

**UOB Income Daily Open-ended Fund : UOBID**

***Annual Report  
(For the period of 2020/2021)***

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## Message from the Company

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To Unitholders

As a fixed-income fund, UOB Income Daily Open-ended Fund focuses on domestic fixed income securities such as government papers, Bill of Exchange Fixed Deposit, investment grade corporate papers, and reverse repo. As of January 31, 2021, the fund allocated 72.90% of its total NAV to treasury bills & Bank of Thailand bonds, 9.99% to financial debt instruments, and 17.11% of its total NAV to corporate papers. The fund's duration was then 0.23 year.

Comparing with January 2020 accounting period, as of January 2021, fund's investment in government securities increased to 72.90% from 51.31%. At the same time, the fund decreased its portion of bank deposits & fixed income instruments to 9.99% from 34.97%. And finally the fund increased its portion of corporate debt instruments to 17.11% from 13.72%. In addition, the fund average portfolio duration is around 0.23 year.

As we have managed UOB Income Daily Open-ended Fund for a period of one year on January 31, 2021, we would like to inform the net value to unit holder, The fund has a net asset value 3,324,801,742.23 Baht in asset value or its earning per unit is at 11.8653 Baht (As of January 29, 2021)

In this connection, we would like to express our sincere thanks to the trust of all Unitholders has been extended to us for your investment UOB Income Daily Open-ended Fund. Should you have any further question or need more information, You can monitor or follow the announcement the net asset value of the Fund in the company website.

UOB Asset Management (Thailand) Co., Ltd.



(Mr. Vana Bulbon)  
Chief Executive Officer

## **List of Board of Directors And Management Team**

### **UOB Asset Management (Thailand) Co., Ltd.**

#### **Board of Directors**

- |    |                           |                            |
|----|---------------------------|----------------------------|
| 1. | Mr. Lee Wai Fai           | Chairman Board of Director |
| 2. | Mr. Thio Boon Kiat        | Director                   |
| 3. | Mr. Sanchai Apisaksirikul | Director                   |
| 4. | Mrs.Kanlika Buspavanich   | Director                   |
| 5. | Mr. Vana Bulbon           | Director and CEO           |

#### **Management Team**

- |    |              |              |   |
|----|--------------|--------------|---|
| 1. | Mr. Vana     | Bulbon       | Chief Executive Officer                         |
| 2. | Mrs. Sunaree | Piboonsakkul | Senior Director (Operation Division)            |
| 3. | Mr. Jerdphan | Nithayayon   | Senior Director (Investment Division)           |
| 4. | Ms. Rachada  | Tangharat    | Senior Director (Business Development Division) |

#### **Office Location**

UOB Asset Management (Thailand) Co., Ltd.

23A, 25 Floor, Asia Centre Building, 173/27-30, 32-33

South Sathon Road, Thungmahamek, Sathon,

Bangkok 10120, Thailand

Tel : +66 2786 2222

Fax : +66 2786 2377

## Mutual Fund Supervisor Report

To: Unitholders of UOB Income Daily Open-ended Fund

Whereas Standard Chartered Bank (Thai) Public Company Limited, the Mutual Fund Supervisor of UOB Income Daily Open-ended Fund which is managed by UOB Asset Management (Thailand) Co., Ltd. has performed duties as the Mutual Fund Supervisor of the Fund during the fiscal year commencing from 1 February 2020 and ending on 31 January 2021. and the second half of the fiscal year commencing from 1 August 2020 and ending on 31 January 2021.

During that period UOB Asset Management (Thailand) Co., Ltd. has, in our view, managed the Fund, in accordance with the fund investment scheme approved by the Office of the Securities and Exchange Commission, and the commitment to the unitholders under the Securities Exchange Act B.E. 2535 in all material respects.

Standard Chartered Bank (Thai) Pcl.



(Nuchjarin Petchpaneewong)

Vice President

Standard Chartered Bank (Thai) Pcl. N.

