

**Capital Protected Retirement Mutual Fund 1 : CPRMF1**

***Interim Report***  
***(January 1, 2021 - June 30, 2021)***

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## Message from the Company

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To Unitholders

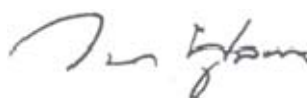
As a capital-protection fund, Capital Protected Retirement Mutual Fund 1 invests not less than 80% of its capital in government debt securities with remaining time-to-maturity of 5 years; matching the next fund's redemption period. The rest of the capital is invested in equity market to enhance the returns for the unitholders. As of June 2021, the fund allocated 97.2% of its total NAV to government debt securities (Government bond, Debt securities guaranteed by MOF, Treasury bills and Bank of Thailand bonds), 2.8% to bank deposits and no investment in the equities.

Comparing with the first half of 2020 accounting period, the first half of 2021 fund's investment in government debt securities increased to 97.2% from 87.7%. At the same time, the fund reduced its portion of bank deposits to 2.8% from 8.6%, and reduced its investment in equities to 0.0% from 8.6%.

As we have managed Capital Protected Retirement Mutual Fund 1 for a period of half year on June 30, 2021, we would like to inform the net value to unit holder, The fund has a net asset value 44,846,177.54 Baht in asset value or its earning per unit is at 16.8207 Baht.

In this connection, we would like to express our sincere thanks to the trust of all Unitholders has been extended to us for your investment Capital Protected Retirement Mutual Fund 1. Should you have any further question or need more information, You can monitor or follow the announcement the net asset value of the fund in the company website.

UOB Asset Management (Thailand) Co., Ltd.



(Mr. Vana Bulbon)  
Chief Executive Officer

**List of Board of Directors And Management Team**  
**UOB Asset Management (Thailand) Co., Ltd.**

**Board of Directors**

- |                              |                            |
|------------------------------|----------------------------|
| 1. Mr. Lee Wai Fai           | Chairman Board of Director |
| 2. Mr. Thio Boon Kiat        | Director                   |
| 3. Mr. Sanchai Apisaksirikul | Director                   |
| 4. Mrs. Kanlika Buspavanich  | Director                   |
| 5. Mr. Vana Bulbon           | Director and CEO           |

**Management Team**

- |                 |              |   |
|-----------------|--------------|---|
| 1. Mr. Vana     | Bulbon       | Chief Executive Officer                         |
| 2. Mrs. Sunaree | Piboonsakkul | Senior Director (Operations Division)           |
| 3. Mr. Jerdphan | Nithyayon    | Senior Director (Investment Division)           |
| 4. Ms. Rachada  | Tangharat    | Senior Director (Business Development Division) |

**Office Location**

UOB Asset Management (Thailand) Co., Ltd.  
23A, 25 Floor, Asia Centre Building, 173/27-30, 32-33  
South Sathon Road, Thungmahamek, Sathon,  
Bangkok 10120, Thailand  
Tel : +66 2786 2222  
Fax : +66 2786 2377

SSFO 21/103

July 7, 2021

**THE SUPERVISOR GRANT APPROVAL**

To : Unitholders  
Capital Protected Retirement Mutual Fund 1

TMBThanachart Bank Public Company Limited, as the mutual fund supervisor of the Capital Protected Retirement Mutual Fund 1, has performed our duties in such mutual fund project, administered and managed by UOB Asset Management (Thailand) Company Limited, from the period between January 1, 2021 to June 30, 2021

In our opinion, we are pleased to confirm that UOB Asset Management (Thailand) Company Limited, has managed the mutual fund strictly in accordance with the approved mutual fund project prospectus and the commitment made to the unitholders under The Securities and Exchange Act, BE 2535



MANEEVAN INGKAVITAN  
Fund Supervisory  
TMBThanachart Bank Public Co., Ltd.

  
ธนาคารทหารไทยธนชาต จำกัด (มหาชน)  
TMBThanachart Bank Public Company Limited

3000 ถนนพหลโยธิน แขวงจตุจักร เขตจตุจักร กรุงเทพฯ 10900 ทะเบียนเลขที่/เลขประจำตัวผู้เสียภาษีอากร 0107537000017 โทร. 0 2299 1111  
3000 Phahon Yothin Rd., Chom Phon, Chatuchak, Bangkok 10900 Reg No./Tax ID No. 0107537000017 Tel. 0 2299 1111

ttbbank.com

## Capital Protected Retirement Mutual Fund 1

### Name List of Fund Manager

For the period of January 1, 2021 To June 30, 2021

No.	Name List of Fund Manager	
1	Ms.Linda	Ubolriabroy
2	Mr.Verayuth	Halilamien*
3	Ms.Chanisda	Viranuvatti
4	Mr. Sittisak	Nuttawut*
5	Ms. Pranee	Srimahalap
6	Mr. Jaruwat	Preepreamkul*
7	Mr. Tanakorn	Dhamalongkrot
8	Ms. Nopharat	Pramualvallikul*
9	Ms.Chuensumol	Pornsakulsak
10	Mr. Weerachai	Junpeng*
11	Ms. Sonsawan	Termvuthikul

