

UOB Smart Global Bond Fund : UOBSGB

Interim Report

(November 1, 2020 – April 30, 2021)

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Message from the Company

To Unitholders

Market Summary

In the third quarter of 2020, global economy is in recovery mode from Covid crisis and financial market had a higher volatility before US election. In November, Joe Biden from Democrat party won an election and became US president with expectation of larger stimulus, higher taxes and reduction in trade war. On the other hand, Vaccine developments had a higher success rate in prevention of virus, vaccines began rollout gradually in late of fourth quarter 2020 as a result cyclical stock started to outperform in anticipation of re-open economy, while US treasury rate increased rapidly from 0.9% into 1.7% within 3 months, causing fixed income to underperform other asset classes. Policy makers' easing stance, starting from gigantic stimulus from Biden government to Fed monetary policy easing, are the major reasons that global stock price continued its uptrend.

Fund's Investment Strategy

The Fund invests in Wellington Global Bond Fund managed by Wellington Management. The investment objective of the Master Fund is to seek long-term total returns. The Investment Manager will actively manage the Fund against the Bloomberg Barclays Global Aggregate Total Return Index Value Hedged USD in Thai Baht, seeking to achieve the objective by investing directly and via derivatives in a globally diversified portfolio of currencies and fixed income securities across a broad credit spectrum within a rigorous risk management framework.

Performance Review

For this period, net asset value of the fund decreased by 1.90%, compared with a decrease of 1.35% of the benchmark.

UOB Smart Global Bond Fund	Return During Nov 1, 2020 – Apr 30, 2021	Return During May 1, 2020 – Oct 31, 2020
Fund	-1.90%	-0.15%
Benchmark (Thai Baht)	-1.35%	1.52%

Benchmark: Bloomberg Barclays GLOBAL AGGREGATE Total RETURN INDEX VALUE Hedged USD adjusted by hedging cost against currency risk

As we have managed UOB Smart Global Bond Fund for a period of half year on April 30, 2021 we would like to inform the net value to unit holder, UOB Smart Global Bond Fund has a net asset value 7,005,465.31 Baht in asset value or its earning per unit is at 12.3283 Baht.

In this connection, we would like to express our sincere thanks to the trust of all Unitholders has been extended to us for your investment UOB Smart Global Bond Fund. Should you have any further question or need more information, You can monitor or follow the announcement the net asset value of the Fund in or the company website.

UOB Asset Management (Thailand) Co., Ltd.



(Mr. Vana Bulbon)
Chief Executive Officer

List of Board of Directors And Management Team

UOB Asset Management (Thailand) Co., Ltd.

Board of Directors

- | | | |
|----|---------------------------|----------------------------|
| 1. | Mr. Lee Wai Fai | Chairman Board of Director |
| 2. | Mr. Thio Boon Kiat | Director |
| 3. | Mr. Sanchai Apisaksirikul | Director |
| 4. | Mrs. Kanlika Buspavanich | Director |
| 5. | Mr. Vana Bulbon | Director and CEO |

Management Team

- | | | | |
|----|--------------|--------------|---|
| 1. | Mr. Vana | Bulbon | Chief Executive Officer |
| 2. | Mrs. Sunaree | Piboonsakkul | Senior Director (Operation Division) |
| 3. | Mr. Jerdphan | Nithayayon | Senior Director (Investment Division) |
| 4. | Ms. Rachada | Tangharat | Senior Director (Business Development Division) |

Office Location


UOB Asset Management (Thailand) Co., Ltd.
23A, 25 Floor, Asia Centre Building, 173/27-30, 32-33
South Sathon Road, Thungmahamek, Sathon,
Bangkok 10120, Thailand
Tel : +66 2786 2222
Fax : +66 2786 2377



Dear : The Unit holders of UOB Smart Global Bond Fund

Mutual Fund Supervisor Opinion

We, The Kasikornbank Public Company Limited, as the Mutual Fund Supervisor of UOB Smart Global Bond Fund by UOB Asset Management (Thailand) Co., Ltd. from November 1, 2020 until April 30, 2021 consider that UOB Asset Management (Thailand) Co., Ltd. has well performed and fully completed its duties pursuant to its project and Securities and Exchange Act B.E. 2535.



