

**UOB Sure Daily Open-ended Fund : UOBSD**

*Annual Report  
(For the period of 2019/2020)*

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## Message from the Company

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To Unitholders

UOB Sure Daily Open-ended Fund is the money market fund, mainly invest in short-term government securities. As of end June 2020, the fund allocated 98.86% of total NAV to government securities. Most of the invested securities have time to maturity of less than 100 days to maintain appropriate level of liquidity and minimize interest rate risk. The fund's duration was 0.10 year.

As of end June 2020, the fund allocated 98.86% of NAV in government securities, compared to 99.40% of NAV at the end of June 2019. As of end June 2020, the fund allocated 1.18% in deposits at financial institutions, compared to 0.00% of NAV at the end of June 2019. The fund's duration was 0.10 year at the end of June 2020, compared to 0.14 year at the end of June 2019.

As we have managed UOB Sure Daily Open-ended Fund for a period of one year on June 30, 2020, we would like to inform the net value to unit holder, The fund has a net asset value 3,855,535,545.90 baht in asset value or its earning per unit is at 12.8857 baht.

In this connection, we would like to express our sincere thanks to the trust of all Unitholders has been extended to us for your investment UOB Sure Daily Open-ended Fund. Should you have any further question or need more information, You can monitor or follow the announcement the net asset value of the Fund in the company website.

UOB Asset Management (Thailand) Co., Ltd.



(Mr. Vana Bulbon)  
Chief Executive Officer

## **List of Board of Directors And Management Team**

### **UOB Asset Management (Thailand) Co., Ltd.**

#### **Board of Directors**

- |                              |                            |
|------------------------------|----------------------------|
| 1. Mr. Lee Wai Fai           | Chairman Board of Director |
| 2. Mr. Thio Boon Kiat        | Director                   |
| 3. Mr. Sanchai Apisaksirikul | Director                   |
| 4. Mrs.Kanlika Buspavanich   | Director                   |
| 5. Mr. Vana Bulbon           | Director and CEO           |

#### **Management Team**

- |                              |   |
|------------------------------|---|
| 1. Mr. Vana Bulbon           | Chief Executive Officer                         |
| 2. Mrs. Sunaree Piboonsakkul | Senior Director (Operation Division)            |
| 3. Mr. Jerdphan Nithayayon   | Senior Director (Investment Division)           |
| 4. Ms. Rachada Tangharat     | Senior Director (Business Development Division) |

#### **Office Location**

UOB Asset Management (Thailand) Co., Ltd.

23A, 25 Floor, Asia Centre Building, 173/27-30, 32-33

South Sathon Road, Thungmahamek, Sathon,

Bangkok 10120, Thailand

Tel : +66 2786 2222

Fax : +66 2786 2377

**Mutual Fund Supervisor Report**

To: Unitholders of UOB Sure Daily Open-Ended Fund

Whereas Standard Chartered Bank (Thai) Public Company Limited, the Mutual Fund Supervisor of UOB Sure Daily Open-Ended Fund which is managed by UOB Asset Management (Thailand) Co., Ltd. has performed duties as the Mutual Fund Supervisor of the Fund during the fiscal year commencing from 1 July 2019 and ending on 30 June 2020, and the second half of the fiscal year commencing from 1 January 2020 and ending on 30 June 2020.

During that period UOB Asset Management (Thailand) Co., Ltd. has, in our view, managed the Fund, in accordance with the fund investment scheme approved by the Office of the Securities and Exchange Commission, and the commitment to the unitholders under the Securities Exchange Act B.E. 2535 in all material respects.

Standard Chartered Bank (Thai) Pcl.



(Nuchjarin Petchpaneewong)

Vice President

Standard Chartered Bank (Thai) Pcl. 

