



Capital Protected Retirement Mutual Fund 5 : CPRMF5

Interim Report
(January 1, 2021 - June 30, 2021)

Content

	Page
Message from the Company	1
List of Board of Directors and Management Team	2
Supervisor Report	3
Name List of Fund Manager	4
Fund Performance	5
Fund Expenses	6
Brokerage Fees	7
Fund Details of Investment, Borrowing and Obligations	8
Summary Report of Invested Money	9
Details of Instruments and the Ranking of Credit of respective Instruments in the Portfolio	10
Financial Report	11
Portfolio Turnover Ratio (PTR)	12
Credit rating of the bank or financial institution	13
List Details of Soft Commission	14
List of Connected Person with transaction	15
Report on non-compliance of investment limit	16
Information on the exceeding of 1/3 Unit holding	17

Message from the Company

To Unitholders

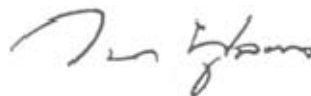
As a Capital Protected Retirement Mutual Fund 5 invests not less than 80% of its capital in government debt securities with remaining time-to-maturity of 5 years; matching the next fund's redemption period. The rest of the capital is invested in equity market to enhance the returns for the unit-holders. As of June 2021, the fund allocated 99.5% of its total NAV to government debt securities (Government bond, Debt securities guaranteed by MOF, Treasury bills and Bank of Thailand bonds), 0.5% to bank deposits and 0.0% to equities.

Comparing with The first half of 2020 accounting period, the first half of 2021 fund's investment in government debt securities increased from 86.8% to 99.5%. At the same time, the fund decreased its portion of bank deposits to 0.5% from 4.6%, and reduced its investment in equities to 0.0% from 8.6%.

As we have managed Capital Protected Retirement Mutual Fund 5 for a period of half year on June 30, 2021, we would like to inform the net value to unit holder, The fund has a net asset value 68,238.279.38 Baht in asset value or its earning per unit is at 15.6993 Baht.

In this connection, we would like to express our sincere thanks to the trust of all Unitholders has been extended to us for your investment Capital Protected Retirement Mutual Fund 5. Should you have any further question or need more information, You can monitor or follow the announcement the net asset value of the fund in the company website.

UOB Asset Management (Thailand) Co., Ltd.



(Mr. Vana Bulbon)
Chief Executive Officer

List of Board of Directors And Management Team
UOB Asset Management (Thailand) Co., Ltd.

Board of Directors

- | | |
|------------------------------|----------------------------|
| 1. Mr. Lee Wai Fai | Chairman Board of Director |
| 2. Mr. Thio Boon Kiat | Director |
| 3. Mr. Sanchai Apisaksirikul | Director |
| 4. Ms. Kanlika Buspavanich | Director |
| 5. Mr. Vana Bulbon | Director and CEO |

Management Team

- | | | |
|-----------------|--------------|---|
| 1. Mr. Vana | Bulbon | Chief Executive Officer |
| 2. Mrs. Sunaree | Piboonsakkul | Senior Director (Operation Division) |
| 3. Mr. Jerdphan | Nithayayon | Senior Director (Investment Division) |
| 4. Ms. Rachada | Tangharat | Senior Director (Business Development Division) |

Office Location

UOB Asset Management (Thailand) Co., Ltd.

23A, 25 Floor, Asia Centre Building, 173/27-30, 32-33

South Sathon Road, Thungmahamek, Sathon,

Bangkok 10120, Thailand

Tel : +66 2786 2222

Fax : +66 2786 2377

SSFO 21/107

July 7, 2021

THE SUPERVISOR GRANT APPROVAL

To : Unitholders
Capital Protected Retirement Mutual Fund 5

TMBThanachart Bank Public Company Limited, as the mutual fund supervisor of the Capital Protected Retirement Mutual Fund 5, has performed our duties in such mutual fund project, administered and managed by UOB Asset Management (Thailand) Company Limited, from the period between January 1, 2021 to June 30, 2021

In our opinion, we are pleased to confirm that UOB Asset Management (Thailand) Company Limited, has managed the mutual fund strictly in accordance with the approved mutual fund project prospectus and the commitment made to the unitholders under The Securities and Exchange Act, BE 2535



MANEEVAN INGKAVITAN
Fund Supervisory
TMBThanachart Bank Public Co., Ltd.