Mutual Fund Supervisor

MAY 7, 2021

K-Contact Center 02-8888888
www.kasikornbank.com

บริการทุกระดับประทับใจ

ทะเบียนเลขที่ 0107536000315

UOB Smart Global Bond Fund

Name List of Fund Manager

For the period of November 1, 2020 to April 30, 2021

No.	Name List of Fund Manager
1	Ms. Vannachan Ungthavorn*
2	Mr. Kittiphong Kangwankiattichai*
3	Mr. Thitirat Ratanasingha*
4	Ms. Sirianong Peyasantiwong
5	Mr. Nopphon Narasri

* Fund Manager and portfolio manager in derivative.(if any)

Fund Performance

Registration Date Nov 30, 2011

Ending Date of Accounting Period Apr 30, 2021

	2554 2011	2555 2012	2556 2013	2557 2014	2558 2015	2559 2016	2560 2017	2561 2018	2562 2019	2563 2020
ผลตอบแทนของกองทุน (Fund Return)	0.08%	17.45%	3.47%	3.21%	-4.21%	-5.02%	1.40%	0.49%	4.87%	3.05%
ผลตอบแทนตัวชี้วัด (Benchmark Return)	3.18%	-1.52%	2.09%	1.09%	6.56%	4.47%	3.04%	1.64%	8.38%	5.49%
ความผันผวนของผลดำเนินงาน (Fund Standard Deviation)	2.69%	6.16%	5.28%	4.41%	8.35%	7.76%	1.79%	1.80%	3.18%	3.72%
ความผันผวนของตัวชี้วัด (Benchmark Standard Deviation)	1.31%	4.83%	6.89%	5.01%	7.09%	5.74%	2.03%	1.75%	2.53%	3.27%

	ตั้งแต่ต้นปี (YTD) ¹⁾	3 เดือน (3 Months) ¹⁾	6 เดือน (6 Months) ¹⁾	1 ปี (1 Year) ²⁾	3 ปี (3 Years) ²⁾	5 ปี (5 Years) ²⁾	10 ปี (10 Years) ²⁾	ตั้งแต่จัดตั้ง (Since Inception) ³⁾
ผลตอบแทนของกองทุน (Fund Return)	-1.99%	-1.41%	-1.90%	-2.05%	2.44%	0.82%	N/A	2.25%
ผลตอบแทนตัวชี้วัด (Benchmark Return)	-2.15%	-1.69%	-1.35%	0.15%	4.54%	3.16%	N/A	3.38%
ความผันผวนของผลดำเนินงาน (Fund Standard Deviation)	1.21%	1.11%	1.41%	2.08%	3.05%	2.71%	N/A	5.35%
ความผันผวนของตัวชี้วัด (Benchmark Standard Deviation)	1.34%	1.23%	1.57%	2.09%	3.12%	2.96%	N/A	4.53%

Remark: 1. Return per period

2. Return per year

3. If since inception < 1 Year Return per period, If Since inception \geq 1 Year Return per year

- Benchmark: Bloomberg Barclays GLOBAL AGGREGATE Total RETURN INDEX VALUE Hedged USD adjusted by hedging cost against currency risk
- Performance measures used in this annual report comply with AIMC performance presentation standards.
- Past Performance/performance comparison relating to a capital market product is not a guarantee of future results.

Wellington Global Bond Fund USD Class S Accumulating Hedged (Master Fund)

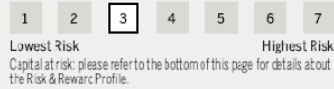
WELLINGTON
MANAGEMENT®

Monthly Factsheet | 30 APRIL 2021

For professional and accredited investors only. Not for further distribution.

