

United Harmony-Rock Fund : UROCK-M

Interim Report
(September 1, 2020 - February 28, 2021)

Content

	Page
Message from the Company	1
List of Board of Directors and Management Team	2
Supervisor Report	3
Name List of Fund Manager	4
Fund Performance	5
Fund Information	7
Fund Expenses	9
Brokerage Fee	10
Fund Details of Investment, Borrowing and Obligations	11
Details of Instruments and the Ranking of Credit of respective Instruments in the Portfolio	12
Financial Report	13
Portfolio Turnover Ratio (PTR)	14
Credit rating of the bank or financial institution	15
List Details of Soft Commission	16
List of Connected Person with transaction	17
Report on non-compliance of investment limit	18
Information on the exceeding of 1/3 Unit holding	19

Message from the Company

To Unitholders

From September 1, 2020 to February 28, 2021, the net asset value of the fund increased by 4.95%, compared with an increase of 3.82% derived from an absolute return 8% per annum. Global economy slows down as Covid-19 pandemic remains and economic activities are still below normal level. Nonetheless, central banks and governments altogether launched unprecedented stimulus packages to combat such impacts which could support global economy. Capital markets recovered after good results of vaccine development and US election. Going forward, policy rates are likely to maintain at a very low level. Easing monetary policy in major countries will reduce headwind for risk assets.

Performance Review

United Harmony-Rock Fund	Return During Sep 1, 2020 – Feb 28, 2021	Return During Mar 1, 2020 – Aug 30, 2020
Fund	4.95%	6.04%
Benchmark (Thai Baht)*	3.82%	3.96%

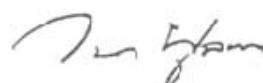
* Benchmark: Rate of return 8% per year

Remark : The Fund does not guarantee the investment returns, whereas the values of invested assets fluctuate according to the market conditions at that time

As we have managed United Harmony-Rock Fund for a period of half year on February 28, 2021, we would like to inform the net value to unit holder, United Harmony-Rock Fund (UROCK) Fund has a net asset value 2,593,592,436.23 Baht in asset value or its earning per unit is at 11.2564 Baht And United Harmony-Rock Fund-SSF (UROCK-SSF) has a net asset value 73,854,397.64 Baht in asset value or its earning per unit is at 11.2533 Baht (As of February 25, 2021)

In this connection, we would like to express our sincere thanks to the trust of all Unitholders has been extended to us for your investment United Harmony-Rock Fund. Should you have any further question or need more information, You can monitor or follow the announcement the net asset value of the Fund in the company website.

UOB Asset Management (Thailand) Co., Ltd.



(Mr. Vana Bulbon)
Chief Executive Officer

List of Board of Directors And Management Team
UOB Asset Management (Thailand) Co., Ltd.

Board of Directors

- | | | |
|----|---------------------------|----------------------------|
| 1. | Mr. Lee Wai Fai | Chairman Board of Director |
| 2. | Mr. Thio Boon Kiat | Director |
| 3. | Mr. Sanchai Apisaksirikul | Director |
| 4. | Mrs. Kanlika Buspavanich | Director |
| 5. | Mr. Vana Bulbon | Director and CEO |

Management Team

- | | | |
|----|---------------------------|---|
| 1. | Mr. Vana Bulbon | Chief Executive Officer |
| 2. | Mrs. Sunaree Piboonsakkul | Senior Director (Operation Division) |
| 3. | Mr. Jerdphan Nithayayon | Senior Director (Investment Division) |
| 4. | Ms. Rachada Tangharat | Senior Director (Business Development Division) |

Office Location

UOB Asset Management (Thailand) Co., Ltd.
23A, 25 Floor, Asia Centre Building, 173/27-30, 32-33
South Sathon Road, Thungmahamek, Sathon,
Bangkok 10120, Thailand
Tel : +66 2786 2222
Fax : +66 2786 2377