Capital Protected Retirement Mutual Fund 5

Name List of Fund Manager

For the period of January 1, 2021 To June 30, 2021

No.	Name List of Fund Manager	
1	Ms.Linda	Ubolriabroy
2	Mr.Verayuth	Halilamien*
3	Ms.Chanisda	Viranuvatti
4	Mr. Sittisak	Nuttawut*
5	Ms. Pranee	Srimahalap
6	Mr. Jaruwat	Preepreamkul*
7	Mr. Tanakorn	Dhamalongkrot
8	Ms. Nopharat	Pramualvallikul*
9	Ms.Chuensumol	Pornsakulsak
10	Mr. Weerachai	Junpeng*
10	Ms. Sonsawan	Termvuthikul

* Fund manager and portfolio manager in derivatives. (if any)

Fund Performance

Registration Date Dec 25, 2006

Ending Date of Accounting Period June 30, 2021

	2554	2555	2556	2557	2558	2559	2560	2561	2562	2563
	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020
ผลตอบแทนของกองทุน (Fund Return)	1.17%	7.01%	2.60%	4.47%	-0.21%	2.03%	2.48%	-1.04%	2.54%	-0.31%
ผลตอบแทนตัวชี้วัด (Benchmark Return)	2.86%	3.20%	2.94%	3.74%	2.38%	1.43%	1.47%	1.69%	4.02%	2.39%
ความผันผวนของผลดำเนินงาน (Fund Standard Deviation)	3.41%	2.03%	3.26%	1.86%	2.03%	1.91%	1.05%	1.67%	1.25%	2.60%
ความผันผวนของตัวชี้วัด (Benchmark Standard Deviation)	0.35%	1.55%	1.14%	0.69%	0.43%	0.10%	0.10%	0.24%	0.83%	0.95%

	ตั้งแต่ต้นปี	3 เดือน	6 เดือน	1 ปี	3 ปี	5 ปี	10 ปี	ตั้งแต่จัดตั้ง
	(YTD) ¹⁾	(3 Months) ¹⁾	(6 Months) ¹⁾	(1 Year) ²⁾	(3 Years) ²⁾	(5 Years) ²⁾	(10 Years) ²⁾	(Since Inception) ³⁾
ผลตอบแทนของกองทุน (Fund Return)	0.44%	-0.39%	0.44%	0.47%	0.90%	1.02%	2.06%	3.15%
ผลตอบแทนตัวชี้วัด (Benchmark Return)	0.08%	0.09%	0.08%	0.40%	2.53%	2.06%	2.53%	3.20%
ความผันผวนของผลดำเนินงาน (Fund Standard Deviation)	0.71%	0.36%	0.71%	1.48%	1.93%	1.72%	2.19%	2.94%
ความผันผวนของตัวชี้วัด (Benchmark Standard Deviation)	0.25%	0.14%	0.25%	0.36%	0.84%	0.67%	0.89%	2.19%

- Remark :
1. Return per period
 2. Return per year
 3. If Since inception < 1 Year Return per period, If Since inception \geq 1 Year Return per year

- Benchmark : Core Asset Index Return

- Tracking Error : (TE) 1 Year = 1.49% Tracking Difference: (TD) 1 Year = 0.07%

- Performance measures used in this annual report comply with AIMC performance presentation standards.

- Past Performance/performance comparison relating to a capital market product is not a guarantee of future results.

Capital Protected Retirement Mutual Fund 5

Total Expenses as called from fund Table

From January 1, 2021 To June 30, 2021

Called expenses from fund (Fund's direct expense)	Amount Unit : Thousand	Percentage of Net Assets Value
Management fee	362.17	1.0700
Trustee fee	7.24	0.0214
Transaction fee	-	-
Registrar fee	36.22	0.1070
Advisory fee	-	-
Sale Promotion - IPO	-	-
Sale Promotion - After IPO	-	-
Auditing Fee	16.87	0.0498
Other Expenses*	0.20	0.0006
Total Expenses **	422.70	1.2488