Wellington Global Bond Fund USD S Accumulating Hedged

RISK AND REWARD PROFILE



MORNINGSTAR™ RATING*: ★★★★★

FUND DETAILS

Fund Inception: August 1999
Share Class Inception: April 2010
Fund Assets: USD 1.8 billion
NAV: USD 15.28

KEY INFORMATION

Domicile: Ireland
Regulatory Regime: UCITS
Legal Structure: Public Limited Company
Dealing Frequency: Daily
Country Registration: Austria, Chile, Denmark, Finland, France, Germany, Iceland, Ireland, Italy, Luxembourg, Netherlands, Norway, Singapore*, South Korea, Spain, Sweden, Switzerland, United Kingdom.
ISIN: IE00B1DRXX88
Bloomberg: WELGUAH1D
Sedol: B1DRXX8
Lipper: 5805G399
WKN: A1CWNL
*Restricted scheme

FUND CHARACTERISTICS

	Fund	Benchmark
Average Quality*	A+	AA-
Effective Duration:	6.4 yrs	7.2 yrs
Yield to Worst:	0.9%	1.1%

*Quality ratings are based on the higher of Moody's and S&P. Ratings do not apply to the Fund itself. Ratings may change.

FEES AND PRICING

Minimum Investment: USD 1 million
Management Fee: 0.35%
Ongoing Charges Figure*: 0.45%

*The Ongoing Charges Figure represents all annual expenses and additional charges taken from the fund. A more detailed description of the charges that apply to the Fund is set out in the section 'Charges of the Umbrella Fund' in the Prospectus. The ongoing charges figure may change over time.

For more information, please visit
www.wellingtonfunds.com

SUMMARY OF INVESTMENT OBJECTIVE

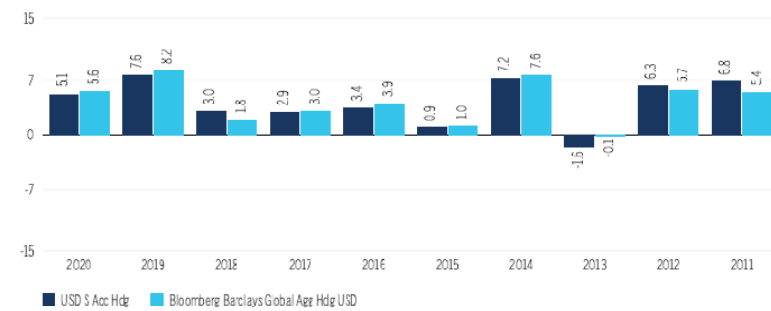
The Wellington Global Bond Fund seeks long-term total returns. The Investment Manager will actively manage the Fund against the Bloomberg Barclays Global Aggregate Index, seeking to achieve the objective by investing directly and via derivatives in a globally diversified Fund of currencies and fixed income securities across a broad credit spectrum within a rigorous risk management framework.

FUND PERFORMANCE (%)

TOTAL RETURNS NET OF FEES AND EXPENSES

	YTD	1 MO	3 MOS	1 YR	3 YRS	5 YRS	10 YRS	SINCE INCEPTION
USD S Acc Hdg	-1.9	0.2	-1.3	-0.3	4.6	3.3	3.8	3.9
Bloomberg Barclays Global Agg Hdg USD	2.2	0.3	-1.7	0.1	4.5	3.3	3.8	3.8

CALENDAR YEAR RETURNS




DISCRETE ANNUAL PERFORMANCE

	MAY '20 - APR '21	MAY '19 - APR '20	MAY '18 - APR '19	MAY '17 - APR '18	MAY '16 - APR '17
USD S Acc Hdg	-0.3	8.0	6.4	1.8	1.1
Bloomberg Barclays Global Agg Hdg USD	0.1	8.3	5.4	1.4	1.6

Past results are not necessarily indicative of future results and an investment can lose value.