8 February 2021

ธนาคารสแตนดาร์ดชาร์เตอร์ด (ไทย) จำกัด (มหาชน)  
100 ถนนสาทรเหนือ ซอย บางรัก  
กรุงเทพฯ 10500  
โทรศัพท์ +66 (0) 2724 4000  
โทรสาร +66 (0) 2724 4444  
ทะเบียนเลขที่ 0107536000498

Standard Chartered Bank (Thai) Public Company Limited  
100 North Sathorn Road, Silom  
Bangrak, Bangkok 10500 Thailand  
<http://www.standardchartered.co.th>  
Tel +66 (0) 2724 4000  
Fax +66 (0) 2724 4444  
Registration No. 0107536000498

## UOB Income Daily Open-ended Fund

### Name List of Fund Manager

For the period of February 1, 2020 to January 31, 2021

No.	Name List of Fund Manager	
1	Mr. Jaruwat	Preepreamkul*
2	Ms. Chanisda	Viranuvatti
3	Ms. Anchalee	Ngamwutikul*
4	Ms. Linda	Ubolriabroy
5	Mr. Verayuth	Halilamien*
6	Ms.Nantanach	Kitichalemkiat
7	Ms.Chuensumol	Pornsakulsak

\* Fund manager and portfolio manager in derivatives ( if any ).

## Fund Performance

Registration Date Feb 2, 2011

Ending Date of Accounting Period Jan 31, 2021

	2554 2011	2555 2012	2556 2013	2557 2014	2558 2015	2559 2016	2560 2017	2561 2018	2562 2019	2563 2020
ผลตอบแทนของกองทุน (Fund Return)	2.49%	2.95%	2.65%	2.43%	1.81%	1.26%	1.17%	0.99%	1.28%	0.24%
ผลตอบแทนดัชนีชี้วัด (Benchmark Return)	3.96%	2.99%	2.25%	5.55%	3.30%	1.51%	3.41%	1.29%	8.73%	1.26%
ความผันผวนของผลดำเนินงาน (Fund Standard Deviation)	0.11%	0.12%	0.11%	0.10%	0.09%	0.05%	0.05%	0.04%	0.05%	0.35%
ความผันผวนของดัชนีชี้วัด (Benchmark Standard Deviation)	0.99%	1.07%	1.46%	0.86%	1.16%	1.46%	0.72%	0.76%	1.59%	0.10%

	ตั้งแต่ต้นปี (YTD) <sup>1)</sup>	3 เดือน (3 Months) <sup>1)</sup>	6 เดือน (6 Months) <sup>1)</sup>	1 ปี (1 Year) <sup>2)</sup>	3 ปี (3 Years) <sup>2)</sup>	5 ปี (5 Years) <sup>2)</sup>	10 ปี (10 Years) <sup>2)</sup>	ตั้งแต่จัดตั้ง (Since Inception) <sup>3)</sup>
ผลตอบแทนของกองทุน (Fund Return)	0.02%	0.08%	0.15%	0.18%	0.81%	0.96%	N/A	1.73%
ผลตอบแทนดัชนีชี้วัด (Benchmark Return)	0.05%	0.26%	0.51%	1.15%	3.65%	3.06%	N/A	3.41%
ความผันผวนของผลดำเนินงาน (Fund Standard Deviation)	0.01%	0.01%	0.01%	0.36%	0.24%	0.20%	N/A	0.28%
ความผันผวนของดัชนีชี้วัด (Benchmark Standard Deviation)	0.02%	0.03%	0.04%	0.09%	2.28%	2.16%	N/A	1.91%

Remark : Performance of the portfolio with the return from “set aside” asset

	2554 2011	2555 2012	2556 2013	2557 2014	2558 2015	2559 2016	2560 2017	2561 2018	2562 2019	2563 2020
ผลตอบแทนของกองทุน (Fund Return)	2.49%	2.95%	2.65%	2.43%	1.81%	1.26%	1.17%	0.99%	1.28%	0.59%
ผลตอบแทนดัชนีชี้วัด (Benchmark Return)	3.96%	2.99%	2.25%	5.55%	3.30%	1.51%	3.41%	1.29%	8.73%	1.26%
ความผันผวนของผลดำเนินงาน (Fund Standard Deviation)	0.11%	0.12%	0.11%	0.10%	0.09%	0.05%	0.05%	0.04%	0.05%	0.04%
ความผันผวนของดัชนีชี้วัด (Benchmark Standard Deviation)	0.99%	1.07%	1.46%	0.86%	1.16%	1.46%	0.72%	0.76%	1.59%	0.10%