9 July 2020

ธนาคารสแตนดาร์ดชาร์เตอร์ด (ไทย) จำกัด (มหาชน)  
100 ถนนสาทรเหนือ สยาม บางรัก  
กรุงเทพฯ 10500  
โทรศัพท์ +66 (0) 2724 4000  
โทรสาร +66 (0) 2724 4444  
ทะเบียนเลขที่ 0107536000498

Standard Chartered Bank (Thai) Public Company Limited  
100 North Sathorn Road, Silom  
Bangrak, Bangkok 10500 Thailand  
<http://www.standardchartered.co.th>  
Tel +66 (0) 2724 4000  
Fax +66 (0) 2724 4444  
Registration No. 0107536000498

## UOB Sure Daily Open-ended Fund

### Name List of Fund Manager

For the period of July 1, 2019 To June 30, 2020

No.	Name List of Fund Manager	
1	Mr.Jaruwat	Preepreamkul*
2	Ms.Chanisda	Viranuvatti
3	Ms.Anchalee	Ngamwutikul*
4	Ms.Linda	Ubolriabroy
5	Mr.Verayuth	Halilamien*
6	Ms.Nantanach	Kitichalermkiat
7	Ms.Chuensumol	Pornsakulsak

\* Fund manager and portfolio manager in derivatives ( if any ).

## Fund Performance

Registration Date May 10, 2006

Ending Date of Accounting Period June 30, 2020

	2553	2554	2555	2556	2557	2558	2559	2560	2561	2562
	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019
ผลตอบแทนของกองทุน (Fund Return)	0.96%	2.41%	2.67%	2.27%	1.78%	1.32%	1.05%	0.98%	0.86%	1.23%
ผลตอบแทนตัวชี้วัด (Benchmark Return)	3.32%	3.05%	1.86%	1.61%	1.19%	0.97%	0.94%	1.45%	1.33%	1.71%
ความผันผวนของผลดำเนินงาน (Fund Standard Deviation)	0.04%	0.11%	0.10%	0.09%	0.07%	0.06%	0.04%	0.04%	0.03%	0.05%
ความผันผวนของตัวชี้วัด (Benchmark Standard Deviation)	1.32%	0.82%	0.07%	0.06%	0.05%	0.04%	0.04%	0.07%	0.09%	0.08%

	ตั้งแต่ต้นปี (YTD) <sup>1)</sup>	3 เดือน (3 Months) <sup>1)</sup>	6 เดือน (6 Months) <sup>1)</sup>	1 ปี (1 Year) <sup>2)</sup>	3 ปี (3 Years) <sup>2)</sup>	5 ปี (5 Years) <sup>2)</sup>	10 ปี (10 Years) <sup>2)</sup>	ตั้งแต่จัดตั้ง (Since Inception) <sup>3)</sup>
ผลตอบแทนของกองทุน (Fund Return)	0.32%	0.13%	0.32%	0.92%	0.95%	0.99%	1.54%	1.81%
ผลตอบแทนตัวชี้วัด (Benchmark Return)	0.57%	0.21%	0.57%	1.45%	1.43%	1.28%	1.46%	2.49%
ความผันผวนของผลดำเนินงาน (Fund Standard Deviation)	0.02%	0.02%	0.02%	0.04%	0.07%	0.06%	0.20%	0.29%
ความผันผวนของตัวชี้วัด (Benchmark Standard Deviation)	0.06%	0.03%	0.06%	0.11%	0.12%	0.11%	0.71%	2.16%

- Benchmark 1: Total Return of ThaiBMA Short-term Government Bond Index

	2553	2554	2555	2556	2557	2558	2559	2560	2561	2562
	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019
ผลตอบแทนของกองทุน (Fund Return)	0.96%	2.41%	2.67%	2.27%	1.78%	1.32%	1.05%	0.98%	0.86%	1.23%
ผลตอบแทนตัวชี้วัด (Benchmark Return)	0.78%	1.59%	1.86%	1.61%	1.19%	0.97%	0.94%	0.94%	0.94%	1.05%
ความผันผวนของผลดำเนินงาน (Fund Standard Deviation)	0.04%	0.11%	0.10%	0.09%	0.07%	0.06%	0.04%	0.04%	0.03%	0.05%
ความผันผวนของตัวชี้วัด (Benchmark Standard Deviation)	0.03%	0.07%	0.07%	0.06%	0.05%	0.04%	0.04%	0.04%	0.04%	0.04%