The inception date of the USD S Acc Hdg share class is 9 April 2010. | Fund returns shown are net of USD S Acc Hdg share class fees and expenses. Fund returns shown are net of actual (but not necessarily maximum) withholding and capital gains tax but are not otherwise adjusted for the effects of taxation and assume reinvestment of dividends and capital gains. The index returns, where applicable, are shown net of maximum withholding tax and assume reinvestment of dividends. Periods greater than one year are annualised. If an investor's currency is different from the currency in which the fund is denominated, the investment return may increase or decrease as a result of currency fluctuations. | Please note the fund has a swing pricing mechanism in place. | If the last business day of the month is not a business day for the Fund, performance is calculated using the last available NAV. This may result in a performance differential between the fund and the index. Source: Fund - Wellington Management, Index - Bloomberg Barclays.

FUND MANAGEMENT

 Global Bond Team
20 years of average experience

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Monthly Factsheet Wellington Global Bond Fund | USD S Accumulating Hedged

WHAT ARE THE RISKS?

CAPITAL: Investment markets are subject to economic, regulatory, market sentiment and political risks. All investors should consider the risks that may impact their capital, before investing. The value of your investment may become worth more or less than at the time of the original investment. The Fund may experience a high volatility from time to time.

CREDIT: The value of a bond may decline, or the issuer/guarantor may fail to meet payment obligations. Typically lower-rated bonds carry a greater degree of credit risk than higher-rated bonds.

CURRENCY: The value of the Fund may be affected by changes in currency exchange rates. Unhedged currency risk may subject the Fund to significant volatility.

DERIVATIVES: Derivatives may provide more market exposure than the money paid or deposited when the transaction is entered into (sometimes referred to as Leverage). Market movements can therefore result in a loss exceeding the original amount invested. Derivatives may be difficult to value. Derivatives may also be used for efficient risk and portfolio management, but there may be some mismatch in exposure when derivatives are used as hedges.

EMERGING MARKETS: Emerging markets may be subject to custodial and political risks, and volatility. Investment in foreign currency entails exchange risks.

HEDGING: Any hedging strategy using derivatives may not achieve a perfect hedge.

INTEREST RATES: The value of bonds tends to decline as interest rates rise. The change in value is greater for longer term than shorter term bonds.

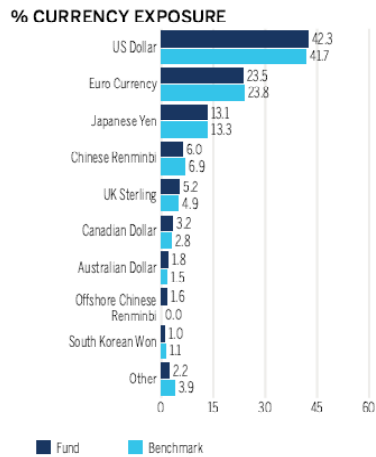
LEVERAGE: The use of leverage can provide more market exposure than the money paid or deposited when the transaction is entered into. Losses may therefore exceed the original amount invested.

MANAGER: Investment performance depends on the investment management team and their investment strategies. If the strategies do not perform as expected, if opportunities to implement them do not arise, or if the team does not implement its investment strategies successfully, then a fund may underperform or experience losses.

SUSTAINABILITY RISK: A Sustainability Risk can be defined as an environmental, social or governance event or condition that, if it occurs, could cause an actual or potential material negative impact on the value of an investment.

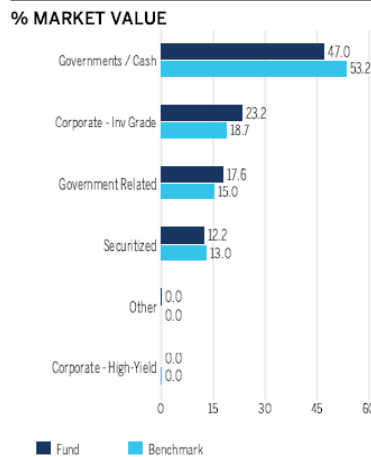
Before subscribing, please refer to the Fund offering documents/prospectus for further risk factors and pre-investment disclosures. For the latest NAV, please visit www.fundinfo.com.