\* Fund manager and portfolio manager in derivatives.(if any)

## Fund Performance

Registration Date                      Dec 20, 2002

Ending Date of Accounting Period   June 30, 2021

	2554	2555	2556	2557	2558	2559	2560	2561	2562	2563
	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020
ผลตอบแทนของกองทุน (Fund Return)	0.94%	6.04%	2.84%	5.54%	0.57%	2.08%	2.18%	-1.09%	2.39%	-0.44%
ผลตอบแทนตัวชี้วัด (Benchmark Return)	2.42%	3.05%	2.76%	5.08%	3.19%	1.42%	1.51%	1.37%	3.19%	1.79%
ความผันผวนของผลดำเนินงาน (Fund Standard Deviation)	2.22%	1.64%	4.04%	2.18%	2.23%	1.94%	0.97%	1.64%	1.33%	2.60%
ความผันผวนของตัวชี้วัด (Benchmark Standard Deviation)	0.40%	0.15%	2.68%	1.39%	1.11%	0.37%	0.13%	0.19%	0.57%	0.60%

	ตั้งแต่ต้นปี (YTD) <sup>1)</sup>	3 เดือน (3 Months) <sup>1)</sup>	6 เดือน (6 Months) <sup>1)</sup>	1 ปี (1 Year) <sup>2)</sup>	3 ปี (3 Years) <sup>2)</sup>	5 ปี (5 Years) <sup>2)</sup>	10 ปี (10 Years) <sup>2)</sup>	ตั้งแต่จัดตั้ง (Since Inception) <sup>3)</sup>
ผลตอบแทนของกองทุน (Fund Return)	0.45%	-0.39%	0.45%	0.45%	0.77%	0.91%	2.12%	2.84%
ผลตอบแทนตัวชี้วัด (Benchmark Return)	0.16%	0.11%	0.16%	0.49%	1.96%	1.75%	2.52%	2.88%
ความผันผวนของผลดำเนินงาน (Fund Standard Deviation)	0.70%	0.36%	0.70%	1.49%	1.91%	1.66%	2.22%	2.75%
ความผันผวนของตัวชี้วัด (Benchmark Standard Deviation)	0.12%	0.05%	0.12%	0.26%	0.54%	0.43%	0.93%	2.52%

- Remark :
1. Return per period
  2. Return per year
  3. If Since inception < 1 Year Return per period, If Since inception  $\geq$  1 Year Return per year

- Benchmark : Core Asset Index Return
- Tracking Error: (TE) 1 Year : 1.48%    Tracking Difference: (TD) 1 Year : -0.04%
- Performance measures used in this annual report comply with AIMC performance presentation standards.
- Past Performance/performance comparison relating to a capital market product is not a guarantee of future results.

## Capital Protected Retirement Mutual Fund 1

### Total Expenses as called from fund Table

From January 1, 2021 To June 30, 2021

Called expenses from fund (Fund's direct expense)	Amount Unit : Thousand	Percentage of Net Assets Value
Management fee	238.02	1.0700
Trustee fee	4.76	0.0214
Transaction fee	-	-
Registrar fee	23.80	0.1070
Advisory fee	-	-
Sale Promotion - IPO	-	-
Sale Promotion - After IPO	-	-
Auditing Fee	15.88	0.0714
Other Expenses*	0.20	0.0008
<b>Total Expenses **</b>	<b>282.66</b>	<b>1.2706</b>