SSFO 21/030

March 5, 2021

THE SUPERVISOR GRANT APPROVAL

To : Unitholders
United Harmony-Rock Fund

TMB Bank Public Company Limited, as the mutual fund supervisor of the United Harmony-Rock Fund, has performed our duties in such mutual fund project, administered and managed by UOB Asset Management (Thailand) Company Limited, from the period between September 1, 2020 to February 28, 2021

In our opinion, we are pleased to confirm that UOB Asset Management (Thailand) Company Limited, has managed the mutual fund strictly in accordance with the approved mutual fund project prospectus and the commitment made to the unitholders under The Securities and Exchange Act, BE 2535



MANEEVAN INGKA VITAN
Fund Supervisory
TMB Bank Public Co., Ltd.

ธนาคารทหารไทย จำกัด (มหาชน)
TMB BANK PUBLIC COMPANY LIMITED



3000 ถนนพหลโยธิน แขวงจอมพล เขตจตุจักร กรุงเทพฯ 10900 ทะเบียนเลขที่/เลขประจำตัวผู้เสียภาษีอากร 0107537000017 โทร. 0-2299-1111
3000 Phahon Yothin Road, Chom Phon, Chatuchak, Bangkok 10900 Reg No./TAX ID No. 0107537000017 Tel. 0-2299-1111
tmbbank.com

United Harmony-Rock Fund

Name List of Fund Manager

For the period of September 1, 2020 to February 28, 2021

No.	Name List of Fund Manager
1	Ms. Vannachan Ungthavorn*
2	Mr. Kittiphong Kangwankiattichai*
3	Mr. Thitirat Ratanasingha*
4	Ms. Sirianong Peyasantiwong
5	Mr. Nopphon Narasri

* Fund manager and portfolio manager in derivatives (if any).

Fund Performance

Registration Date Sep 30, 2019

Ending Date of Accounting Period Feb 28, 2021

UROCK

	2554 2011	2555 2012	2556 2013	2557 2014	2558 2015	2559 2016	2560 2017	2561 2018	2562 2019	2563 2020
ผลตอบแทนของกองทุน (Fund Return)	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	4.38%	6.80%
ผลตอบแทนตัวชี้วัด (Benchmark Return)	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	1.94%	8.00%
ความผันผวนของผลดำเนินงาน (Fund Standard Deviation)	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	1.77%	10.01%
ความผันผวนของตัวชี้วัด (Benchmark Standard Deviation)	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	0.14%	0.32%

	ตั้งแต่ต้นปี (YTD) ¹⁾	3 เดือน (3 Months) ¹⁾	6 เดือน (6 Months) ¹⁾	1 ปี (1 Year) ²⁾	3 ปี (3 Years) ²⁾	5 ปี (5 Years) ²⁾	10 ปี (10 Years) ²⁾	ตั้งแต่จัดตั้ง (Since Inception) ³⁾
ผลตอบแทนของกองทุน (Fund Return)	0.97%	3.40%	4.95%	11.36%	N/A	N/A	N/A	8.77%
ผลตอบแทนตัวชี้วัด (Benchmark Return)	1.21%	1.85%	3.82%	7.98%	N/A	N/A	N/A	7.98%
ความผันผวนของผลดำเนินงาน (Fund Standard Deviation)	3.19%	3.44%	6.27%	10.18%	N/A	N/A	N/A	9.14%
ความผันผวนของตัวชี้วัด (Benchmark Standard Deviation)	0.13%	0.17%	0.23%	0.33%	N/A	N/A	N/A	0.32%

UROCK - SSF

	2554 2011	2555 2012	2556 2013	2557 2014	2558 2015	2559 2016	2560 2017	2561 2018	2562 2019	2563 2020
ผลตอบแทนของกองทุน (Fund Return)	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	15.58%
ผลตอบแทนตัวชี้วัด (Benchmark Return)	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	5.43%
ความผันผวนของผลดำเนินงาน (Fund Standard Deviation)	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	6.85%
ความผันผวนของตัวชี้วัด (Benchmark Standard Deviation)	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	0.27%