CURRENCY EXPOSURE



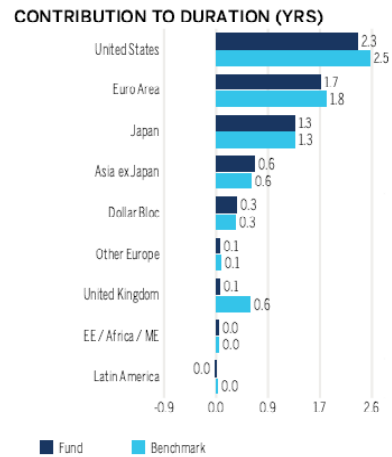
Currency exposures shown are for the fund and do not reflect any hedging within individual share classes.

SECTOR DISTRIBUTION



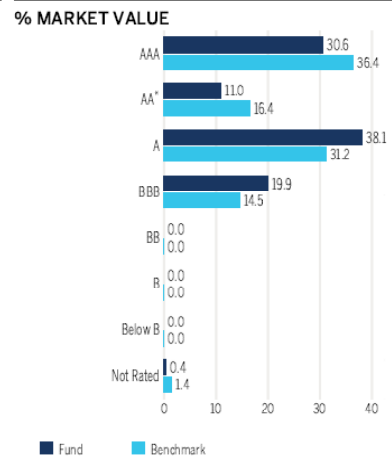
Totals may not add up to 100% due to rounding.

GEOGRAPHIC DISTRIBUTION



EE stands for Emerging Europe & ME stands for Middle East.

QUALITY DISTRIBUTION



Totals may not add up to 100% due to rounding. | Quality ratings are based on the higher of Moody's and S&P. | Ratings do not apply to the Fund and itself. Ratings may change. | *AA includes AA, cash, cash offset, and other.

In the following countries, this material is provided by: Canada: Wellington Management Canada ULC registered in the provinces of Alberta, British Columbia, Manitoba, Nova Scotia, New Brunswick, Newfoundland and Labrador, Ontario, Prince Edward Island, Quebec and Saskatchewan in the categories of Portfolio Manager and Exempt Market Dealer. In the UK, Wellington Management International Limited (WML), a firm authorised and regulated by the Financial Conduct Authority (Ref: 208573). In EEA countries where the Fund is registered: Wellington Management Europe GmbH which is authorised and regulated by the the German Federal Financial Supervisory Authority (BaFin). Shares of the Fund may not be distributed or marketed in any way to German retail or semi-professional investors if the Fund is not admitted for distribution to these investor categories by BaFin. Hong Kong: Wellington Management Hong Kong Limited (WM Hong Kong), a corporation licensed by the Securities and Futures Commission. Wellington Investment Management (Shanghai) Limited is a wholly-owned entity and subsidiary of WM Hong Kong. Singapore: Wellington Management Singapore Pte Ltd (WM Singapore) (Registration No 201415544D), regulated by the Monetary Authority of Singapore. Australia: Wellington Management Australia Pty Ltd (WM Australia), for use solely by wholesale clients (as defined in the Corporations Act 2001). WMC is exempt from the requirement to hold an Australian financial services licence (AFSL) under the Corporations Act 2001 in respect of financial services. WMC is regulated by the SEC under the laws of the United States, which differ from the laws applying in Australia. Japan: Wellington Management Japan Pte Ltd (WM Japan) (Registration No 199304987R) is registered as a Financial Instruments Firm with registered number: Director General of Kanjo Local Finance Bureau (Kin-Sho) Number 428, a member of the Japan Investment Advisers Association, the Investment Trusts Association, Japan (ITA) and the Type II Financial Instruments Firms Association (DIFFA). WML, WM Hong Kong, WM Japan and WM Singapore are also registered as investment advisers with the SEC, however, they will comply with the substantive provisions of the US Investment Advisers Act only with respect to their US clients. ©2021 Wellington Management. All rights reserved. WELLINGTON MANAGEMENT FUNDS® is a registered service mark of Wellington Group Holdings LLP. | All index data is provided "as is". The Fund described herein is not sponsored or endorsed by the index provider. In no event shall the index provider, its affiliates or any data provider have any liability of any kind in connection with the index data or the Fund described herein. No further distribution or dissemination of the index data is permitted without the index provider's express written consent. | 3178