	ตั้งแต่ต้นปี (YTD) <sup>1)</sup>	3 เดือน (3 Months) <sup>1)</sup>	6 เดือน (6 Months) <sup>1)</sup>	1 ปี (1 Year) <sup>2)</sup>	3 ปี (3 Years) <sup>2)</sup>	5 ปี (5 Years) <sup>2)</sup>	10 ปี (10 Years) <sup>2)</sup>	ตั้งแต่จัดตั้ง (Since Inception) <sup>3)</sup>
ผลตอบแทนของกองทุน (Fund Return)	0.02%	0.08%	0.15%	0.53%	0.93%	1.04%	N/A	1.76%
ผลตอบแทนดัชนีชี้วัด (Benchmark Return)	0.05%	0.26%	0.51%	1.15%	3.65%	3.06%	N/A	3.41%
ความผันผวนของผลดำเนินงาน (Fund Standard Deviation)	0.01%	0.01%	0.01%	0.04%	0.10%	0.09%	N/A	0.24%
ความผันผวนของดัชนีชี้วัด (Benchmark Standard Deviation)	0.02%	0.03%	0.04%	0.09%	2.28%	2.16%	N/A	1.91%

Remark : Performance of the portfolio without the return from “set aside” asset

- Remark :
1. Return per period
  2. Return per year
  3. If since inception < 1 Year Return per period, If Since inception  $\geq$  1 Year Return per year
- Benchmark : Total Return of ThaiBMA Short Term Government Bond Index (50%), Total Return of ThaiBMA Commercial Paper Index A- Up (50%)
  - Investment in Money Market Fund is not a money deposit and involves risk which investors may not receive the full initial investment amount.
  - Performance measures used in this annual report comply with AIMC performance presentation standards.
  - Past performance / performance comparison relating to a capital market product is not a guarantee of future results.



## UOB Income Daily Open-ended Fund

### Total Expenses as called from fund Table

From February 1, 2020 to January 31, 2021

Called expenses from fund (Fund's direct expense)	Amount Unit : Thousand	Percentage of Net Assets Value
Management fee	8,814.45	0.2896
Trustee fee	537.53	0.0177
Transaction fee	-	-
Registrar fee	651.55	0.0214
Advisory fee	-	-
Sale Promotion - IPO	-	-
Sale Promotion - After IPO	-	-
Auditing Fee	66.20	0.0022
Other Expenses*	71.82	0.0024
<b>Total Expenses **</b>	<b>10,141.55</b>	<b>0.3333</b>

Remark \* Other expense which each items is less than 0.01% of NAV

\*\* Included VAT (if any)

**UOB Income Daily Open-ended Fund**  
**Details of Investment ,Borrowing and Obligations**  
**As of January 31, 2021**

	Market Value	%NAV
<b>Domestic : Assets and Securities List</b>		
<u>Government Bond</u>	<u>2,423,901,805.39</u>	<u>72.90</u>
The Maturity less than 1 year	2,423,901,805.39	72.90
The Maturity 1-3 year	0.00	0.00
The Maturity 3-5 year	0.00	0.00
The Maturity 5-7 year	0.00	0.00
The Maturity 7-10 year	0.00	0.00
The Maturity exceeding 10 year	0.00	0.00
<u>Debenture</u>	<u>52,851,834.99</u>	<u>1.59</u>
Rate F1+	52,851,834.99	1.59
<u>Bill of Exchange/Promissory Note</u>	<u>516,077,780.25</u>	<u>15.52</u>
<u>Deposits</u>	<u>304,339,696.89</u>	<u>9.15</u>
<u>Others</u>	<u>27,648,757.17</u>	<u>0.83</u>
Net Asset Value	3,324,819,874.69	100.00

**Explanation of rating of credit rating institute**

AAA The highest rating, indicates risk investment having smallest degree of credit risk. The company has extremely strong capacity to pay interest and repay principal on time and is unlikely to be affected by adverse changes in business, economic or other external conditions

AA The rating indicates a debt instruments with a very low degree of credit risk.

A The rating indicates a debt instruments with low credit risk.

BBB The rating indicates a debt instruments with moderate credit risk.

BB The rating indicates a debt instruments with high credit risk.

B The rating indicates a debt instruments with very high credit risk.

C The rating indicates a debt instruments with highest risk of default. The company's performance to repay/not repay principle and to pay/not pay interest on time is significantly depend upon the favorable business, economic or other external conditions to meet its obligations.