	ตั้งแต่ต้นปี (YTD) ¹⁾	3 เดือน (3 Months) ¹⁾	6 เดือน (6 Months) ¹⁾	1 ปี (1 Year) ²⁾	3 ปี (3 Years) ²⁾	5 ปี (5 Years) ²⁾	10 ปี (10 Years) ²⁾	ตั้งแต่จัดตั้ง (Since Inception) ³⁾
ผลตอบแทนของกองทุน (Fund Return)	0.94%	3.36%	4.92%	N/A	N/A	N/A	N/A	16.67%
ผลตอบแทนตัวชี้วัด (Benchmark Return)	1.21%	1.85%	3.82%	N/A	N/A	N/A	N/A	6.71%
ความผันผวนของผลดำเนินงาน (Fund Standard Deviation)	3.19%	3.44%	6.28%	N/A	N/A	N/A	N/A	7.55%
ความผันผวนของตัวชี้วัด (Benchmark Standard Deviation)	0.13%	0.17%	0.23%	N/A	N/A	N/A	N/A	0.30%

Remark : 1. Return per period

2. Return per year

3. If Since inception < 1 Year Return per period, If Since inception \geq 1 Year Return per year

- Benchmark : Rate of return 8% per year

(The Fund does not guarantee the investment returns,whereas the values of invested assets fluctuate according to the market conditions at that time)

- Performance measures used in this annual report comply with AIMC performance presentation standards.

- Past Performance / performance comparison relating to a capital market product is not a guarantee of future results.

Information of Mutual Funds Investment exceed 20% of NAV

BLACKROCK GLOBAL FUNDS

BGF Global Allocation D2RF USD

FEBRUARY 2021 FACTSHEET

Performance, Portfolio Breakdowns and Net Assets information as at: 28-Feb-2021. All other data as at 18-Mar-2021.

For Professional Intermediaries Only – Not for Public Distribution, unless otherwise permitted by laws or regulator.

BLACKROCK®

FUND OVERVIEW

The Global Allocation Fund seeks to maximise total return. The Fund invests globally in equity, debt and short term securities, of both corporate and governmental issuers, with no prescribed limits. In normal market conditions the Fund will invest at least 70% of its total assets in the securities of corporate and governmental issuers. The Fund generally will seek to invest in securities that are, in the opinion of the Investment Adviser, undervalued. The Fund may also invest in the equity securities of small and emerging growth companies. The Fund may also invest a portion of its debt portfolio in high yield fixed income transferable securities. Currency exposure is flexibly managed.

GROWTH OF 10,000



CUMULATIVE & ANNUALISED PERFORMANCE

	Cumulative (%)					Annualised (% p.a.)		
	1m	3m	6m	YTD	1 Year	3 Year	5 Year	Since Inception
Share Class	0.78	4.56	10.77	1.18	28.63	9.33	10.32	5.38

The figures shown relate to past performance. Past performance is not a reliable indicator of future results and should not be the sole factor of consideration when selecting a product or strategy. For non-hedged currency share classes, share class and benchmark performance are displayed in share class currency. For hedged currency share classes, share class performance is displayed in share class currency while the benchmark performance is displayed in the fund base currency. Performance is shown on a Net Asset Value (NAV) basis, with gross income reinvested where applicable. The return of your investment may increase or decrease as a result of currency fluctuations if your investment is made in a currency other than that used in the past performance calculation. Source: BlackRock

% CALENDAR YEAR RETURNS

	2020	2019	2018	2017	2016
Share Class	20.62	17.70	-8.19	13.68	4.01

*Performance shown from share class launch date to calendar year end.