UOB Smart Global Bond Fund

Total Expenses as called from fund Table

From November 1, 2020 To April 30, 2021

Called expenses from fund (Fund's direct expense)	Amount Unit : Thousand	Percentage of Net Assets Value
Management fee	33.50	0.8560
Trustee fee	1.26	0.0321
Transaction fee	-	-
Registrar fee	5.02	0.1284
Advisory fee	-	-
Sale Promotion - IPO	-	-
Sale Promotion - After IPO	-	-
Auditing Fee	20.84	0.2638
Legal Fee	2.14	0.0271
Other Expenses*	1.90	0.0241
Total Expenses **	64.66	1.3315

Remark * Other expense which each items is less than 0.01% of NAV

** Included VAT (if any) and not included brokerage fee

UOB SMART GLOBAL BOND FUND
Details of Investment ,Borrowing and Obligations
As of April 30, 2021

	Market Value	%NAV
Domestic : Assets and Securities List		
<u>Deposits</u>	<u>139,536.75</u>	<u>1.99</u>
UNITED OVERSEAS BANK (THAI) PUBLIC COMPANY LIMITED	31,154.88	0.44
KASIKORNBANK PUBLIC COMPANY LIMITED	108,212.65	1.54
KASIKORNBANK PUBLIC COMPANY LIMITED (USD)	169.22	0.00
<u>Others</u>	<u>-35,447.61</u>	<u>-0.51</u>
Other Assets	0.00	0.00
Other Liabilities	-35,447.61	-0.51
IRELAND : Assets and Securities List		
<u>Common Stocks</u>	<u>6,889,927.08</u>	<u>98.35</u>
Non Listed Securities	6,889,927.08	98.35
Unit Trust	6,889,927.08	98.35
WELGUAH	6,889,927.08	98.35
Futures Contracts		
<u>Forward Contracts</u>	<u>11,449.09</u>	<u>0.16</u>
Forward Contracts	11,449.09	0.16
Net Asset Value	7,005,465.31	100.00

Explanation of rating of credit rating institute

- AAA The highest rating, indicates risk investment having smallest degree of credit risk. The company has extremely strong capacity to pay interest and repay principal on time and is unlikely to be affected by adverse changes in business, economic or other external conditions
- AA The rating indicates a debt instruments with a very low degree of credit risk.
- A The rating indicates a debt instruments with low credit risk.
- BBB The rating indicates a debt instruments with moderate credit risk.
- BB The rating indicates a debt instruments with high credit risk.
- B The rating indicates a debt instruments with very high credit risk.
- C The rating indicates a debt instruments with highest risk of default. The company's performance to repay/not repay principle and to pay/not pay interest on time is significantly depend upon the favorable business, economic or other external conditions to meet its obligations.
- D The rating for a debt instruments for which payment is in default.

Details of Instruments and the Ranking of Credit of respective Instruments in the Portfolio

UOB Smart Global Bond Fund

As of April 30, 2021

Detail of investment in the Futures contacts

<u>Type of Contract</u>	<u>Counter Party</u>	<u>Rating</u>	<u>Objective</u>	<u>Market Value</u>	<u>%NAV</u>	<u>Maturity Date</u>	<u>(net gain/loss)</u>
Currency Derivatives Contracts							
Forward Contracts	THE SIAM COMMERCIAL BANK PUBLIC COMPANY LIMITED	AA+	Hedging	14,144.85	0.20	25/06/2021	14,144.85
	BANK OF AYUDHYA PUBLIC COMPANY LIMITED	AAA	Hedging	-2,695.76	-0.04	25/06/2021	-2,695.76

UOB Asset Management (Thailand) Co., Ltd.