	ตั้งแต่ต้นปี (YTD) <sup>1)</sup>	3 เดือน (3 Months) <sup>1)</sup>	6 เดือน (6 Months) <sup>1)</sup>	1 ปี (1 Year) <sup>2)</sup>	3 ปี (3 Years) <sup>2)</sup>	5 ปี (5 Years) <sup>2)</sup>	10 ปี (10 Years) <sup>2)</sup>	ตั้งแต่จัดตั้ง (Since Inception) <sup>3)</sup>
ผลตอบแทนของกองทุน (Fund Return)	0.32%	0.13%	0.32%	0.92%	0.95%	0.99%	1.54%	1.81%
ผลตอบแทนตัวชี้วัด (Benchmark Return)	0.22%	0.09%	0.22%	0.72%	0.89%	0.91%	1.17%	1.40%
ความผันผวนของผลดำเนินงาน (Fund Standard Deviation)	0.02%	0.02%	0.02%	0.04%	0.07%	0.06%	0.20%	0.29%
ความผันผวนของตัวชี้วัด (Benchmark Standard Deviation)	0.01%	0.01%	0.01%	0.04%	0.07%	0.05%	0.11%	0.21%

- Benchmark 2: The Average weighted of 3-month Fixed Deposit rate 5 million baht by BBL, KBANK and SCB after TAX.

Remark : 1. Return per period

2. Return per year

3. If Since inception < 1 Year Return per period, If Since inception >= 1 Year Return per year

- Investment in Money Market Fund is not a money deposit and involves risk which investors may not receive the full initial investment amount.
- Performance measures used in this annual report comply with AIMC performance presentation standards.
- Past performance / performance comparison relating to a capital market product is not a guarantee of future results.



## UOB Sure Daily Open-ended Fund

### Total Expenses as called from fund Table

From July 1, 2019 To June 30, 2020

Called expenses from fund (Fund's direct expense)	Amount Unit : Thousand	Percentage of Net Assets Value
Management fee	11,739.87	0.2247
Trustee fee	966.24	0.0177
Transaction fee	-	-
Registrar fee	6,469.46	0.1284
Advisory fee	-	-
Sale Promotion - IPO	-	-
Sale Promotion - After IPO	-	-
Auditing Fee	72.00	0.0013
Other Expenses *	85.71	0.0016
<b>Total Expenses **</b>	<b>19,333.28</b>	<b>0.3737</b>

Remark \* Other expense which each items is less than 0.01% of NAV

\*\* Included VAT (if any) and Not included brokerage fee

**UOB Sure Daily Open-ended Fund**  
**Details of Investment, Borrowing and Obligations**  
**As of June 30, 2020**

	Market Value	%NAV
Domestic : Assets and Securities List		
<u>Government Bond</u>	<u>3,811,438,789.92</u>	<u>98.86</u>
The Maturity less than 1 year	3,811,438,789.92	98.86
The Maturity 1-3 year	0.00	0.00
The Maturity 3-5 year	0.00	0.00
The Maturity 5-7 year	0.00	0.00
The Maturity 7-10 year	0.00	0.00
The Maturity exceeding 10 year	0.00	0.00
<u>Deposits</u>	<u>45,418,766.85</u>	<u>1.18</u>
<u>Others</u>	<u>-1,322,010.87</u>	<u>-0.03</u>
Net Asset Value	3,855,535,545.90	100.00

**Explanation of rating of credit rating institute**

AAA The highest rating, indicates risk investment having smallest degree of credit risk. The company has extremely strong capacity to pay interest and repay principal on time and is unlikely to be affected by adverse changes in business, economic or other external conditions

AA The rating indicates a debt instruments with a very low degree of credit risk.

A The rating indicates a debt instruments with low credit risk.

BBB The rating indicates a debt instruments with moderate credit risk.

BB The rating indicates a debt instruments with high credit risk.