Remark * Other expense which each items is less than 0.01% of NAV

** Included VAT (if any) and Not included brokerage fee

Capital Protected Retirement Mutual Fund 5

Brokerage Fee

From January 1, 2021 To June 30, 2021

	Broker Name	Brokerage Fee (Baht)	% of Total Brokerage Fee
1	KRUNGSRI SECURITIES PUBLIC COMPANY LIMITED	6,731.05	56.43
2	KASIKORN SECURITIES PUBLIC COMPANY LIMITED	2,153.38	18.05
3	CREDIT SUISSE SECURITIES (THAILAND) LIMITED	1,078.95	9.05
4	SCB SECURITIES COMPANY LIMITED	692.36	5.80
5	DBS VICKERS SECURITIES (THAILAND) COMPANY LIMITED	254.52	2.13
6	BUALUANG SECURITIES PUBLIC COMPANY LIMITED	237.05	1.99
7	TISCO SECURITIES COMPANY LIMITED	232.81	1.95
8	KIATNAKIN PHATRA SECURITISE PC	184.59	1.55
9	KTBST SECURITIES PUBLIC COMPANY LIMITED	156.57	1.31
10	UOB KAYHIAN SECURITIES (THAILAND) PUBLIC COMPANY LIMITED	140.95	1.18
11	OTHER	65.00	0.54
	Total	11,927.23	100.00

Capital Protected Retirement Mutual Fund 5
Details of Investment ,Borrowing and Obligations
As of June 30, 2021

	Market Value	%NAV
Domestic : Assets and Securities List		
<u>Government Bond</u>	<u>67,921,648.92</u>	<u>99.54</u>
The Maturity less than 1 year	67,921,648.92	99.54
CB22602A	9,958,746.23	14.59
GGLB21NA	20,263,962.60	29.70
GSB222A	27,554,263.29	40.38
LB226A	10,144,676.80	14.87
The Maturity 1-3year	0.00	0.00
The Maturity 3-5year	0.00	0.00
The Maturity 5-7 year	0.00	0.00
The Maturity 7-10 year	0.00	0.00
The Maturity exceeding 10year	0.00	0.00
<u>Deposits</u>	<u>401,678.73</u>	<u>0.59</u>
UNITED OVERSEAS BANK (THAI) PUBLIC COMPANY LIMITED	104,533.71	0.15
TMBTHANACHART BANK PUBLIC COMPANY LIMITED	297,145.02	0.44
<u>Others</u>	<u>-85,048.27</u>	<u>-0.12</u>
Other Assets	0.00	0.00
Other Liabilities	-85,048.27	-0.12
Net Asset Value	68,238,279.38	100.00

Summary Report of Invested Money
Capital Protected Retirement Mutual Fund 5
As at June 30, 2021

- Details of Investment in the Debt Instrument , issued by the Thai Entities or offered in Thailand

Category of Securities	Market Vale	%NAV
(A) Government Bond	67,921,648.92	99.54
(B) Securities issued, certified, accepted of avaled , endorsed or guaranteed by a bank established by specific law , commercial bank , finance company	0.00	0.00
(C) Securities whose its issuer,acceptor,aval giver,endorser or guarantor is the company receiving the credit rating at the investment grade level	0.00	0.00
(D)* Securities whose its issuer,acceptor,aval giver,endorser or guarantor is the company receiving the credit rating at the lower than the investment grade level or without credit rating	0.00	0.00

Remark * The marketvalue and % NAV under Item (D) above is inclusive of the instrument receiving the credit rating at the level of investment Grade

-The Upper Limit of the category (D) in which the Management Company is likely to invest 15.00 % NAV

Details of Instruments and the Ranking of Credit of respective Instruments in the Portfolio
 Capital Protected Retirement Mutual Fund 5
 As of June 30, 2021

Type	Securities	Issuer	Guarantor/Acceptor/ Endorser	Maturity Date	Rating	Face Value	Market Value
Government Bond							
CB22602A		BANK OF THAILAND		2/6/2022	-	10,000,000.00	9,958,746.23
GGLB21NA		BANK FOR AGRICULTURE AND AGRICULTURAL COOPERATIVES	MINISTRY OF FINANCE	26/11/2021	-	20,000,000.00	20,263,962.60
GSB222A		GOVERNMENT SAVINGS BANK	MINISTRY OF FINANCE	23/2/2022	-	27,000,000.00	27,554,263.29
LB226A		MINISTRY OF FINANCE		17/6/2022	-	10,000,000.00	10,144,676.80
						Total	<u>67,921,648.92</u>

UOB Asset Management (Thailand) Co., Ltd.