UOB Smart Global Bond Fund

Financial Statement

(Unaudited)

Statements of Income
From November 1, 2020 To April 30, 2021

Balance sheets
As at April 30, 2021
Assets

Investment Incomes		Investments at fairvalue (at cost : Baht 6,693,511.96)	6,889,927.08
Dividend income	0.00	Cash at banks	139,432.08
Interest income	125.86	Accounts receivable	
Other income	0.00	From sales of investments	0.00
Total incomes	125.86	From dividend and interest	104.66
Expenses		Deferred expenses - net	0.00
Management fee	33,496.75	Other asset	11,449.09
Trustee fee	1,256.19	Total Assets	7,040,912.91
Registrar fee	5,024.54		
Set-up Fund Fee	0.00		
Investment advisory fee	0.00		
Professional fee	20,835.44		
Deferred expenses-written off	0.00		
Other expenses	4,058.91		
Total expenses	64,671.83		
Net income (loss) from investments	(64,545.97)	Net assets :	7,005,465.30
Gain (loss) on exchange rate	68,175.04		
Net gain (loss) on investments			
Net realized gain (loss) on investments	21,643.31		
Net unrealized gain (loss) on investments	(179,053.95)		
Net unrealized gain (loss) on forward sold contract	6,811.79		
Total net gain (loss) on investments	(150,598.85)		
Increase (Decrease) in asset from operations	(146,969.78)		
Dividend payment during year	0.00		
Increase (Decrease) in net asset from operations	(146,969.78)		
		Capital received from unitholders	5,682,416.80
		Retained earnings	
		Equalization account	(75,088,008.77)
		Retained earnings from operations	76,411,057.27
		Net assets value	7,005,465.30
		Net assets value per unit	12.3283
		Investment units sold at the end of the year (units)	568,241.6804

Portfolio Turnover Ratio (PTR)

UOB Smart Global Bond Fund

For the period of November 1, 2020 to April 30, 2021

3.49 %

Credit rating of the bank or financial institution

UOB Smart Global Bond Fund

As of April 30, 2021

Bank of deposit	Credit ratings by international institution	Credit ratings by domestic institution
United Overseas Bank (Thai) Pcl.	Baa1 (Moody)	AAA (Fitch)

List of Soft Commission

No.	Brokerage	Soft Commission	Reason for receiving
-	- None -	-	-

List of Connected Persons with transaction

For the period of November 1, 2020 to April 30, 2021

List of Connected Persons who had transactions with Fund
- None -

Remark :

The investors can verify the Connected Persons' transactions of fund directly at UOB Asset Management (Thailand) Co., Ltd. or through the website of the Company (www.uobam.co.th) or the Securities and Exchange Commission (www.sec.or.th)

Report on non-compliance of investment limit

UOB Smart Global Bond Fund

For the period of November 1, 2020 to April 30, 2021

Date	Fund Name	Ratio at the end of the day (%NAV)	Ratios of the project (%NAV)	cause	performance
-None-	-	-	-	-	-

Information on the exceeding of 1/3 unit holding

UOB Smart Global Bond Fund

As of April 30, 2021

-None-

Remark :

The investor can verify the information on the exceeding of 1/3 unit holding through the website of the company (www.uobam.co.th)

ชำระค่าไปรษณีย์ทางไปรษณีย์
ใบอนุญาตนเลขที่ 33/2540
ปณจ. ยานนาวา

เหตุผลข้อร้องเรียนที่ท่านไม่ได้รับ	
<input type="checkbox"/>	1. จำกัดไม่ชัดเจน
<input type="checkbox"/>	2. ไม่มีเลขที่อ้างความจำหน้า
<input type="checkbox"/>	3. ไม่ยอมรับ
<input type="checkbox"/>	4. ไม่ได้รับตามจำหน้า
<input type="checkbox"/>	5. ไม่มารับภายในที่กำหนด
<input type="checkbox"/>	6. เสกสิทธิ์
<input type="checkbox"/>	7. อ้างไม่ทราบที่อยู่ใหม่
<input type="checkbox"/>	8. อื่นๆ.....
ลงชื่อ	

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