RATINGS



KEY FACTS

Asset Class	Multi Asset
Morningstar Category	USD Moderate Allocation
Inception Date	03-Jan-1997
Share Class Launch Date	12-Nov-2007
Fund Base Currency	U.S. Dollar
Share Class Currency	U.S. Dollar
Total Fund Size (M)	16354.01614
Domicile	Luxembourg
Fund Type	UCITS
ISIN	LU0329592538
Bloomberg Ticker	MGAFUDD
Distribution Type	None
Number of Issuers	1,049

PORTFOLIO MANAGER(S)

Rick Rieder (Since 2019)
 Russ Koesterich, CFA, JD (Since: 2017)
 David Clayton, CFA, JD (Since: 2017)

TOP EQUITY HOLDINGS (%)

MICROSOFT CORP	2.08
APPLE INC	2.07
ALPHABET INC CLASS C	1.68
AMAZON COM INC	1.31
JPMORGAN CHASE & CO	1.28
BANK OF AMERICA CORP	1.19
TAIWAN SEMICONDUCTOR MANUFACTURING	0.92
JOHNSON & JOHNSON	0.91
SIEMENS N AG	0.89
UNITEDHEALTH GROUP INC	0.85
Total of Portfolio	13.18

Holdings subject to change

ASSET ALLOCATION (%)²

	Fund	Active
Equity	65.87	5.87
Fixed Income	18.74	-21.26
Commodity Related	0.85	0.85
Cash	14.54	14.54

Allocations subject to change.

PORTFOLIO CHARACTERISTICS

Equity Price/Earnings (FY1)	20.64x
Equity Wtd. Avg. Market Capitalization	300854.13861
Portfolio Effective Duration	1.38
Assumes 0 duration for equities and commodity-related securities	
Fixed Income Effective Duration	7.46 yrs
Fixed Income + Cash Effective Duration	4.80 yrs

FEES AND CHARGES

Max Initial Charge	5.00%
Annual Management Fee	0.75%

REGIONAL ALLOCATION (%)²

	Fund	Active
North America Equity	40.98	3.84
Europe Equity	16.64	5.12
North America Fixed Income	8.43	-15.98
Emerging Market Equity	5.93	1.61
Europe Fixed Income	4.35	-5.87
Emerging Market Fixed Income	4.33	3.83
Other	2.31	2.31
Japan Equity	2.02	-2.83
Asia/Pacific (ex Japan) Fixed Income	0.89	0.33
Japan Fixed Income	0.75	-3.57
Asia/Pacific (ex. Japan) Equity	0.29	-1.87
Commodity Related	0.85	0.85
Cash	14.54	14.54

Geographic exposure relates principally to the domicile of the issuers of the securities held in the product, added together and then expressed as a percentage of the product's total holdings. However, in some instances it can reflect the country where the issuer of the securities carries out much of their business.

CURRENCY ALLOCATION (%)²

	Fund	Active
US Dollar	64.67	4.62
Euro	13.95	-0.43
Japanese Yen	8.51	-0.67
Other Asia	5.09	-0.68
British Pound Sterling	4.71	0.63
Other Europe	1.50	-1.74
Rest of the World	1.29	-1.30
Latin America	0.24	-0.48

DEALING INFORMATION

Settlement	Trade Date + 3 days
Dealing Frequency	Daily, forward pricing basis

¹The Reference Benchmark is an unmanaged weighted index comprised as follows: 36% of the S&P 500 Index; 24% FTSE World (ex US) Index; 24% ICE BofA Merrill Lynch Current 5- year US Treasury Index; and 16% FTSE Non-US Dollar World Government Bond Index.

²% of net assets represents the Fund's exposure based on the economic value of securities and is adjusted for futures, options, and swaps, and convertible bonds. Allocations subject to change. Numbers may not sum to 100% due to rounding.

Prior to 2 March 2020 the Fund was managed by Dan Chamby, Rick Rieder, David Clayton and Russ Koesterich. Prior to 1 April 2019 the Fund was managed by Dan Chamby, David Clayton, Russ Koesterich and Kent Hogshire. On 20 April 2018, the benchmark renamed from 36% S&P 500 Index, 24% FTSE World (ex.US) Index, 24% BofA ML Cur 5-yr US Treasury Index and 16% FTSE Non-USD WGBI Index* to 36% S&P 500 Index, 24% FTSE World (ex.US) Index, 24% ICE BofA ML Cur 5-yr US Treasury Index and 16% FTSE Non-USD WGBI Index. The Fund changed its investment policy in 2017. Prior to this performance of the Fund was achieved under circumstances that no longer apply.