Capital Protected Retirement Mutual Fund 5

Financial Statement

(Unaudited)

Statements of Income		Balance sheets	
From January 1, 2021 To June 30, 2021		As at June 30, 2021	
		Assets	
Investment Incomes		Investments at fair value (at cost : Baht 67,065,667.37)	67,631,625.40
Dividend income	67,902.08	Cash at banks	397,942.85
Interest income	589,225.41	Accounts receivable	
Other income	0.00	From sales of investments	0.00
Total incomes	657,127.49	From dividend and interest	293,759.40
Expenses		Deferred expenses - net	0.00
Management fee	362,167.75	Other asset	0.00
Trustee fee	7,243.43	Total Assets	68,323,327.65
Registrar fee	36,216.80		
Investment advisory fee	0.00	Liabilities	
Professional fee	16,869.72	Accounts payable From purchases of investments	0.00
Deferred expenses-written off	0.00	Accrued expenses	81,214.58
Other expenses	12,127.23	Other liabilities	3,833.69
Total expenses	434,624.93	Total Liabilities	85,048.27
Net income from investments	222,502.56	Net assets :	68,238,279.38
Net gain (loss) on investments		Net assets	
Net realized gain (loss) on investments	270,367.75	Capital received from unitholders	43,465,589.92
Net unrealized gain (loss) on investments	(193,215.01)	Retained earnings	
Total net realized and unrealized gain (loss) on investments	77,152.74	Equalization account	(15,667,018.57)
Increase in asset from operations	299,655.30	Retained earnings from operations	40,439,708.03
Dividend payment during year	0.00	Net assets value	68,238,279.38
Increase in net asset from operations	299,655.30	Net assets value per unit	15.6993
		Investment units sold at the end of the year (units)	4,346,558.9920

Portfolio Turnover Ratio (PTR)

Capital Protected Retirement Mutual Fund 5

For the period of January 1, 2021 To June 30, 2021

12.35%

Credit rating of the bank or financial institution

Capital Protected Retirement Mutual Fund 5

As of June 30, 2021

Bank of deposit	Credit ratings by international institution	Credit ratings by domestic institution
United Overseas Bank (Thai) Pcl.	Baa1 (Moody)	AAA (Fitch)
TTB Bank Public Company Limited	Baa2 (Moody) / BBB (S&P)	AA- (Fitch)

List of Soft Commission

No.	Brokerage	Soft Commission	Reason for receiving
1	Capital Nomura Securities Public Company Limited	News / Researching	To help with the investment decisions
2	Bualuang Securities Public Company Limited		
3	CGS-CIMB Securities (Thailand) Company Limited		
4	Credit Suisse Securities (Thailand) Limited		
5	DBS Vickers Securities (Thailand) Company Limited		
6	KGI Securities (Thailand) Public Company Limited		
7	Kiatnakin Phatra Securities Public Company Limited		
8	Kasikorn Securities Public Company Limited		
9	Krungsri Securities Public Company Limited		
10	KTBST Securities Public Company Limited		
11	Maybank Kim Eng Securities (Thailand) Public Company Limited		
12	SCB Securities Company Limited		
13	Tisco Securities Company Limited		
14	Thanachart Securities Public Company Limited		
15	UOB Kayhian Securities (Thailand) Public Company Limited		

List of Connected Person with transactions

For the period of January 1, 2021 To June 30, 2021

List of Connected Persons who had transactions with Fund
- None -

Remark :

The investors can verify the Connected Persons' transactions of fund directly at UOB Asset Management (Thailand) Co., Ltd. or through the website of the Company (www.uobam.co.th) or The Securities and Exchange Commission (www.sec.or.th)

Report on non-compliance of investment limit

Capital Protected Retirement Mutual Fund 5

For the period of January 1, 2021 To June 30, 2021

Date	Fund Name	Ratio at the end of the day (%NAV)	Ratios of the project (%NAV)	cause	performance
-None-	-	-	-	-	-

Information on the exceeding of 1/3 unit holding

Capital Protected Retirement Mutual Fund 5

As of June 30, 2021

-None-

Remark :

The investor can verify the information on the exceeding of 1/3 unit holding through the website of the company
(www.uobam.co.th)

เหตุผลที่ท่านไม่ได้รับ

- 1. จำนวนไม่พอ
- 2. ไม่เป็นที่ยอมรับจากทางเจ้า
- 3. ไม่ยอมรับ
- 4. ไม่ได้รับอนุญาตจากเจ้า
- 5. ไม่ได้รับอนุมัติจากทาง
- 6. เสถียร
- 7. ยืนยันตามที่ผู้ให้
- 8. อื่นๆ

รายชื่อ

UOB Asset Management (Thailand) Co., Ltd.

23A, 25 Floor, Asia Centre Building, 173/27-30, 32-33 South Sathon Road, Thungmahamek, Sathon, Bangkok 10120, Thailand

www.uobam.co.th