

3 Months Roll Over Fund A : 3MR(A)

Interim Report

(June 1, 2016 - November 30, 2016)

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Message from the Company

To Unitholders


As a fixed-income fund, The 3 Months Roll over Fund A focuses on secured short-term fixed income instruments. As of November 2016, the fund allocated 21.3% of its total NAV to treasury bills and Central Bank bonds, 78.7% to bank deposits and fixed income instruments. The fund's duration was then 0.1 year.

Comparing with the first half of year 2016/2015 accounting period, the second half of year 2016/2015 fund's investment in government securities increased to 21.3% from 17.8%. At the same time, the fund reduced its portion of bank deposits & fixed income instruments to 78.7% from 82.2%.

As we have managed 3 Months Roll Over Fund A for a period of one year on November 30, 2016, we would like to inform the net value to unit holder, The fund has a net asset value 46,866,792.88 Baht in asset value or its earning per unit is at 12.7992 Baht.

In this connection, we would like to express our sincere thanks to the trust of all Unitholders has been extended to us for your investment management 3 Months Roll Over Fund A. Should you have any further question or need more information, You can monitor or follow the announcement the net asset value of the Fund in daily newspaper or the company website.

UOB Asset Management (Thailand) Co., Ltd.



(Mr. Vana Bulbon)
Chief Executive Officer

List of Board of Directors And Management Team
UOB Asset Management (Thailand) Co., Ltd.

Board of Directors

- | | | |
|----|--------------------------------|------------------|
| 1. | Mr. Lee Wai Fai | Chairman |
| 2. | Mr. Thio Boon Kiat | Director |
| 3. | Mr. Sanchai Apisaksirikul | Director |
| 4. | Ms. Piyaporn Ratanaprasartporn | Director |
| 5. | Mr. Vana Bulbon | Director and CEO |

Management Team

- | | | | |
|----|--------------|--------------|--|
| 1. | Mr. Vana | Bulbon | Chief Executive Officer |
| 2. | Mrs. Sunaree | Piboonsakkul | Senior Director (Operation Division) |
| 3. | Mr. Jerdphan | Nithayayon | Senior Director (Investment Division) |
| 4. | Ms. Rachada | Tangharat | Director (Business Development Division) |

Office Location

UOB Asset Management (Thailand) Co., Ltd.

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Mutual Fund Supervisor Report

To Unitholders of 3 Months Roll Over Fund A

Whereas Standard Chartered Bank (Thai) Pcl., the Mutual Fund Supervisor of 3 Months Roll Over Fund A which is managed by UOB Asset Management (Thailand) Co., Ltd. has performed duties as the Mutual Fund Supervisor for the accounting year begin 1 June 2016 and ending on 30 November 2016:

In our opinion, UOB Asset Management (Thailand) Co., Ltd. has perform its duties in managing the Fund correctly and appropriately according to the objectives specified in the Fund management project, which was approved by the Office of the Securities and Exchange Commission and under the Securities Exchange Act B.E. 2535.

Standard Chartered Bank (Thai) Pcl.



(Malathip Earsakul)
Assistant Manager

Standard Chartered Bank (Thai) Co., Ltd. 
8 December 2016

ธนาคารสแตนดาร์ดชาร์เตอร์ด (ไทย) จำกัด (มหาชน)
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ทะเบียนเลขที่ 0107536000498

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Tel +66 (0) 2724 4000
Fax +66 (0) 2724 4444
Registration No. 0107536000498

3 Months Roll Over Fund A

Name List of Fund Manager

For the period of June 1, 2016 to November 30, 2016

No.	Name List of Fund Manager
1	Mr.Jaruwat Preepreamkul*
2	Ms.Linda Ubolriabroy
3	Mr.Verayuth Halilamien*
4	Ms.Chanisda Viranuvatti
5	Mrs.Pungpis Chularojmontri
6	Ms.Anchalee Ngamwutikul*

* Fund manager and portfolio manager in derivatives (if any).

3 Months Roll Over Fund A

Total Expenses as called from fund Table

From June 1, 2016 To November 30, 2016

Called expenses from fund (Fund's direct expense)	Amount Unit : Thousand	Percentage of Net Assets Value
Management fee	14.11	0.03
Trustee fee	7.12	0.01
Transaction fee	-	-
Registrar fee	28.46	0.05
Advisory fee	-	-
Sale Promotion - IPO	-	-
Sale Promotion - After IPO	-	-
Auditing Fee	21.05	0.04
Other Expenses*	0.30	-
Total Expenses **	71.04	0.13

Remark * Other expense which each items is less than 0.01% of NAV

** Included VAT (if any) and Not included brokerage fee

3 Months Roll Over Fund A
 Details of Investment ,Borrowing and Obligations
 As of November 30,2016

	Market Value	%NAV
Domestic : Assets and Securities List		
<u>Government Bond</u>	<u>9,986,306.45</u>	<u>21.31</u>
The Maturity less than 1 year	9,986,306.45	21.31
The Maturity 1-3 year	0.00	0.00
The Maturity 3-5 year	0.00	0.00
The Maturity 5-7 year	0.00	0.00
The Maturity 7-10 year	0.00	0.00
The Maturity exceeding 10 year	0.00	0.00
<u>Bill of Exchange/Promissory Note</u>	<u>36,514,879.28</u>	<u>77.91</u>
<u>Deposits</u>	<u>392,428.87</u>	<u>0.84</u>
<u>Others</u>	<u>-26,821.72</u>	<u>-0.06</u>
Net Asset Value	46,866,792.88	100.00

Explanation of rating of credit rating institute

- AAA The highest rating, indicates risk investment having smallest degree of credit risk. The company has extremely strong capacity to pay interest and repay principal on time and is unlikely to be affected by adverse changes in business, economic or other external conditions
- AA The rating indicates a debt instruments with a very low degree of credit risk.
- A The rating indicates a debt instruments with low credit risk.
- BBB The rating indicates a debt instruments with moderate credit risk.
- BB The rating indicates a debt instruments with high credit risk.
- B The rating indicates a debt instruments with very high credit risk.
- C The rating indicates a debt instruments with highest risk of default. The company's performance to repay/not repay principle and to pay/not pay interest on time is significantly depend upon the favorable business, economic or other external conditions to meet its obligations.
- D The rating for a debt instruments for which payment is in default.