The fund invests a large portion of assets which are denominated in other currencies; hence changes in the relevant exchange rate will affect the value of the investment. The fund may invest in smaller company shares which can be more unpredictable and less liquid than those of larger company shares.

This document is issued by BlackRock and is intended for the exclusive use of any recipient who warrants, by receipt of this material, that such recipient is an institutional investor or professional/sophisticated/qualified/accredited/expert investor as such term may apply under the relevant legislations in Southeast Asia (for such purposes, includes only Malaysia, the Philippines, Thailand, Brunei and Indonesia). BlackRock does not hold any regulatory licenses or registrations in Southeast Asia countries listed above, and is therefore not licensed to conduct any regulated business activity under the relevant laws and regulations as they apply to any entity intending to carry on business in Southeast Asia, nor does BlackRock purport to carry on, any regulated activity in any country in Southeast Asia. BlackRock funds, and/or services shall not be offered or sold to any person in any jurisdiction in which such an offer, solicitation, purchase, or sale would be deemed unlawful under the securities laws or any other relevant laws of such jurisdiction(s). This material is provided to the recipient on a strictly confidential basis and is intended for informational or educational purposes only. Nothing in this document, directly or indirectly, represents to you that BlackRock will provide, or is providing BlackRock products or services to the recipient or is making available, inviting, or offering for subscription or purchase, or invitation to subscribe for or purchase, or sale, of any BlackRock fund, or interests therein. This material neither constitutes an offer to enter into an investment agreement with the recipient of this document nor is it an invitation to respond to it by making an offer to enter into an investment agreement. This document and any other documents and materials, in connection with the offer or sale, or invitation for subscription or purchase of BlackRock products, may only be circulated or distributed by an entity as permitted by applicable laws and regulations. BlackRock does not have any intention to solicit you for any investment or subscription in the fund and any such solicitation or marketing will be made by an entity permitted by applicable laws and regulations. No approval of, or recognition by, any relevant regulatory authority, has been, or will be, obtained for the making available, invitation, or offer for subscription or purchase, or invitation to subscribe for or purchase, or the sale, of BlackRock funds to any persons in any country in Southeast Asia. This document is not intended to be a prospectus, and has not been registered as a prospectus with any regulatory body in any country in Southeast Asia. Accordingly, this document and any other documents and materials, in connection with the offer or sale, or invitation for subscription or purchase of, BlackRock products, may not be circulated or distributed, nor may any BlackRock fund be offered or sold, or be made the subject of an invitation for subscription or purchase, whether directly or indirectly, to the public or any members of the public in Southeast Asia which may trigger public offering requirements and will violate the securities laws or any other relevant laws of such jurisdiction(s). The distribution of the information contained herein may be restricted by law, and any person who accesses it is required to comply with any such restrictions. By reading this information you confirm that you are aware of the laws in your own jurisdiction regarding the provision and sale of funds and related financial services or products, and you warrant and represent that you will not pass on or utilize the information contained herein in a manner that could constitute a breach of such laws by BlackRock, its affiliates, or any other person. BlackRock does not guarantee the suitability or potential value of any particular investment. Investment involves risk including possible loss of principal. You should consult your own advisers on such matters. © 2021 BlackRock, Inc. All Rights Reserved. BLACKROCK is a registered trademark of BlackRock, Inc. All other trademarks are those of their respective owners.