Remark \* Other expense which each items is less than 0.01% of NAV

\*\* Included VAT (if any) and Not included brokerage fee



## Capital Protected Retirement Mutual Fund 1

### Brokerage Fee

From January 1, 2021 To June 30, 2021

	Broker Name	Brokerage Fee (Baht)	% of Total Brokerage Fee
1	KRUNGSRI SECURITIES PUBLIC COMPANY LIMITED	4,447.69	56.08
2	KASIKORN SECURITIES PUBLIC COMPANY LIMITED	1,440.42	18.16
3	CREDIT SUISSE SECURITIES (THAILAND) LIMITED	773.98	9.76
4	SCB SECURITIES COMPANY LIMITED	456.49	5.76
5	DBS VICKERS SECURITIES (THAILAND) COMPANY LIMITED	167.06	2.11
6	TISCO SECURITIES COMPANY LIMITED	160.26	2.02
7	BUALUANG SECURITIES PUBLIC COMPANY LIMITED	144.61	1.82
8	KIATNAKIN PHATRA SECURITISE PC	110.63	1.39
9	KTBST SECURITIES PUBLIC COMPANY LIMITED	106.98	1.35
10	UOB KAYHIAN SECURITIES (THAILAND) PUBLIC COMPANY LIMITED	88.54	1.12
11	OTHER	33.81	0.43
	<b>Total</b>	<b>7,930.47</b>	<b>100.00</b>

Capital Protected Retirement Mutual Fund 1  
 Details of Investment ,Borrowing and Obligations  
 As of June 30, 2021

	Market Value	%NAV
<b>Domestic : Assets and Securities List</b>		
<b><u>Government Bond</u></b>	<b><u>43,591,518.48</u></b>	<b><u>97.20</u></b>
The Maturity less than 1 year	43,591,518.48	97.20
BOT219A	15,139,995.15	33.76
CB22602A	4,979,373.12	11.10
GSB222A	23,472,150.21	52.34
The Maturity 1-3 year	0.00	0.00
The Maturity 3-5 year	0.00	0.00
The Maturity 5-7 year	0.00	0.00
The Maturity 7-10 year	0.00	0.00
The Maturity exceeding 10 year	0.00	0.00
<b><u>Deposits</u></b>	<b><u>1,315,001.70</u></b>	<b><u>2.93</u></b>
UNITED OVERSEAS BANK (THAI) PUBLIC COMPANY LIMITED	11,846.40	0.03
TMBTHANACHART BANK PUBLIC COMPANY LIMITED	1,303,155.30	2.91
<b><u>Others</u></b>	<b><u>-60,342.64</u></b>	<b><u>-0.13</u></b>
Other Assets	0.00	0.00
Other Liabilities	-60,342.64	-0.13
<b>Net Asset Value</b>	<b>44,846,177.54</b>	<b>100.00</b>

**Summary Report of Invested Money**  
**Capital Protected Retirement Mutual Fund 1**  
As at June 30, 2021

- Details of Investment in the Debt Instrument , issued by the Thai Entities or offered in Thailand

Category of Securities	Market Vale	%NAV
(A) Government Bond	43,591,518.48	97.20
(B) Securities issued, certified, accepted or avalued , endorsed or guaranteed by a bank established by specific law , commercial bank , finance company	0.00	0.00
(C) Securities whose its issuer,acceptor,aval giver,endorser or guarantor is the company receiving the credit rating at the investment grade level	0.00	0.00
(D)* Securities whose its issuer,acceptor,aval giver,endorser or guarantor is the company receiving the credit rating at the lower than the investment grade level or without credit rating	0.00	0.00

Remark \* The marketvalue and % NAV under Item ( D ) above is inclusive of the instrument receiving the credit rating at the level of investment Grade

-The Upper Limit of the category (D) in which the Management Company is likely to invest 15.00 % NAV

Details of Instruments and the Ranking of Credit of respective Instruments in the Portfolio  
 Capital Protected Retirement Mutual Fund 1  
 As of June 30, 2021

Type	Securities	Issuer	Guarantor/Acceptor/ Endorser	Maturity Date	Rating	Face Value	Market Value
Government Bond							
	BOT219A	BANK OF THAILAND		24/9/2021	-	15,000,000.00	15,139,995.15
	CB22602A	BANK OF THAILAND		2/6/2022	-	5,000,000.00	4,979,373.12
	GSB222A	GOVERNMENT SAVINGS BANK	MINISTRY OF FINANCE	23/2/2022	-	23,000,000.00	23,472,150.21
						<b>Total</b>	<b><u>43,591,518.48</u></b>

UOB Asset Management (Thailand) Co., Ltd.