Summary Report of Invested Money
3 Months Roll Over Fund A
As at November 30 , 2016

- Details of Investment in the Debt Instrument , issued by the Thai Entities or offered in Thailand

Category of Securities	Market Vale	%NAV
(A) Government Bond	9,986,306.45	21.31
(B) Securities issued, certified, accepted or avaled , endorsed or guaranteed by a bank established by specific law , commercial bank , finance company	36,514,879.28	77.91
(C) Securities whose its issuer,acceptor,aval giver,endorser or guarantor is the company receiving the credit rating at the investment grade level	0.00	0.00
(D)* Securities whose its issuer,acceptor,aval giver,endorser or guarantor is the company receiving the credit rating at the lower than the investment grade level or without credit rating	0.00	0.00

Remark * The market value and % NAV under Item (D) above is inclusive of the instrument receiving the credit rating at the level of investment Grade

-The Upper Limit of the category (D) in which the Management Company is likely to invest 15.00 %NAV

Details of Instruments and the Ranking of Credit of respective Instruments in the Portfolio

3 Months Roll Over Fund A

As of November 30, 2016

Type	Securities	Issuer	Guarantor/Acceptor/ Endorser	Maturity Date	Rating	Face Value	Market Value
Government Bond							
CB17105B		BANK OF THAILAND		5 Jan 17	-	10,000,000.00	9,986,306.45
Promissory Note							
PBKC0222		KRUNGTHEP CONCRETE (1989) COMPANY LIMITED	BANGKOK BANK PUBLIC COMPANY LIMITED	23 Dec 16	-	8,635,054.08	8,626,734.60
PBRN0154		BURANA METAL LINE COMPANY LIMITED	UNITED OVERSEAS BANK(THAI) PUBLIC COMPANY LIMITED	27 Dec 16	-	5,000,000.00	4,994,307.86
PCCR0240		CHAI CHAROEN MAITRI COMPANY LIMITED	KRUNG THAI BANK PUBLIC COMPANY LIMITED	27 Dec 16	-	6,000,000.00	5,993,169.43
PISO0015		ASA-ISO JOINTVENTURE	KASIKORN BANK PUBLIC COMPANY LIMITED	29 Dec 16	-	2,835,203.21	2,831,727.56
PKSS1054		KASEM SWASDI METAL COMPANY LIMITED	KASIKORN BANK PUBLIC COMPANY LIMITED	16 Dec 16	-	8,512,920.00	8,507,326.14
PPWL0178		POWER LINK ENGINEERING COMPANY LIMITED	UNITED OVERSEAS BANK(THAI) PUBLIC COMPANY LIMITED	29 Dec 16	-	568,440.00	567,743.15
PSPE0086		SIAMPHAN ENTERPRISE PUBLIC COMPANY LIMITED	KRUNG THAI BANK PUBLIC COMPANY LIMITED	29 Dec 16	-	5,000,000.00	4,993,870.54
						Total	<u>46,501,165.73</u>

Portfolio Turnover Ratio (PTR)

3 Months Roll Over Fund A

For the period of June 1, 2016 to November 30, 2016

0.000

Credit rating of the bank or financial institution

3 Months Roll Over Fund A

As of November 30, 2016

Bank of deposit	Credit ratings by international institution	Credit ratings by domestic institution
United Overseas Bank (Thai) Pcl.	-	AAA (Fitch)

List of Soft Commission

No.	Brokerage	Soft Commission	Reason for receiving
-	-None-	-	-

List of Connected Person with transactions

For the Period of June 1, 2016 to November 30, 2016

List of Connected Persons who had transactions with Fund
- None -

Remark :

The investors can verify the Connected Persons' transactions of fund directly at UOB Asset Management (Thailand) Co., Ltd. or through the website of the Company (www.uobam.co.th) or The Securities and Exchange Commission (www.sec.or.th)

Report on non-compliance of investment limit

3 Months Roll Over Fund A

For the Period of June 1, 2016 to November 30, 2016

Date	Fund Name	Ratio at the end of the day (%NAV)	Ratios of the project (%NAV)	cause	performance
-None-	-	-	-	-	-

Information on the exceeding of 1/3 unit holding

3 Months Roll Over Fund A

As of November 30, 2016

-None-

Remark :

The investor can verify the information on the exceeding of 1/3 unit holding through the website of the company (www.uobam.co.th)

เหตุผลที่ท่านยังไม่สมัคร

- 1. ค่าใช้จ่ายสูงเกินไป
- 2. ไม่มีผลิตภัณฑ์ที่น่าสนใจ
- 3. ไม่ยอมรับ
- 4. ไม่มีความจำเป็น
- 5. ไม่ทราบรายละเอียด
- 6. ต้องการข้อมูลเพิ่มเติม
- 7. อื่นๆ
- 8. อื่นๆ

ลงชื่อ

UOB Asset Management (Thailand) Co., Ltd.

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