BLACKROCK®

United Harmony-Rock Fund

Total Expenses as called from fund Table

From September 1, 2020 to February 28, 2021

Called expenses from fund (Fund's direct expense)	Amount Unit : Thousand	Percentage of Net Assets Value
Management fee	5,849.98	1.0700
Trustee fee	316.95	0.0214
Transaction fee	-	-
Registrar fee	3,171.56	0.2140
Advisory fee	-	-
Sale Promotion - IPO	-	-
Sale Promotion - After IPO	-	-
Auditing Fee	30.75	0.0010
Other Expenses*	6.60	0.0002
Total Expenses **	9,375.84	1.3066
Rebate fee	Amount Unit : Baht	Percentage of Net Assets Value
Rebate fee	1,814,737.40	0.0606

Remark * Other expense which each items is less than 0.01% of NAV

** Included VAT (if any) and Not included brokerage fee

United Harmony-Rock Fund

Brokerage Fee

From September 1, 2020 To February 28, 2021

	Broker Name	Brokerage Fee (Baht)	% of Total Brokerage Fee
1	UOB KAY HIAN PTE. LTD.	55,839.37	100.00
	Total	55,839.37	100.00

United Harmony-Rock Fund
Details of Investment ,Borrowing and Obligations
As of February 28, 2021

	Market Value	%NAV
Domestic : Assets and Securities List		
<u>Common Stocks</u>	<u>885,268,140.86</u>	<u>33.41</u>
Non Listed Securities	885,268,140.86	33.41
Unit Trust	885,268,140.86	33.41
EHD	51,060,025.54	1.93
UCHINA	42,732,242.02	1.61
UES	34,074,739.96	1.29
UGQG	395,777,446.05	14.94
UIF-N	46,304,827.56	1.75
UOBSCN	42,180,497.54	1.59
UOBSGC	9,326,956.69	0.35
UOBSHC	158,661,637.43	5.99
UOBSJM	105,149,768.07	3.97
<u>Deposits</u>	<u>360,329,122.35</u>	<u>13.60</u>
TMB BANK PUBLIC COMPANY LIMITED	358,084,936.20	13.52
TMB BANK PUBLIC COMPANY LIMITED (USD)	2,244,186.15	0.08
<u>Others</u>	<u>-294,137,859.55</u>	<u>-11.10</u>
Other Assets	215,717,830.35	8.14
Other Liabilities	-509,855,689.90	-19.24
LUXEMBOURG : Assets and Securities List		
<u>Common Stocks</u>	<u>1,252,227,518.66</u>	<u>47.27</u>
Non Listed Securities	1,252,227,518.66	47.27
Unit Trust	1,252,227,518.66	47.27
FFGMYAU	465,252,690.90	17.56
MGAFUDD	611,836,501.02	23.09
WGIUSAU	175,138,326.74	6.61
SINGAPORE : Assets and Securities List		
<u>Common Stocks</u>	<u>451,496,222.50</u>	<u>17.04</u>
Non Listed Securities	451,496,222.50	17.04
Unit Trust	451,496,222.50	17.04
UGHFUS	136,519,476.69	5.15
UOBGQGUA	314,976,745.81	11.89
USA : Assets and Securities List		
<u>Common Stocks</u>	<u>12,744,026.15</u>	<u>0.48</u>
Non Listed Securities	12,744,026.15	0.48
Unit Trust	12,744,026.15	0.48
GLD.US	12,744,026.15	0.48
Futures Contracts		
<u>Forward Contracts</u>	<u>-18,589,416.26</u>	<u>-0.70</u>
Forward Contracts	-18,589,416.26	-0.70
Net Asset Value	2,649,337,754.71	100.00

Details of Instruments and the Ranking of Credit of respective Instruments in the Portfolio