Capital Protected Retirement Mutual Fund 1

Financial Statement

(Unaudited)

Statements of Income		Balance sheets	
From January 1, 2021 To June 30, 2021		As at June 30, 2021	
		Assets	
Investment Incomes		Investments at fairvalue (at cost : Baht 43,028,586.71 )	43,316,135.25
Dividend income	44,922.45	Cash at banks	1,312,941.66
Interest income	386,502.40	Accounts receivable	
Other income	0.00	From sales of investments	0.00
Total incomes	431,424.85	From dividend and interest	277,443.27
Expenses		Deferred expenses - net	0.00
Management fee	238,019.18	Other asset	0.00
Trustee fee	4,760.40	Total Assets	44,906,520.18
Registrar fee	23,801.96		
Investment advisory fee	0.00	Liabilities	
Professional fee	15,877.04	Accounts payable From purchases of investments	0.00
Deferred expenses-written off	0.00	Accrued expenses	57,822.92
Other expenses	8,130.47	Other liabilities	2,519.72
Total expenses	290,589.05	Total Liabilities	60,342.64
Net income from investments	140,835.80	Net assets :	44,846,177.54
Net gain (loss) on investments		Net assets	
Net realized gain (loss) on investments	169,051.65	Capital received from unitholders	26,661,273.10
Net unrealized gain (loss) on investments	(108,938.04)	Retained earnings	
Total net realized and unrealized gain (loss) on investments	60,113.61	Equalization account	(7,724,173.57)
Increase in asset from operations	200,949.41	Retained earnings from operations	25,909,078.01
Dividend payment during year	0.00	Net assets value	44,846,177.54
		Net assets value per unit	16.8207
Increase in net asset from operations	200,949.41	Investment units sold at the end of the year (units)	2,666,127.3102

**Portfolio Turnover Ratio (PTR)**

**Capital Protected Retirement Mutual Fund 1**

**For the period of January 1, 2021 To June 30, 2021**

12.44%
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**Credit rating of the bank or financial institution**

**Capital Protected Retirement Mutual Fund 1**

**As of June 30, 2021**

Bank of deposit	Credit ratings by international institution	Credit ratings by domestic institution
United Overseas Bank (Thai) Pcl.	Baa1 (Moody)	AAA (Fitch)
TMB Bank Public Company Limited	Baa2 (Moody) / BBB (S&P)	AA- (Fitch)

### List of Soft Commission

No.	Brokerage	Soft Commission	Reason for receiving
1	Capital Nomura Securities Public Company Limited	News / Researching	To help with the investment decisions
2	Bualuang Securities Public Company Limited		
3	CGS-CIMB Securities (Thailand) Company Limited		
4	Credit Suisse Securities (Thailand) Limited		
5	DBS Vickers Securities (Thailand) Company Limited		
6	KGI Securities (Thailand) Public Company Limited		
7	Kiatnakin Phatra Securities Public Company Limited		
8	Kasikorn Securities Public Company Limited		
9	Krungsri Securities Public Company Limited		
10	KTBST Securities Public Company Limited		
11	Maybank Kim Eng Securities (Thailand) Public Company Limited		
12	SCB Securities Company Limited		
13	Tisco Securities Company Limited		
14	Thanachart Securities Public Company Limited		
15	UOB Kayhian Securities (Thailand) Public Company Limited		



**List of Connected Person with transactions**

**For the period of January 1, 2021 To June 30, 2021**

List of Connected Persons who had transactions with Fund
- None -

Remark :

The investors can verify the Connected Persons' transactions of fund directly at UOB Asset Management (Thailand) Co., Ltd. or through the website of the Company ([www.uobam.co.th](http://www.uobam.co.th)) or The Securities and Exchange Commission ([www.sec.or.th](http://www.sec.or.th))

**Report on non-compliance of investment limit**

**Capital Protected Retirement Mutual Fund 1**

**For the period of January 1, 2021 To June 30, 2021**

Date	Fund Name	Ratio at the end of the day (%NAV)	Ratios of the project (%NAV)	cause	performance
-None-	-	-	-	-	-

**Information on the exceeding of 1/3 unit holding**

**Capital Protected Retirement Mutual Fund 1**

**As of June 30, 2021**

-None-
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Remark :

The investor can verify the information on the exceeding of 1/3 unit holding through the website of the company  
([www.uobam.co.th](http://www.uobam.co.th))

วัตถุประสงค์ของตราสารใบรับ

- 1. กำไรขั้นต้น
- 2. ภาษีเงินได้บุคคลธรรมดา
- 3. ภาษีเงินได้
- 4. ภาษีเงินได้ของนิติบุคคล
- 5. ภาษีเงินได้หักภาษี ณ ที่จ่าย
- 6. อื่นๆ

รายชื่อ .....

UOB Asset Management (Thailand) Co., Ltd.

23A, 25 Floor, Asia Centre Building, 173/27-30, 32-33 South Sathon Road, Thungmahamek, Sathon, Bangkok 10120, Thailand

[www.uobam.co.th](http://www.uobam.co.th)