B The rating indicates a debt instruments with very high credit risk.

C The rating indicates a debt instruments with highest risk of default. The company's performance to repay/not repay principle and to pay/not pay interest on time is significantly depend upon the favorable business, economic or other external conditions to meet its obligations.

D The rating for a debt instruments for which payment is in default.

**Summary Report of Invested Money**  
**UOB Sure Daily Open-ended Fund**  
**As at June 30, 2020**

- Details of Investment in the Debt Instrument , issued by the Thai Entities or offered in Thailand

Category of Securities	Market Vale	%NAV
(A) Government Bond	3,811,438,789.92	98.86
(B) Securities issued, certified, accepted of avalid , endorsed or guaranteed by a bank established by specific law , commercial bank , finance company	0.00	0.00
(C) Securities whose its issuer,acceptor,aval giver,endorser or guarantor is the company receiving the credit rating at the investment grade level	0.00	0.00
(D)* Securities whose its issuer,acceptor,aval giver,endorser or guarantor is the company receiving the credit rating at the lower than the investment grade level or without credit rating	0.00	0.00

Remark \* The market value and % NAV under Item ( D ) above is inclusive of the instrument receiving the credit rating at the level of investment Grade

-The Upper Limit of the category (D) in which the Management Company is likely to invest 15.00 NAV

**Details of Instruments and the Ranking of Credit of respective Instruments in the Portfolio**

**UOB Sure Daily Open-ended Fund**

**As of June 30 , 2020**

Type	Issuer	Guarantor/Acceptor/ Endorser	Maturity Date	Rating	Face Value	Market Value
<b>Government Bond</b>						
	Securities					
CB20702A	BANK OF THAILAND		02-Jul-2020	-	49,000,000.00	48,999,112.35
CB20702B	BANK OF THAILAND		02-Jul-2020	-	47,000,000.00	46,999,469.32
CB20702C	BANK OF THAILAND		02-Jul-2020	-	229,000,000.00	228,996,199.83
CB20707A	BANK OF THAILAND		07-Jul-2020	-	254,000,000.00	253,986,095.20
CB20709A	BANK OF THAILAND		09-Jul-2020	-	159,000,000.00	158,986,688.05
CB20709B	BANK OF THAILAND		09-Jul-2020	-	164,000,000.00	163,975,491.23
CB20714A	BANK OF THAILAND		14-Jul-2020	-	100,000,000.00	99,985,684.24
CB20716A	BANK OF THAILAND		16-Jul-2020	-	143,000,000.00	142,975,605.52
CB20716B	BANK OF THAILAND		16-Jul-2020	-	155,000,000.00	154,973,600.40
CB20723A	BANK OF THAILAND		23-Jul-2020	-	9,000,000.00	8,997,692.10
CB20723B	BANK OF THAILAND		23-Jul-2020	-	250,000,000.00	249,934,092.72
CB20730A	BANK OF THAILAND		30-Jul-2020	-	217,000,000.00	216,928,437.37
CB20730B	BANK OF THAILAND		30-Jul-2020	-	135,000,000.00	134,955,283.31
CB20806A	BANK OF THAILAND		06-Aug-2020	-	15,000,000.00	14,993,641.05
CB20806C	BANK OF THAILAND		06-Aug-2020	-	215,000,000.00	214,921,568.35
CB20813A	BANK OF THAILAND		13-Aug-2020	-	26,000,000.00	25,986,076.63
CB20813B	BANK OF THAILAND		13-Aug-2020	-	222,000,000.00	221,885,443.59
CB20820A	BANK OF THAILAND		20-Aug-2020	-	65,000,000.00	64,959,231.05
CB20820B	BANK OF THAILAND		20-Aug-2020	-	250,000,000.00	249,856,076.05
CB20827B	BANK OF THAILAND		27-Aug-2020	-	270,000,000.00	269,816,865.24
CB20903A	BANK OF THAILAND		03-Sep-2020	-	9,000,000.00	8,993,849.69
CB20903C	BANK OF THAILAND		03-Sep-2020	-	250,000,000.00	249,811,648.86
CB20910B	BANK OF THAILAND		10-Sep-2020	-	300,000,000.00	299,807,548.20
CB20924B	BANK OF THAILAND		24-Sep-2020	-	280,000,000.00	279,713,389.57
					<b>Total</b>	<b><u>3,811,438,789.92</u></b>