United Harmony-Rock Fund

As of February 28, 2021

Detail of investment in the Futures contracts

Type of Contract	Counter Party	Rating	Objective	Market Value	%NAV	Maturity Date	(net gain/loss)
Currency Derivatives Contracts							
Forward Contracts	CIMB THAI BANK PUBLIC COMPANY LIMITED	AA	Hedging	-1,125,000.00	-0.04	26/03/2021	-1,125,000.00
	CIMB THAI BANK PUBLIC COMPANY LIMITED	AA	Hedging	-1,465,000.00	-0.06	26/03/2021	-1,465,000.00
	CIMB THAI BANK PUBLIC COMPANY LIMITED	AA	Hedging	-955,350.00	-0.04	26/03/2021	-955,350.00
	CIMB THAI BANK PUBLIC COMPANY LIMITED	AA	Hedging	-916,300.00	-0.03	26/03/2021	-916,300.00
	CIMB THAI BANK PUBLIC COMPANY LIMITED	AA	Hedging	-2,036,700.00	-0.08	26/03/2021	-2,036,700.00
	CIMB THAI BANK PUBLIC COMPANY LIMITED	AA	Hedging	-1,199,550.00	-0.05	26/03/2021	-1,199,550.00
	KIATNAKIN PHATRA BANK PUBLIC COMPANY LIMITED	A	Hedging	-890,670.00	-0.03	26/03/2021	-890,670.00
	KIATNAKIN PHATRA BANK PUBLIC COMPANY LIMITED	A	Hedging	-1,460,400.00	-0.06	26/03/2021	-1,460,400.00
	KIATNAKIN PHATRA BANK PUBLIC COMPANY LIMITED	A	Hedging	-640,200.00	-0.02	27/05/2021	-640,200.00
	THE SIAM COMMERCIAL BANK PUBLIC COMPANY LIMITED	AA+	Hedging	-7,005,860.46	-0.26	07/05/2021	-7,005,860.46
	THE SIAM COMMERCIAL BANK PUBLIC COMPANY LIMITED	AA+	Hedging	-894,385.80	-0.03	07/05/2021	-894,385.80

Portfolio Turnover Ratio (PTR)

United Harmony-Rock Fund

For the period of September 1, 2020 to February 28, 2021

67.00%

Credit rating of the bank or financial institution

United Harmony-Rock Fund

As of February 28, 2021

Bank of deposit	Credit ratings by international institution	Credit ratings by domestic institution
-None-	-	-

List of Soft Commission

No.	Brokerage	Soft Commission	Reason for receiving
-	-None-	-	-

List of Connected Person with transactions

For the Period of September 1, 2020 to February 28, 2021

List of Connected Persons who had transactions with Fund
UOB Asset Management (Thailand) Co.,Ltd UOB ASSET MANAGEMENT LTD

Remark :

The investors can verify the Connected Persons' transactions of fund directly at UOB Asset Management (Thailand) Co., Ltd. or through the website of the Company (www.uobam.co.th) or The Securities and Exchange Commission (www.sec.or.th)

Report on non-compliance of investment limit

United Harmony-Rock Fund

For the Period of September 1, 2020 to February 28, 2021

Date	Fund Name	Ratio at the end of the day (%NAV)	Ratios of the project (%NAV)	cause	performance
-	-None-	-	-	-	-

Information on the exceeding of 1/3 unit holding

United Harmony-Rock Fund

As of February 25, 2021

-None-

Remark :

The investor can verify the information on the exceeding of 1/3 unit holding through the website of the company (www.uobam.co.th)

คำชี้แจงไปยังสมาชิก UOBAM
ไปรษณีย์เลขที่ 33/2540
ปณจ. บางนา

- เหตุผลที่ต้องจัดทำบัญชีรับไม่ได้
- 1. จำหน่ายสินค้าคงเหลือ
 - 2. ไม่มีแหล่งอ้างอิงตามจำหน่า
 - 3. ไม่ยอมรับ
 - 4. ไม่มีผู้รับตามจำหน่า
 - 5. ไม่มารับของคืนจากหมด
 - 6. เสียสิทธิ์
 - 7. ย้ายไปทราบที่อยู่ใหม่
 - 8. อื่นๆ

ลงชื่อ

UOB Asset Management (Thailand) Co., Ltd.

23A, 25 Floor, Asia Centre Building, 173/27-30, 32-33 South Sathon Road, Thungmahamek, Sathon,
Bangkok 10120, Thailand Tel : +66 2786 2222 Fax : +66 2786 2377 www.uobam.co.th