D The rating for a debt instruments for which payment is in default.

**Summary Report of Invested Money**  
**UOB Income Daily Open-ended Fund**  
**As at January 31, 2021**

- Details of Investment in the Debt Instrument , issued by the Thai Entities or offered in Thailand

Category of Securities	Market Vale	%NAV
(A) Government Bond	2,423,901,805.39	72.90
(B) Securities issued, certified, accepted of avaled , endorsed or guaranteed by a bank established by specific law , commercial bank , finance company	406,251,234.46	12.22
(C) Securities whose its issuer,acceptor,aval giver,endorser or guarantor is the company receiving the credit rating at the investment grade level	162,678,380.78	4.89
(D)* Securities whose its issuer,acceptor,aval giver,endorser or guarantor is the company receiving the credit rating at the lower than the investment grade level or without credit rating	0.00	0.00

Remark \* The marketvalue and % NAV under Item ( D ) above is inclusive of the instrument receiving the credit rating at the level of investment Grade

-The Upper Limit of the category (D) in which the Management Company is likely to invest 15.00 % NAV

**Details of Instruments and the Ranking of Credit of respective Instruments in the Portfolio**  
**UOB Income Daily Open-ended Fund**  
As of January 31, 2021

Type	Securities	Issuer	Guarantor/Acceptor/ Endorser	Maturity Date	Rating	Face Value	Market Value
<b>Government Bond</b>							
CB21204A	BANK OF THAILAND			4/2/2021	-	44,000,000.00	43,998,372.66
CB21204B	BANK OF THAILAND			4/2/2021	-	15,000,000.00	14,999,704.12
CB21204C	BANK OF THAILAND			4/2/2021	-	100,000,000.00	99,995,928.29
CB21211A	BANK OF THAILAND			11/2/2021	-	89,000,000.00	88,994,159.28
CB21211B	BANK OF THAILAND			11/2/2021	-	90,000,000.00	89,989,511.04
CB21218A	BANK OF THAILAND			18/2/2021	-	10,000,000.00	9,998,672.78
CB21225B	BANK OF THAILAND			25/2/2021	-	40,000,000.00	39,989,745.10
CB21304A	BANK OF THAILAND			4/3/2021	-	26,000,000.00	25,993,744.89
CB21304B	BANK OF THAILAND			4/3/2021	-	38,000,000.00	37,991,288.03
CB21304C	BANK OF THAILAND			4/3/2021	-	85,000,000.00	84,969,187.59
CB21311A	BANK OF THAILAND			11/3/2021	-	258,000,000.00	257,929,634.53
CB21311B	BANK OF THAILAND			11/3/2021	-	10,000,000.00	9,996,773.64
CB21318B	BANK OF THAILAND			18/3/2021	-	200,000,000.00	199,943,303.75
CB21325A	BANK OF THAILAND			25/3/2021	-	14,000,000.00	13,994,218.28
CB21325B	BANK OF THAILAND			25/3/2021	-	50,000,000.00	49,980,062.75
CB21401B	BANK OF THAILAND			1/4/2021	-	128,000,000.00	127,956,306.43
CB21408A	BANK OF THAILAND			8/4/2021	-	24,000,000.00	23,986,771.13
CB21408B	BANK OF THAILAND			8/4/2021	-	154,000,000.00	153,927,109.07
