks	111,778,131.11
Interest income	80,043.21	Accounts receivable	
Other income	0.00	From sales of investments	0.00
Total incomes	80,043.21	From dividend and interest	21,383.16
Expenses		Deferred expenses - net	0.00
Management fee	36,264,154.74	Other asset	27,986,440.15
Trustee fee	1,450,566.11	Total Assets	9,996,697,025.49
Registrar fee	7,252,830.79		
Set-up Fund Fee	0.00	<b>Liabilities</b>	
Investment advisory fee	0.00	Accounts payable From purchases of investments	0.00
Professional fee	38,191.68	Accrued expenses	8,170,964.32
Deferred expenses-written off	0.00	Other liabilities	643,794,014.68
Other expenses	53,256.50	Total Liabilities	651,964,979.00
Total expenses	45,058,999.82		
Net income (loss)from investments	(44,978,956.61)	Net assets :	9,344,732,046.49
Gain (loss) on exchange rate	(82,653,447.02)		
Net gain (loss) on investments		<b>Net assets</b>	
Net realized gain (loss) on investments	44,948,399.91	Capital received from unitholders	7,969,543,241.06
Net unrealized gain (loss) on investments	997,838,500.72	Retained earnings	
Net unrealized gain(loss) on forward sold contract	(719,126,346.50)	Equalization account	187,488,804.97
Total net gain (loss) on investments	323,660,554.13	Retained earnings(deficit) from operations	1,187,700,000.46
Increase (Decrease) in asset from operations	196,028,150.50	Net assets value	9,344,732,046.49
Dividend payment during year	0.00	Net assets value per unit	11.7255
Increase (Decrease) in net asset from operations	196,028,150.50	Investment units sold at the end of the year (units)	796,954,324.1059

**Portfolio Turnover Ratio (PTR)**

**United Global Income Strategic Bond Fund**

**For the period of February 1, 2021 To July 31, 2021**

4.58%
-------



**Credit rating of the bank or financial institution**

**United Global Income Strategic Bond Fund**

**As of July 31, 2021**

Bank of deposit	Credit ratings by international institution	Credit ratings by domestic institution
-None-	-	-

### List of Soft Commission

No.	Brokerage	Soft Commission	Reason for receiving
-	-None-	-	-

**List of Connected Persons with transaction**

**For the period of February 1, 2021 To July 31, 2021**

List of Connected Persons who had transactions with Fund
- None -

Remark :

The investors can verify the Connected Persons' transactions of fund directly at UOB Asset Management (Thailand) Co., Ltd. or through the website of the Company ([www.uobam.co.th](http://www.uobam.co.th)) or the Securities and Exchange Commission ([www.sec.or.th](http://www.sec.or.th))

**Report on non-compliance of investment limit**

**United Global Income Strategic Bond Fund**

**For the period of February 1, 2021 To July 31, 2021**

Date	Fund Name	Ratio at the end of the day (%NAV)	Ratios of the project (%NAV)	cause	performance
-None-	-	-	-	-	-

**Information on the exceeding of 1/3 unit holding**

**United Global Income Strategic Bond Fund**

**As of July 30, 2021**

-None-
--------

Remark :

The investor can verify the information on the exceeding of 1/3 unit holding through the website of the company ([www.uobam.co.th](http://www.uobam.co.th))

ชำระค่าไปรษณีย์ภาคแรกแล้ว  
ไปรษณีย์เลขที่ 33/2540  
โทร. ๒๓๖๖ ๒๓๖๖๖๖

- เหตุผลที่ฉันไม่สนใจหุ้นในตลาด
- 1. ราคาหุ้นไม่คงเดิม
  - 2. ไม่มีความรู้ด้านเทคนิคการลงทุน
  - 3. ไม่ชอบรับ
  - 4. ไม่มีความอดทนสูงพอ
  - 5. ไม่สามารถหาเวลาติดตาม
  - 6. เสี่ยงเกินไป
  - 7. ยังไม่ทราบข้อมูล
  - 8. อื่นๆ.....

ลงชื่อ.....

UOB Asset Management (Thailand) Co., Ltd.

23A, 25 Floor, Asia Centre Building, 173/27-30, 32-33 South Sathon Road, Thungmahamek, Sathon,  
Bangkok 10120, Thailand Tel : +66 2786 2222 Fax : +66 2786 2377 www.uobam.co.th