**Portfolio Turnover Ratio (PTR)**

**UOB Sure Daily Open-ended Fund**

**For the period of July 1, 2019 to June 30, 2020**

392.85%
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**Credit rating of the bank or financial institution**

**UOB Sure Daily Open-ended Fund**

**As of June 30, 2020**

Bank of deposit	Credit ratings by international institution	Credit ratings by domestic institution
United Overseas Bank (Thai) Pcl.	Baa1 (Moody)	AAA (Fitch)

### List of Soft Commission

No.	Brokerage	Soft Commission	Reason for receiving
-	- None -	-	-

## **List of Connected Person with transaction**

**For the period of July 1, 2019 to June 30, 2020**

List of Connected Persons who had transactions with Fund
- None -

Remark :

The investors can verify the Connected Persons' transactions of fund directly at UOB Asset Management (Thailand) Co., Ltd. or through the website of the Company ([www.uobam.co.th](http://www.uobam.co.th)) or The Securities and Exchange Commission ([www.sec.or.th](http://www.sec.or.th))



**Report on non-compliance of investment limit**

**UOB Sure Daily Open-ended Fund**

**For the period of July 1, 2019 to June 30, 2020**

Date	Fund Name	Ratio at the end of the day (%NAV)	Ratios of the project (%NAV)	cause	performance
-None-	-	-	-	-	-

## **Voting right and voting right exercising**

Investors should examine guidance on voting right and voting right exercising via Asset  
Management Website : <http://www.uobam.co.th>

**Information on the exceeding of 1/3 unit holding**

**UOB Sure Daily Open-ended Fund**

**As of June 30, 2020**

--None--
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Remark :

The investor can verify the information on the exceeding of 1/3 unit holding through the website of the company  
([www.uobam.co.th](http://www.uobam.co.th))

**The amendment to the commitment**

**UOB Sure Daily Open-ended Fund**

**For the period of July 1, 2019 to June 30, 2020**

Revised matter	Reason for the amendment	approval date	Effective date
Duties and Responsibilities of Trustee	Comply with Announcement No. Sor.Nor. 38/2562	October 31, 2019	October 31, 2019
Voting Rights for Amendments to the Scheme or Changing of the mutual fund management			
Redemption payment in the form of cash or other assets in lieu of cash (Pay in kind) for Unitholders			
Limitation on Unitholding of Outstanding Units	Comply with Announcement No. Tor.Nor. 13/2562		

ชำระค่าไปรษณีย์ภาคแรกแล้ว  
ไปรษณีย์ภาคที่สอง 33/2540  
ปณณ. ๒๓๗๖๖

เหตุผลที่ต้องยื่นคำขอผู้รับเงินได้	
<input type="checkbox"/>	1. จำหน่ายไปเสียแล้ว
<input type="checkbox"/>	2. ไม่มีเอกสารหลักฐานจำหน่าย
<input type="checkbox"/>	3. ปล่อยรับ
<input type="checkbox"/>	4. อนุมัติรับตามจำหน่าย
<input type="checkbox"/>	5. ไม่สามารถขายไปเสียหมด
<input type="checkbox"/>	6. เสียสิทธิจากร
<input type="checkbox"/>	7. ย้ายไปหากรบที่อื่นใหม่
<input type="checkbox"/>	8. อื่นๆ .....
ลงชื่อ .....	

UOB Asset Management (Thailand) Co.,Ltd.

23A, 25 Floor, Asia Centre Building, 173/27-30, 32-33 South Sathon Road, Thungmahamek, Sathon, Bangkok 10120, Thailand

[www.uobam.co.th](http://www.uobam.co.th)