CB21416A	BANK OF THAILAND			16/4/2021	-	120,000,000.00	119,926,956.74
CB21422B	BANK OF THAILAND			22/4/2021	-	15,000,000.00	14,988,830.24
CB21422C	BANK OF THAILAND			22/4/2021	-	245,000,000.00	244,855,099.45
CB21429A	BANK OF THAILAND			29/4/2021	-	600,000,000.00	599,549,844.84
CB21513A	BANK OF THAILAND			13/5/2021	-	20,000,000.00	19,983,687.29
TB21428A	MINISTRY OF FINANCE			28/4/2021	-	30,000,000.00	29,977,736.08
TB21428B	MINISTRY OF FINANCE			28/4/2021	-	20,000,000.00	19,985,157.39
<b>Promissory Note</b>							
CMT21203A	CHAI CHAROENMAITRI COMPANY LIMITED		KRUNG THAI BANK PUBLIC COMPANY LIMITED	3/2/2021	-	8,000,000.00	7,999,495.92
CMT21204A	CHAI CHAROENMAITRI COMPANY LIMITED		KRUNG THAI BANK PUBLIC COMPANY LIMITED	4/2/2021	-	8,000,000.00	7,999,243.91
CMT21208A	CHAI CHAROENMAITRI COMPANY LIMITED		KRUNG THAI BANK PUBLIC COMPANY LIMITED	8/2/2021	-	5,000,000.00	4,998,897.50
CMT21208B	CHAI CHAROENMAITRI COMPANY LIMITED		KRUNG THAI BANK PUBLIC COMPANY LIMITED	8/2/2021	-	3,000,000.00	2,999,338.50
CMT21210A	CHAI CHAROENMAITRI COMPANY LIMITED		KRUNG THAI BANK PUBLIC COMPANY LIMITED	10/2/2021	-	6,000,000.00	5,998,299.11
CMT21212A	CHAI CHAROENMAITRI COMPANY LIMITED		KRUNG THAI BANK PUBLIC COMPANY LIMITED	12/2/2021	-	9,500,000.00	9,496,708.67
CMT21215A	CHAI CHAROENMAITRI COMPANY LIMITED		KRUNG THAI BANK PUBLIC COMPANY LIMITED	15/2/2021	-	7,000,000.00	6,996,913.69
CMT21305A	CHAI CHAROENMAITRI COMPANY LIMITED		BANGKOK BANK PUBLIC COMPANY LIMITED	5/3/2021	-	8,500,000.00	8,491,398.45
IBCM2121409A	IBCMetric Jointventure		BANGKOK BANK PUBLIC COMPANY LIMITED	9/4/2021	-	77,200,000.00	77,047,495.28
KSJVT21209A	K.S. JOINT VENTURE CO., LTD.		KRUNG THAI BANK PUBLIC COMPANY LIMITED	9/2/2021	-	40,000,000.00	39,989,920.35
MILLBU21509A	Millicon Burapa Co.Ltd		BANGKOK BANK PUBLIC COMPANY LIMITED	9/5/2021	-	18,000,000.00	17,945,730.68
MILLBU21509A	Millicon Burapa Co.Ltd		BANGKOK BANK PUBLIC COMPANY LIMITED	9/5/2021	-	2,000,000.00	1,993,970.08
OANDA521312A	OAND ASSOCIATE CO., LTD.		BANGKOK BANK PUBLIC COMPANY LIMITED	12/3/2021	-	1,040,040.00	1,038,745.73
PLE21203A	POWER LINE ENGINEERING PUBLIC COMPANY LIMITED		KRUNG THAI BANK PUBLIC COMPANY LIMITED	3/2/2021	-	2,858,364.34	2,858,184.24
PMC21305A	PORT AND MARINE CORPORATION (P.A.M.) COMPANY LIMITED		KRUNG THAI BANK PUBLIC COMPANY LIMITED	5/3/2021	-	5,803,166.18	5,797,323.46
PMC21310A	PORT AND MARINE CORPORATION (P.A.M.) COMPANY LIMITED		KRUNG THAI BANK PUBLIC COMPANY LIMITED	10/3/2021	-	5,168,432.94	5,162,492.94
SCS21305B	SHAMCHAI STEEL COMPANY LIMITED		BANGKOK BANK PUBLIC COMPANY LIMITED	5/3/2021	-	7,900,000.00	7,892,103.35
SCS21309A	SHAMCHAI STEEL COMPANY LIMITED		BANGKOK BANK PUBLIC COMPANY LIMITED	9/3/2021	-	8,000,000.00	7,991,202.46
SCS21311C	SHAMCHAI STEEL COMPANY LIMITED		BANGKOK BANK PUBLIC COMPANY LIMITED	11/3/2021	-	8,200,000.00	8,190,046.14
SCS21315B	SHAMCHAI STEEL COMPANY LIMITED		BANGKOK BANK PUBLIC COMPANY LIMITED	15/3/2021	-	8,400,000.00	8,389,053.16
SCS21317B	SHAMCHAI STEEL COMPANY LIMITED		BANGKOK BANK PUBLIC COMPANY LIMITED	17/3/2021	-	8,500,000.00	8,488,123.35
SCS21319B	SHAMCHAI STEEL COMPANY LIMITED		BANGKOK BANK PUBLIC COMPANY LIMITED	19/3/2021	-	9,200,000.00	9,186,715.66
THENG21209A	THAI ENGINEER AND INDUSTRIES COMPANY LIMITED		KRUNG THAI BANK PUBLIC COMPANY LIMITED	9/2/2021	-	13,000,000.00	12,996,724.11
TP21507A	TIPAKORN COMPANY LIMITED		BANGKOK BANK PUBLIC COMPANY LIMITED	7/5/2021	-	6,433,274.34	6,414,088.71
UNIQ21213B	UNIQUE ENGINEERING AND CONSTRUCTION PUBLIC COMPANY LIMITED		KRUNG THAI BANK PUBLIC COMPANY LIMITED	13/2/2021	-	1,470,601.42	1,469,945.97
UNIQ21217A	UNIQUE ENGINEERING AND CONSTRUCTION PUBLIC COMPANY LIMITED		KRUNG THAI BANK PUBLIC COMPANY LIMITED	17/2/2021	-	30,857,308.19	30,639,429.44
UNIQ21220A	UNIQUE ENGINEERING AND CONSTRUCTION PUBLIC COMPANY LIMITED		KRUNG THAI BANK PUBLIC COMPANY LIMITED	20/2/2021	-	16,406,799.16	16,395,504.92
UNIQ21220A	UNIQUE ENGINEERING AND CONSTRUCTION PUBLIC COMPANY LIMITED		KRUNG THAI BANK PUBLIC COMPANY LIMITED	20/2/2021	-	14,685,654.44	14,675,545.02
UNIQ21508A	UNIQUE ENGINEERING AND CONSTRUCTION PUBLIC COMPANY LIMITED		KRUNG THAI BANK PUBLIC COMPANY LIMITED	8/5/2021	-	5,151,075.68	5,135,556.28
UNIQ21508A	UNIQUE ENGINEERING AND CONSTRUCTION PUBLIC COMPANY LIMITED		KRUNG THAI BANK PUBLIC COMPANY LIMITED	8/5/2021	-	744,972.96	742,728.47
UNIQ21508A	UNIQUE ENGINEERING AND CONSTRUCTION PUBLIC COMPANY LIMITED		KRUNG THAI BANK PUBLIC COMPANY LIMITED	8/5/2021	-	522,466.10	520,891.99
UNIQ21508A	UNIQUE ENGINEERING AND CONSTRUCTION PUBLIC COMPANY LIMITED		KRUNG THAI BANK PUBLIC COMPANY LIMITED	8/5/2021	-	520,020.00	518,453.26
UNIQ21522A	UNIQUE ENGINEERING AND CONSTRUCTION PUBLIC COMPANY LIMITED		KRUNG THAI BANK PUBLIC COMPANY LIMITED	22/5/2021	-	20,000,000.00	19,930,321.22
UNIQ21522A	UNIQUE ENGINEERING AND CONSTRUCTION PUBLIC COMPANY LIMITED		KRUNG THAI BANK PUBLIC COMPANY LIMITED	22/5/2021	-	20,000,000.00	19,930,321.22
UNIQ21522A	UNIQUE ENGINEERING AND CONSTRUCTION PUBLIC COMPANY LIMITED		KRUNG THAI BANK PUBLIC COMPANY LIMITED	22/5/2021	-	20,000,000.00	19,930,321.22
<b>Bill of exchange</b>							
CPF21210A	CHAROEN POKPHAND FOODS PUBLIC COMPANY LIMITED			10/2/2021	-	30,000,000.00	29,988,717.57
CPF21326A	CHAROEN POKPHAND FOODS PUBLIC COMPANY LIMITED			26/3/2021	-	80,000,000.00	79,837,828.22
<b>Debenture</b>							
ICBCTL21713A	ICBC (THAI) LEASING CO., LTD.			13/7/2021	F1+	53,000,000.00	52,851,834.99
<b>Total</b>							<b>2,992,831,420.63</b>

**Portfolio Turnover Ratio (PTR)**

**UOB Income Daily Open-ended Fund**

**For the period of February 1, 2020 to January 31, 2021**

158.77%
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**Credit rating of the bank or financial institution**

**UOB Income Daily Open-ended Fund**

**As of January 31, 2021**

Bank of deposit	Credit ratings by international institution	Credit ratings by domestic institution
United Overseas Bank (Thai) Pcl.	Baa1 (Moody)	AAA (Fitch)

### List of Soft Commission

No.	Brokerage	Soft Commission	Reason for receiving
-	- None -	-	-

## **List of Connected Person with transaction**

**For the Period of February 1, 2020 to January 31, 2021**

List of Connected Persons who had transactions with Fund
Thai Fixed Income Fund
United Daily Liquidity Fund
Thai Cash Management Enjoy Fund

Remark :

The investors can verify the Connected Persons' transactions of fund directly at UOB Asset Management (Thailand) Co., Ltd. or through the website of the Company ([www.uobam.co.th](http://www.uobam.co.th)) or The Securities and Exchange Commission ([www.sec.or.th](http://www.sec.or.th))



## UOB Income Daily Open-ended Fund

Recording the value of a debt instrument or claim as zero “0” (set-aside)

(In the case that the mutual fund company records the value of a debt instrument as “0”,

or that issuers of the debt instruments may be unable to pay the debt )

Type	Issuer	Face Value (Baht)	Date of Recording the value as “0”	Maturity Date	Note
Debenture	Thai Airways International Public Company Limited –THAI20NA	14,000,000.00	May 18, 2020	November 13, 2020	
Total		14,000,000.00			

**Report on non-compliance of investment limit**

**UOB Income Daily Open-ended Fund**

**For the Period of February 1, 2020 to January 31, 2021**

Date	Fund Name	Ratio at the end of the day (%NAV)	Ratios of the project (%NAV)	cause	performance
	-None-	-	-	-	-

## **Voting right and voting right exercising**

Investors should examine guidance on voting right and voting right exercising via Asset  
Management Website : <http://www.uobam.co.th>

**Information on the exceeding of 1/3 unit holding**

**UOB Income Daily Open-ended Fund**

**As of January 29, 2021**

-None-
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Remark :

The investor can verify the information on the exceeding of 1/3 unit holding through the website of the company  
([http:// www.uobam.co.th](http://www.uobam.co.th))

**The amendment to the commitment**

**UOB Income Daily Open-ended Fund**

**For the period of February 1, 2020 to January 31, 2021**

Revised matter	Reason for the amendment	approval date	Effective date
Rights, Duties and Responsibilities of Unitholders (Cancelled Rules for Limitations on Unitholding over One-Third of Outstanding Units)	Comply with Announcement No.Tor.Nor.57/2563 No.Tor.Nor.62/2563 No.Sor.Tor.53/2563	January 11, 2021	January 12, 2021
Preparation of investment unit registration, Transfer of Units and Restrictions on the transfer of units (Cancelled Rules for Limitations on Unitholding over One-Third of Outstanding Units)	Comply with Announcement No.Tor.Nor.62/2563	January 11, 2021	January 12, 2021
Limitation on Unitholding of Outstanding Units (Cancelled Rules for Limitations on Unitholding over One-Third of Outstanding Units)	Comply with Announcement No.Tor.Nor.57/2563 No.Tor.Nor.62/2563	January 11, 2021	January 12, 2021
Dissolution of mutual funds (Cancelled Rules for Limitations on Unitholding over One-Third of Outstanding Units)	Comply with Announcement No.Tor.Nor.59/2563 No.Tor.Nor.60/2563 No.Sor.Nor.21/2562 No.Sor.Nor.9/2563	January 11, 2021	January 12, 2021

ชำระค่าไปรษณีย์จากเราแล้ว  
ใบอนุญาตนเลขที่ 33/2540  
โทรเลข ๕1๗๖๖๖

เหตุผลข้อร้องเรียนของผู้รับไม่ได้รับ
<input type="checkbox"/> 1. ค่าหน้าไม่ชัดเจน
<input type="checkbox"/> 2. ไม่เปิดเผยต้นทุนค่าหน้า
<input type="checkbox"/> 3. ไม่ยอมรับ
<input type="checkbox"/> 4. ไม่ได้รับตามค่าหน้า
<input type="checkbox"/> 5. ไม่มารับทราบค่าหน้า
<input type="checkbox"/> 6. เลิกกิจการ
<input type="checkbox"/> 7. ย้ายไปหาบริษัทอื่นใหม่
<input type="checkbox"/> 8. อื่นๆ .....
ลงชื่อ .....

UOB Asset Management (Thailand) Co.,Ltd.

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